

Mathematicians play... billiards

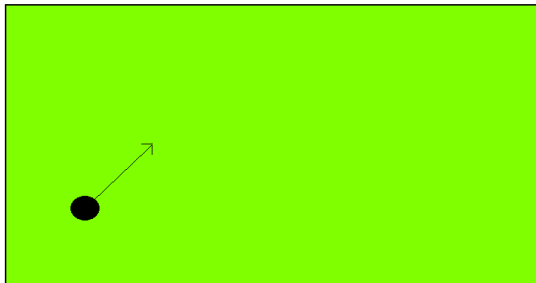
Alfonso Sorrentino
(University of Rome Tor Vergata)

Accademia delle Scienze di Torino,
11th October 2018



Which game are we playing at?

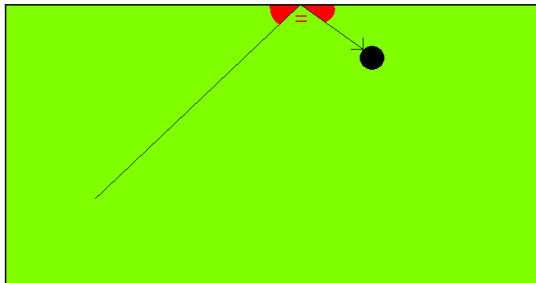
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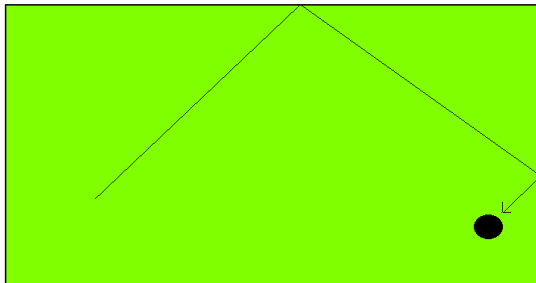


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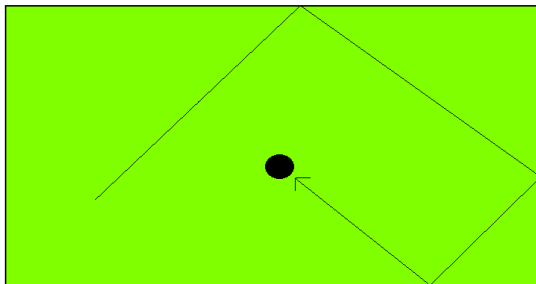


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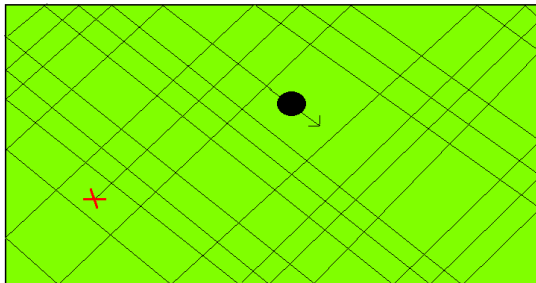


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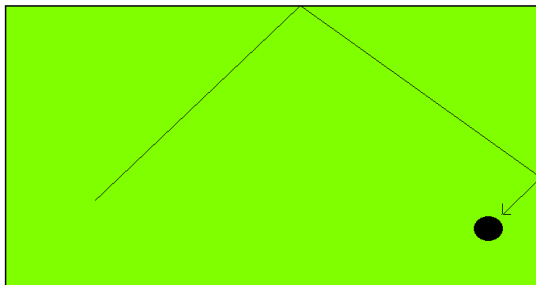
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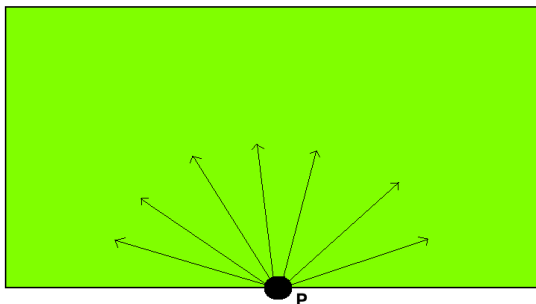


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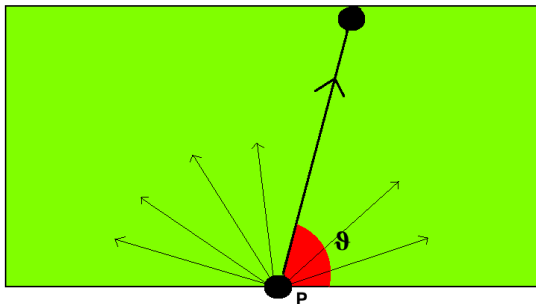
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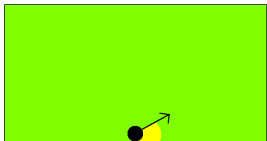
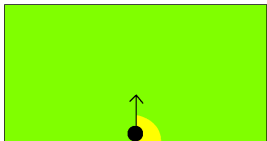
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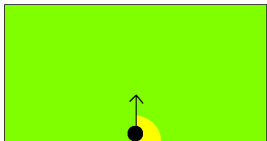
It depends on the initial angle $\vartheta \in (0, \pi)$!



