

# Fondamenti della Programmazione: Metodi Evoluti

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Lezione 12: «Container» data structures

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# **Topics for this lecture**

Containers and genericity

Container operations

Assessing algorithm performance: Big-O notation

Important Data Structures:

- Tuples
- Lists
- Arrays
- Hash tables
- Stacks and queues



#### Container data structures

Contain other objects ("items")

Various container implementations, as studied next, determine:

- Which of these operations are available
- Their speed
- The storage requirements

This lecture is just an intro; "Data Structures and Algorithms" is a large and important section of Informatics



### Container data structures: classification

- A container can be studied from three viewpoints: storage, access, and traversal
- For each of these viewpoint the Base library offers a hierarchy of deferred classes:
  - BOX describes storage properties, such as being bounded or unbounded.
  - COLLECTION describes access properties (defining how to access a container's items, for example through an index or according to a last-in, first-out policy).
  - TRAVERSABLE describes **traversal** properties, such as sequential or hierarchical.



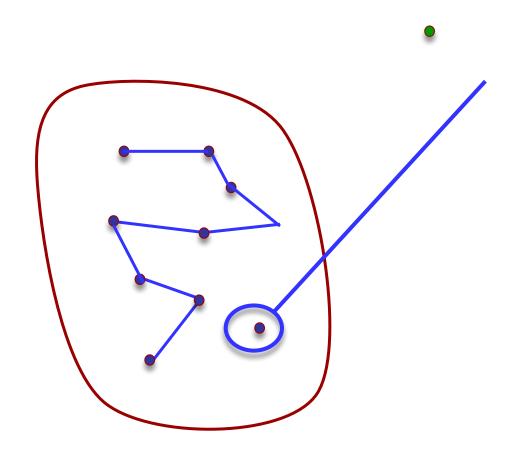
### Deferred classes in EiffelBase

#### From lesson 11-EREDITARIETÀ **CONTAINER** \* **COLLECTION BOX** TRAVERSABLE HIERARCHICAL INFINITE **FINITE** BAG SET LINEAR \* COUNTABLE \* INTEGER\_ \* ACTIVE UNBOUNDED TABLE **BOUNDED BILINEAR** INTERVAL RESIZABLE CURSOR\_ DISPENSER INDEXABLE **SEQUENCE STRUCTURE** HASH\_TABLE **ARRAY STRING STACK QUEUE**

\* deferred



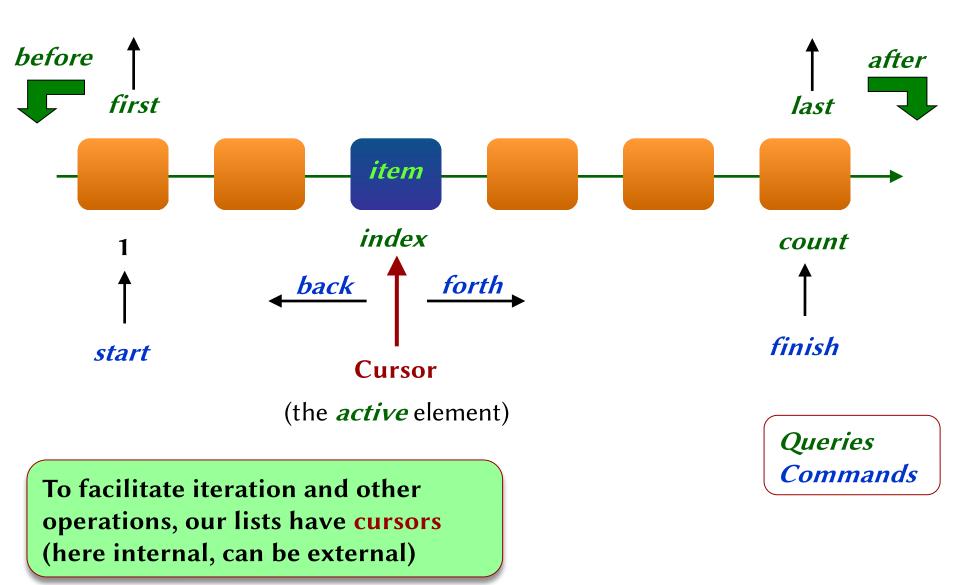
# Container data structure operations



- Insertion: add an item
- Search: find out if a given item is present
- Removal: remove an occurrence (if any) of an item
- Wipeout: remove all occurrences of items
- Traversal/Iteration: apply a given operation to every item