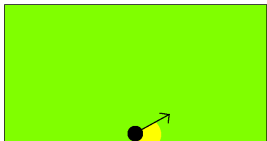
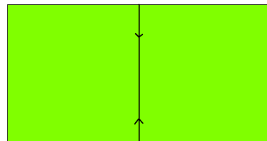
Examples of orbits in a rectangular billiard



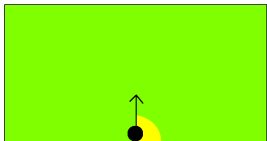
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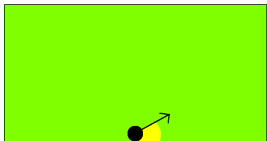
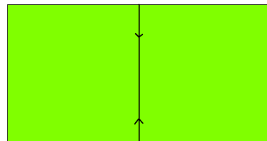
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Number of bounces (period)
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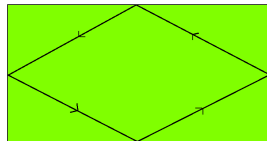
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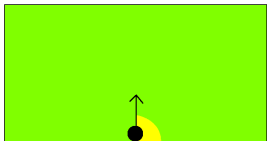
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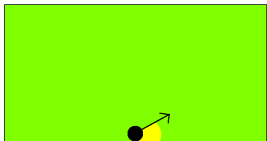
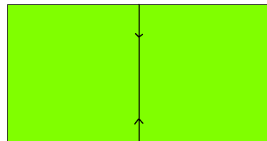


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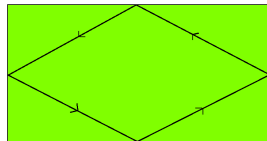
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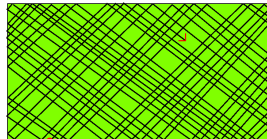


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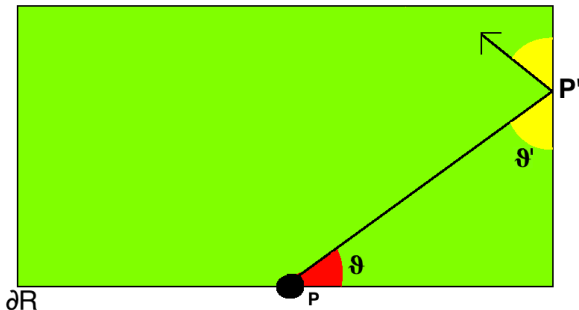
Non-periodic orbit



The Billiard Map

The **billiard map** is a map that to each initial pair (P, ϑ) associates the point at which the ball will hit the boundary next and the corresponding angle of incidence:

$$\begin{aligned} B : \partial R \times (0, \pi) &\longrightarrow \partial R \times (0, \pi) \\ (P, \vartheta) &\longrightarrow (P', \vartheta') \end{aligned}$$



A Mathematical Billiard is an example of Dynamical System

What is a Dynamical System?

It is a system whose **state evolves** in **time**.

Goal: To study and describe its evolution in time.

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- **State**: “features” of the system that identify uniquely its state at a given time (e.g., for the billiard one can choose (P, ϑ)).
 - The sequence of states achieved by the system is called **orbit**.
 - The set of possible states is called $\longrightarrow$ **state space** (for the billiard it is $\partial R \times (0, \pi)$).

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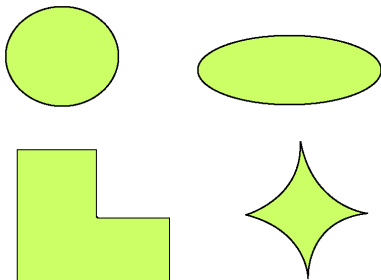
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- **Evolution**: the law/map that allows one to deduce the “next” state, by knowing the current one (e.g., for the billiard, the map B).
- **Time**: it can be **continuous** (at every time we want to know the state of the ball) or **discrete** (we want to know the state of the ball only when it hits the boundary).

Why do we consider only rectangular billiards?

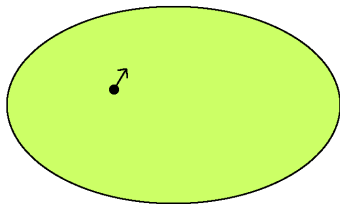
The dynamics inside a billiard is completely determined by its **geometry** (*i.e.*, its **shape**)!

One could choose billiard tables with different shapes:

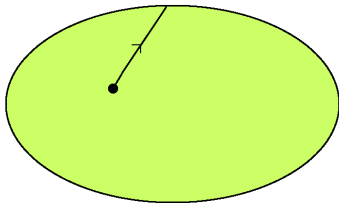


One could also assume that the domain lies inside a Riemannian metric rather than the Euclidean plane.