#### A familiar container: the list





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### A standardized naming scheme

Container classes in EiffelBase use standard names for basic container operations:

is\_empty: BOOLEAN

has(v:G):BOOLEAN

count: INTEGER

item: G

```
make
put (v: G)
remove (v: G)
wipe_out
start, finish
forth, back
```

Whenever applicable, use them in your own classes as well



# **Bounded representations**

In designing container structures, avoid hardwired limits!

"Don't box me in": EiffelBase is paranoid about hard limits

- Most structures conceptually unbounded
- Even arrays (bounded at any particular time) are resizable

When a structure is bounded, the maximum number of items is called *capacity*, with an invariant

count <= capacity</pre>



# **Containers and genericity**

How do we handle variants of a container class distinguished only by the item type?

Solution: genericity allows explicit type parameterization consistent with static typing

Container structures are implemented as generic classes:

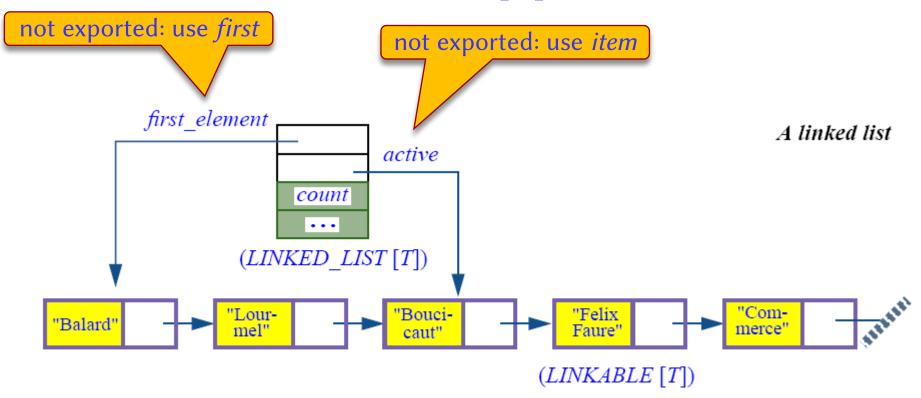
```
LINKED_LIST [G]
```

```
pl : LINKED_LIST [PERSON]
sl : LINKED_LIST [STRING]
al : LINKED_LIST [ANY]
```



# A specific implementation: (singly) linked lists

EiffelBase class: *LINKED\_LIST* [T]



#### Caveat



Whenever you define a container structure and the corresponding class, pay attention to borderline cases:

- Empty structure
- Full structure (if finite capacity)



# **Key features**

Attributes at are the key features in manipulating a container since are the fundamental places keeping information on its status

Queries provide derived information on container status

Commands change the container status (hence attributes value)

The attributes of *LINKED\_LIST* [*G*] are ONLY these ones (some were defined as **deferred** in ancestor classes):

- active is the current element (possibly Void), export {LINKED\_LIST}
- first\_element is the first element (possibly Void), export {LINKED\_LIST}
- *count* is the number of elements
- before is true when the cursor is before the first element
- after is true when the cursor is after the last element



# Class invariants defining properties (inherited)

from FINITE:  $is\_empty = (count = 0)$ 

NB: it's how the function *is\_empty* is defined

from TRAVERSABLE: is\_empty implies off

NB: off is deferred in TRAVERSABLE; defined here as

*off* = *before* **or** *after* 

from *LINEAR*: after implies off

from *BILINEAR*: before **implies** off

from *BILINEAR*: **not** (*after* **and** *before*)