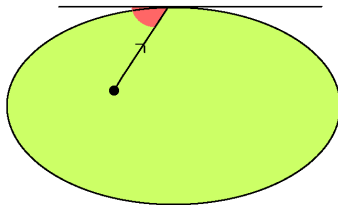
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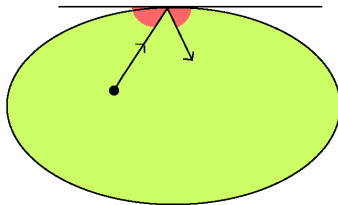


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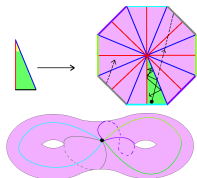


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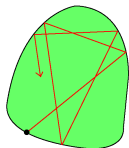
In the case of a table lying in a Riemannian manifold, the ball moves along **geodesics** instead of straight lines.

The study of the **dynamics** of billiards is a very active area of research. Dynamical behaviours and properties are strongly related to the shape of the table.



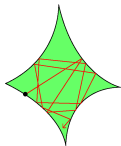
Polygonal billiards:

- Related to the study of the geodesic flow on a **translation surface** (with singular points);
- **Teichmüller theory**.



(Strictly) Convex Billiards:

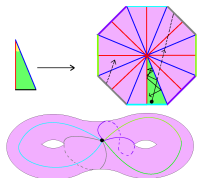
- **Birkhoff billiards** (G. Birkhoff, 1927: paradigmatic example of Hamiltonian systems).
- The billiard map is a **twist map**.
- Coexistence of regular (**KAM**, **Aubry-Mather**) and **chaotic** dynamics.



Concave Billiards (or **dispersive**):

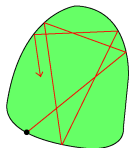
- Nearby Orbits tend to move apart (**exponentially**).
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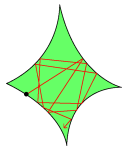
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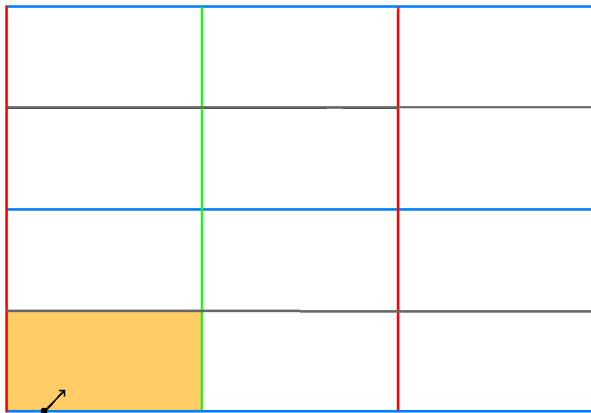
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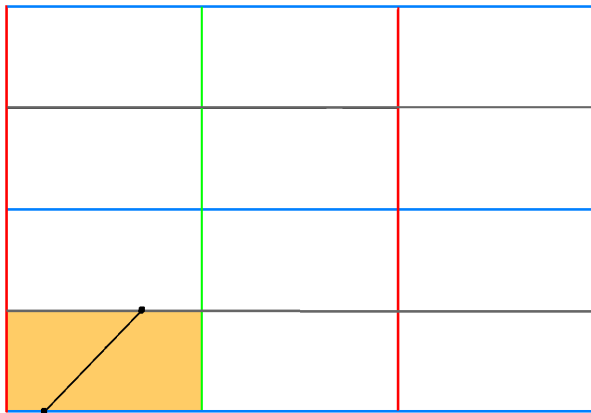
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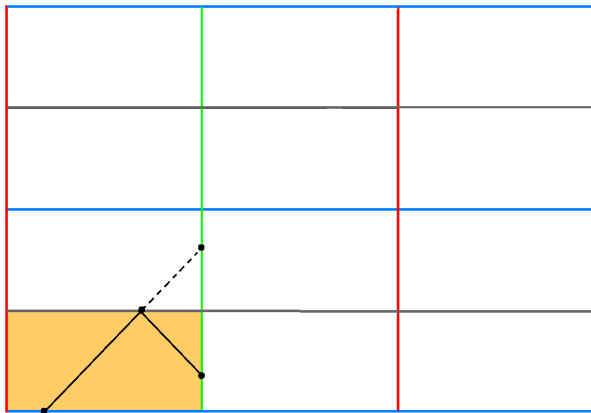
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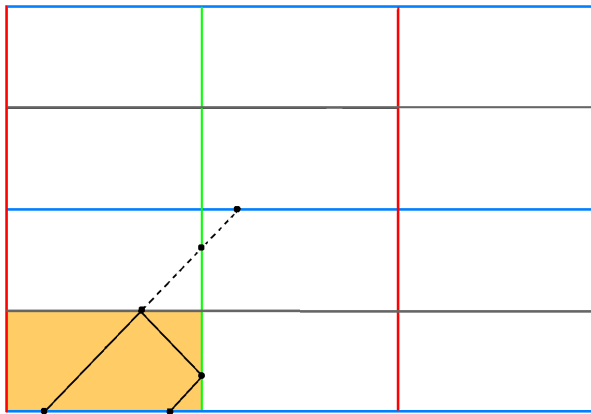
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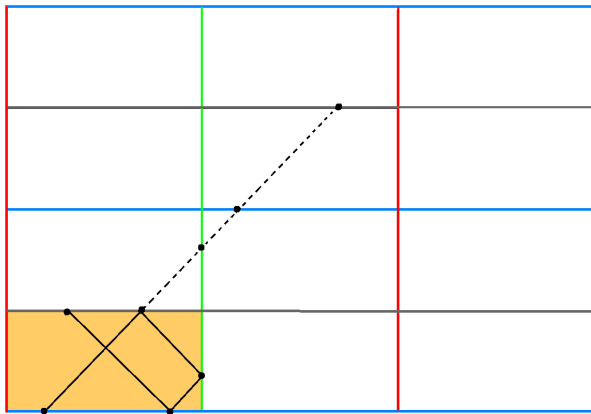
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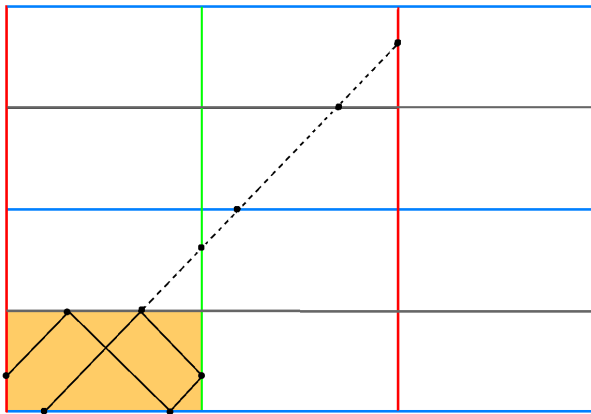
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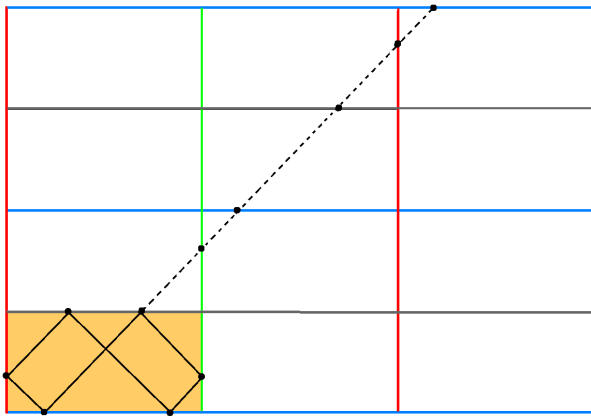
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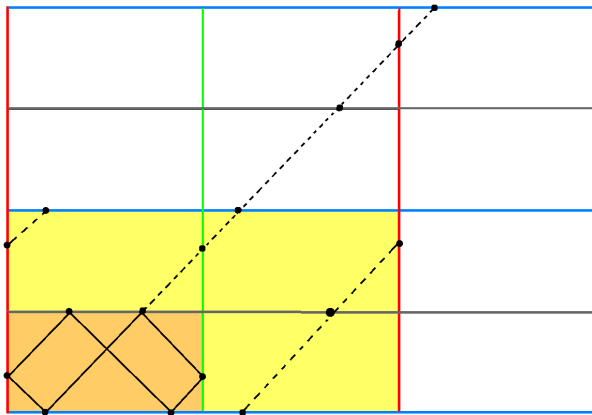
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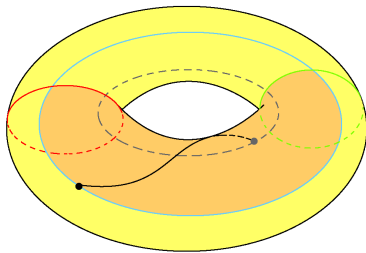
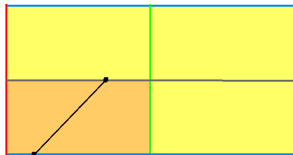
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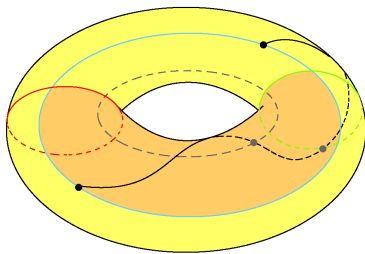
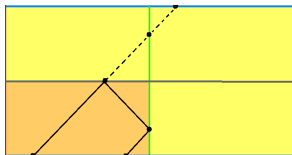
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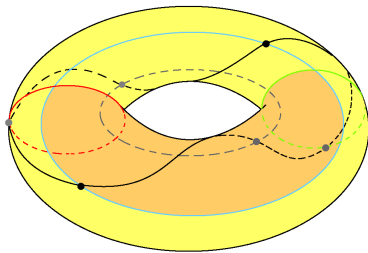
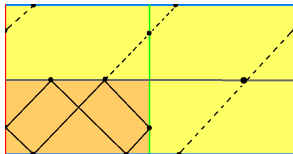
From a polygonal billiard to a surface