# Class invariants defining properties (inherited)

from CHAIN:  $0 \le index \le count + 1$ 

NB: *index* is a function (it traverses the list)

providing the current cursor position

from CHAIN: off = ((index = 0) or (index = count + 1))

from CHAIN: isfirst = ((**not** is\_empty) **and** (index = 1))

from CHAIN: islast = ((**not** is\_empty) **and** (index = count))

from *CHAIN*: **not** *off* **implies** (*item* = *i*\_*th* (*index*) )

NB: *item* and *i\_th* are functions providing the element at a given integer

from LIST: before = (index = 0)

from LIST: after = (index = count + 1)



# Class invariants defining further constraints

In an **empty list** there is no *active* nor *first\_element*:

```
is_empty implies ((first_element = Void) and (active = Void))
```

Also:

```
(active = Void) implies is_empty
```

therefore in a not empty list active cannot be Void

Note the following constraints:

```
before implies (active = first_element)

after implies (active = last_element)
```

In these cases *active* is on the first or the last element, but they cannot be accessed since *item* requires **not** *off* 



# Queries: accessing elements

```
item : returns value (of type G) stored in the element at the current cursor
position (i.e., active.item)
require not off
```

```
first : returns value stored in the first element (i.e., first_element.item )
last : returns value stored in the last element (i.e., last_element.item )
N.B.: last_element is a function traversing the list!
require not is_empty (for both)
```

*i\_th* (*i*: *INTEGER*) returns *value* (of type *G*) stored in the element in the *i\_th* position, i.e. at index *i* 

require 1 <= i <= count



# Commands with contracts: moving the cursor

```
start: move the cursor to first position (no effect if empty)
         ensure (not is_empty) implies isfirst
         ensure is_empty implies after
finish: move the cursor to last position (no effect if empty)
         ensure (not is_empty) implies islast
         ensure is_empty implies before
forth: move the cursor to next position
         require not after
         ensure index = old index + 1
back: move the cursor to previous position
         require not before
         ensure index = old index - 1
go_i_th : move the cursor to i -th position
         require 0 \le i \le count + 1
         ensure index = i
```

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# **Tuples**

A **tuple** is a container storing items of **arbitrary** type in a set of contiguous memory locations, each



identified by name\_

author

year

title

Collodi

1883

Pinocchio

### Example:

a\_book: *TUPLE* [author: *STRING*, year: *INTEGER*, title: *STRING*]

where author and year and title denote the tuple components and are called tags

Tags facilitates access to individual elements...

... but can be omitted if you do not need to use them...



# Tuples: tags for accessing and modifying

Given:

a\_person: TUPLE [ name: STRING, age: INTEGER]

```
a_person := ["Mario", 56]
```

Assigns to a\_person the expression ["Mario", 56], called a manifest tuple

print(a\_person.name)

Prints the string Mario

 $a_person.age := 48$ 

Uses tag age as an assigner command for the tuple component

NB: a\_person: *TUPLE* [*STRING*, *INTEGER*] is a correct definition for a tuple requiring no access to specific component



# Tuple type as anonymous class

# A tuple is like a class providing only

- Attributes
- All public
- With setter procedures
  - without precondition
  - doing nothing else beyond setting attribute value

In cases like this a tuple is a more economic modeling solution than a class

Known also as anonymous classes



# **Tuples: conformance rules**

The type *TUPLE* describes tuples of arbitrary length and composition

The type *TUPLE* [T] describes tuples whose first component is of type T and the rest is of arbitrary length and composition