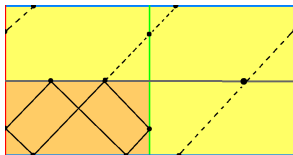
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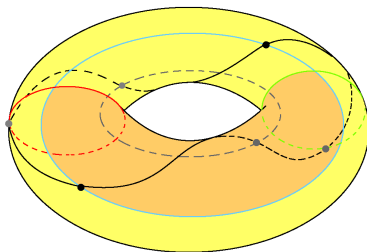


From a polygonal billiard to a surface



Billiard in a rectangle

Periodic orbits



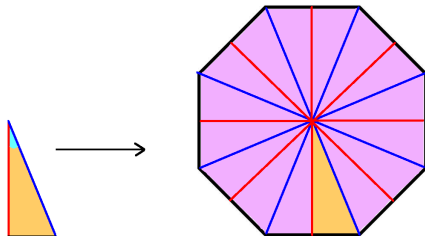
Geodesic (linear) flow on the Torus

Closed Geodesics

From a polygonal billiard to a surface

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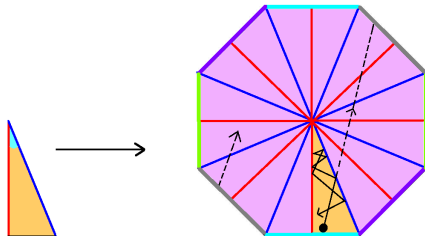
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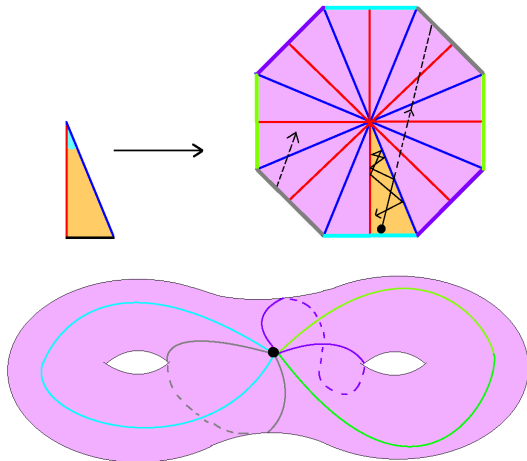
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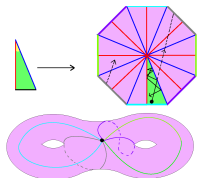
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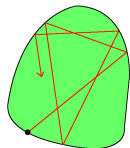


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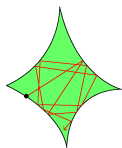
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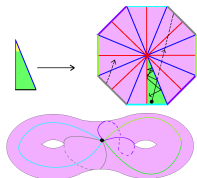
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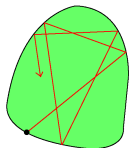
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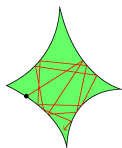
Polygonal billiards:

- Related to the study of the geodesic flow on a translation surface (with singular points);
- Teichmüller theory.



(Strictly) Convex Billiards:

- **Birkhoff billiards** (G. Birkhoff, 1927: paradigmatic example of Hamiltonian systems).
- The billiard map is a **twist map**.
- Coexistence of regular (**KAM**, **Aubry-Mather**) and **chaotic** dynamics.



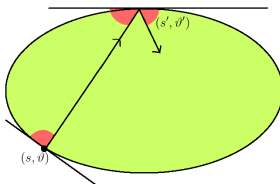
Concave Billiards (or dispersive):

- Nearby Orbits tend to move apart (**exponentially**).
- **Hyperbolicity** and **chaotic behaviour** (Y. Sinai, 1970).
- Study of statistical properties of orbits.

Birkhoff Billiards

Let Ω be a **strictly convex** domain in $\mathbb{R}^2$ with **smooth** boundary $\partial\Omega$ (fix an orientation). Let ϑ the **shooting angle** (w.r.t. the positive tangent to $\partial\Omega$). The **Billiard map** is:

$$\begin{aligned} B : \partial\Omega \times (0, \pi) &\longrightarrow \partial\Omega \times (0, \pi) \\ (s, \vartheta) &\longmapsto (s', \vartheta'). \end{aligned}$$



This simple model has been first proposed by G.D. Birkhoff (1927) as a mathematical playground where “*the formal side, usually so formidable in dynamics, almost completely disappears and only the interesting qualitative questions need to be considered*”.



George D. Birkhoff
(1884-1944)

Dynamics $\longleftrightarrow$ Geometry

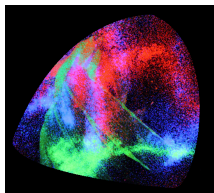
Study of Dynamics: understand the properties of orbits (periodicity, symmetries, chaos, etc...)

Dynamics $\longleftrightarrow$ Geometry

Study of Dynamics: understand the properties of orbits (periodicity, symmetries, chaos, etc...)

While the dependence of the dynamics on the geometry of the domain is well perceptible, an intriguing challenge is:

To what extent dynamical information can be used
to reconstruct the **shape** of the domain.



This apparently naïve question is at the core of different intriguing **conjectures**, among the most difficult to tackle in the study of dynamical systems!

Example I: Circular billiard



Digression: A Mad Tea-Party



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Charles Lutwidge Dodgson (1832-1898)
(better known as **Lewis Carroll**).

'But I don't want to go among mad people', Alice remarked. 'Oh, you can't help that', said the Cat: 'we're all mad here. You're mad.' *'How do you know I'm mad?', said Alice. 'You must be', said the Cat, 'or you wouldn't have come here.'*

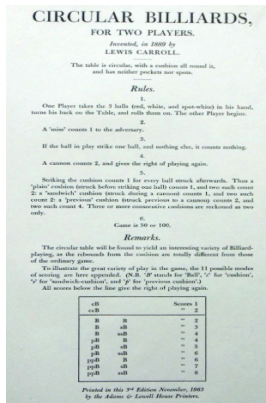
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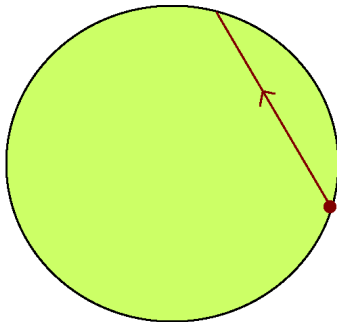


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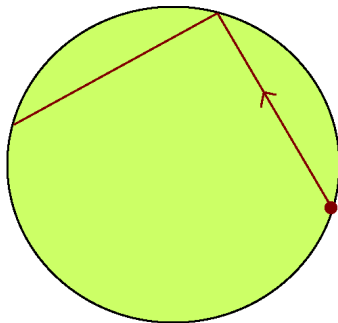


Lewis Carroll thought of playing billiards on a **circular table** in **1889** and first published its rules the following year (and a circular billiard table was actually made for him!)

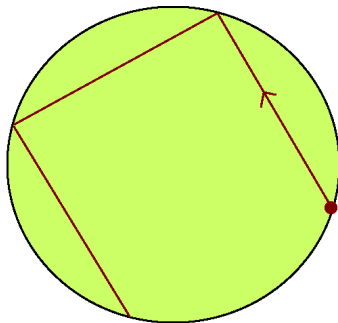
Example I: Circular billiard



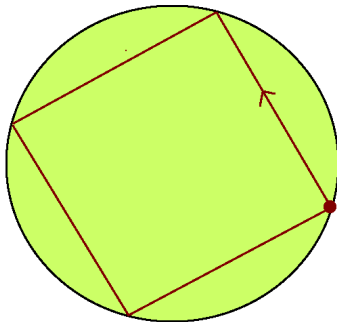
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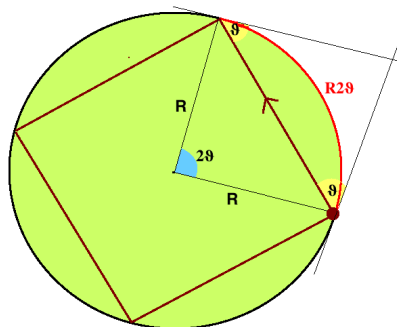
Example I: Circular billiard



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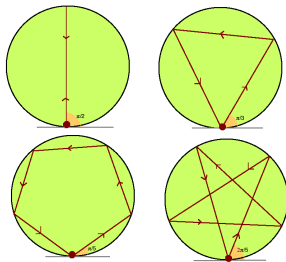
Example I: Circular billiard



The angle remains constant at each bounce: it is an **Integral of motion**.

Example I: Circular billiard

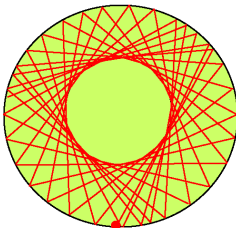
If ϑ is a rational multiple of π , then the orbit is **periodic**.



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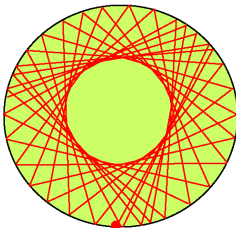
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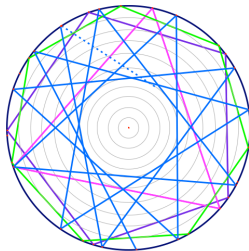
The trajectory does not fill in the table: there is a region (a disc) which is never crossed by the ball!

The trajectory is always tangent to a circle (this is an example of **caustic**).

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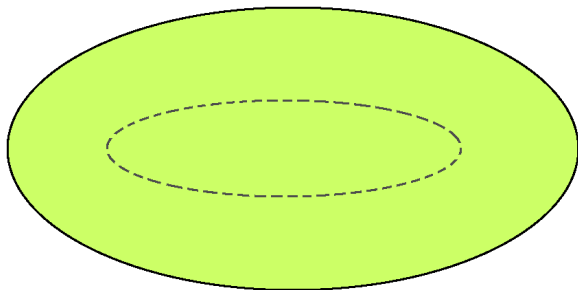
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The disc is foliated by **convex caustics** $\longrightarrow$ **Integrable billiard**.

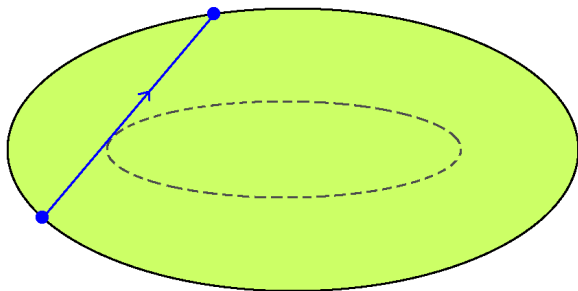
Caustics

A **convex caustic** is a closed C^1 curve in the interior of Ω , bounding itself a strictly convex domain, with the property that each trajectory that is tangent to it stays tangent after each reflection.



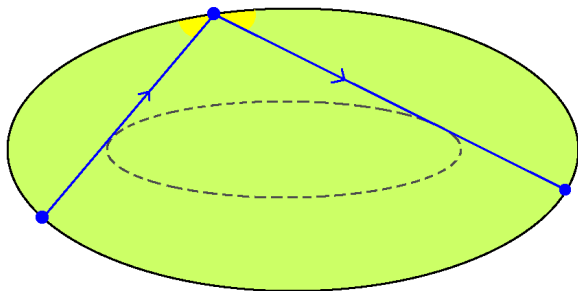
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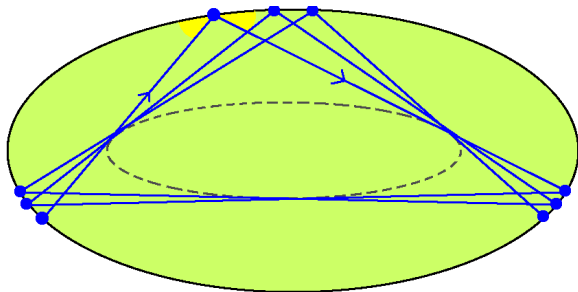
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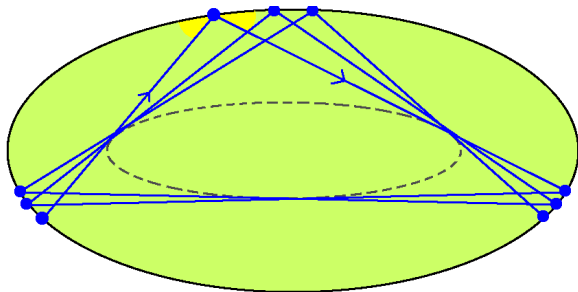
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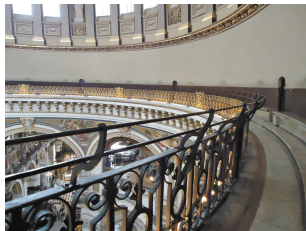
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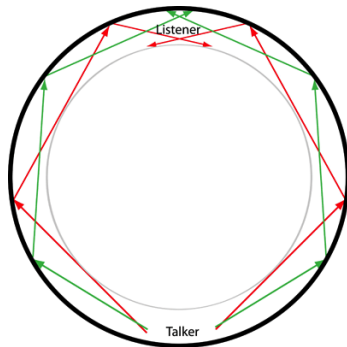


To a convex caustic in Ω corresponds an **invariant circle** for the billiard map. (The converse is not entirely true: invariant curves give rise to caustics, but they might not be convex, nor differentiable).

Caustics and Whispering Galleries



Whispering Gallery in St. Paul Cathedral in London (Lord Rayleigh, 1878 ca.)

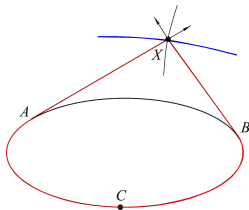


Existence of Caustics

- Do there exist other examples of billiards with at least one caustic?

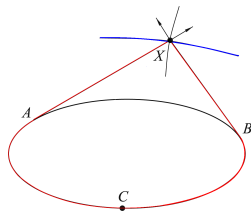
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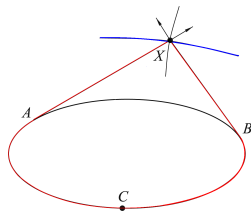
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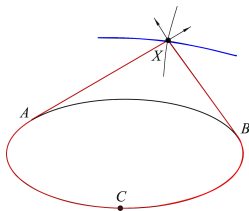
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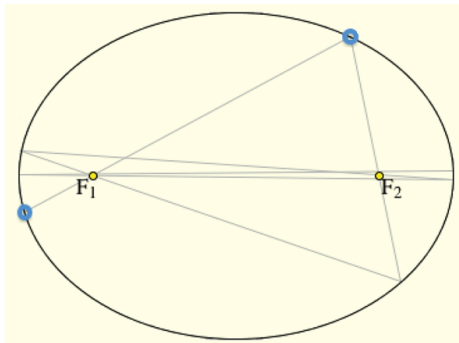
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- Do there exist other examples of billiards admitting a **foliation** by caustics?

Example II: Elliptic billiard



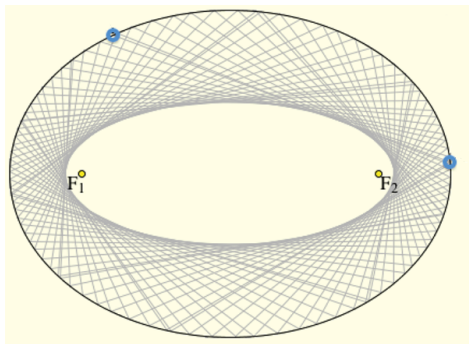
Curiosity: The New York Times (1st July 1964) ran a full-page ad for **Elliptipool**, played on an elliptical table with a single pocket at one of the two foci. The ad said that on the following day the game would be demonstrated at Stern's department store by movie stars Paul Newman and Joanne Woodward.

Example II: Elliptic billiard



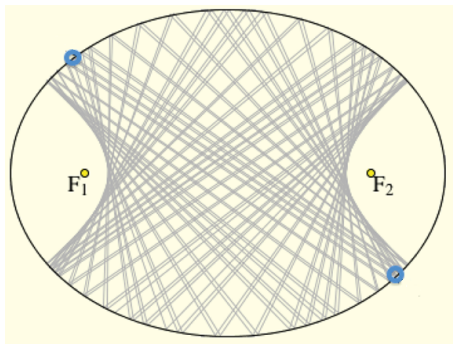
If the trajectory passes through one of the **foci**, then it always passes through them, alternatively.

Example II: Elliptic billiard



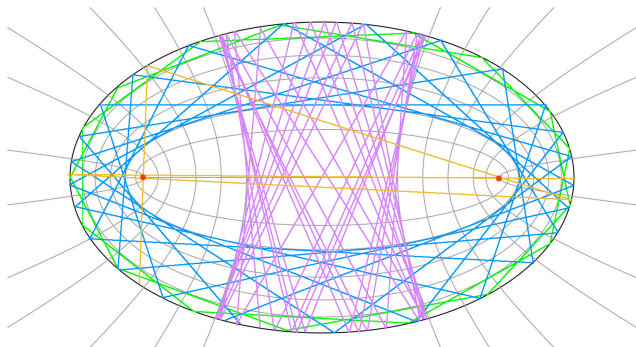
If the trajectory **does not intersect** the segment between the foci, then it never does and it is tangent to a **confocal ellipse** (a **convex caustic**).

Example II: Elliptic billiard



If the trajectory **intersects** the segment between the foci, then it always does and it is tangent to a **confocal hyperbola** (a **non-convex caustic**).

Example II: Elliptic billiard



The elliptic billiard is also **foliated** by convex caustics (with the exception of the segment between the two foci).

Birkhoff conjecture

Conjecture (Birkhoff-Poritsky)

The only **integrable** billiard maps correspond to billiards inside **ellipses**.

Although some vague indications of this question can be found in **Birkhoff's** works (1920's-30's), its first appearance was in a paper by **Poritsky** (1950), who was a National Research Fellow in Mathematics at Harvard University, presumably under the supervision of Birkhoff.

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J. Mather (1982): **non-existence** of caustics if the curvature of the boundary vanishes at (at least) one point.

M. Bialy (1993): If the phase space of the billiard map is **completely foliated** by continuous invariant curves which are not null-homotopic, then it is a circular billiard.

Perturbative Birkhoff conjecture

One could restrict the analysis to what happens for domains that are **sufficiently close** to ellipses.

Birkhoff Conjecture (Perturbative version)

A smooth strictly convex domain that is **sufficiently close** (w.r.t. some topology) to an ellipse and whose corresponding billiard map is **integrable**, is necessarily an **ellipse**.

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In a recent work in collaboration with **Vadim Kaloshin** (Univ. of Maryland, USA), we proved that this version of the conjecture holds **TRUE!**

V. Kaloshin, A. Sorrentino,

“**On the local Birkhoff conjecture for convex billiards**”

Annals of Mathematics 188 (1): 315–380, 2018.



(**Advertisement:** For more details, come tomorrow to the Analysis Seminar, Palazzo Campana, Sala S, 9:30 am)

Thank you
for your attention



Guido Fubini-Ghiron
(1879-1943)

... and for the prize!