The type *TUPLE* [T, V] describes tuples whose first component is of type T, second component is of type V, and the rest is of arbitrary length and composition

```
... and so on ...

Then, given

p0: TUPLE

p1: TUPLE [STRING]

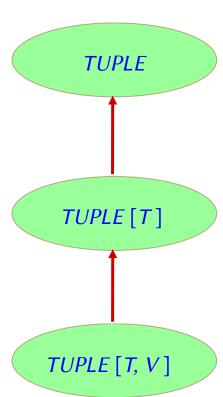
p2:TUPLE [STRING, INTEGER]

Assignments like:

p0 := p1; p1 := p2
```

are conforming, while assignments like p2:= p1; p1:= p2

are not conforming



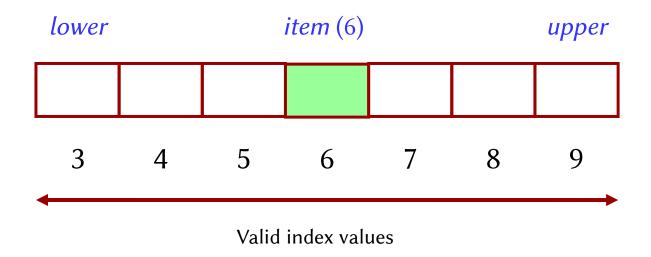
# **Arrays**



# An array

# ARRAY [G]

is a container storing items of type **conforming** to *G* in a set of <u>contiguous memory locations</u>, each identified by an integer index



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### **Bounds and indexes (1)**

```
Arrays are bounded:
     lower: INTEGER
             -- Minimum index.
     upper: INTEGER
             -- Maximum index.
                                           default value
Usage:
     my_array :ARRAY[INTEGER]
     create my_array.make_filled (0
                                             bounds
The capacity (or count) of an array is:
     capacity = count = upper - lower + 1
```



### **Bounds and indexes (2)**

### Since class invariant requires

$$count >= 0$$

We have

An array has one element (count = 1) if and only if

An array is empty (count = 0) if and only if

$$lower = upper + 1$$



# Accessing and modifying array items

```
item (i: INTEGER) : G
           -- Entry at index i, if in the index interval.
     require
           valid_key: valid_index(i)
                                         i >= lower and i <= upper
put (v: G; i: INTEGER)
           -- Replace i-th entry, if in the index interval, by v.
     require
           valid_key: valid_index(i)
     ensure
                                        i >= lower and i <= upper
           inserted: item(i) = v
```



# Safe insertion in arrays

```
put (v: G; i: INTEGER)
     -- Replace i-th entry, if in the index interval, by v.

require
     valid_key: valid_index (i)
ensure
     inserted: item (i) = v

i >= lower and i <= upper</pre>
```

A put(v, i) might fail if i is not a valid index.

Use force(v, i) if you don't want to check the precondition. It "forces" the resizing of the array is the index is not in the validity range...



# Resizing an array (1)

At any point in time arrays have a fixed lower and upper bound, and thus a fixed capacity

Unlike most other programming languages, Eiffel allows resizing an array (*resize*)

```
resize (min_index, max_index: INTEGER)
```

- -- Enlarge array, preserving existing items,
- -- down to min\_index and up to max\_index.

### require

valid\_bounds: min\_index <= max\_index</pre>

#### ensure

```
no_low_lost: lower = min_index.min (old lower)
```

no\_high\_lost: upper = max\_index.max (**old** upper)

It is a costly operation, since involves allocating a new zone and copying all elements

attempts to increase *lower* or to decrease *upper* will be discarded



# Resizing an array (2)

Inserting element with put can be done only within the bound. What if one needs to insert an element outside the current bounds?

Use feature *force* which, unlike *put*, has no precondition and can insert outside bounds. If required, it resizes the array:

```
force (v: G; i: INTEGER)
-- Assign i-th entry to v.
```

#### ensure

```
inserted: item(i) = v
```

higher\_count: *count* >= **old** *count* 

By default, *force* enlarge the size when needed by 50% so that in a linear sequence of *force*d insertions only a logarithmic number of costly resizing operation is performed.

# **REMEMBER: simplifying the notation**

From 03 – Features p.42

Feature item is declared as

This allows the following synonym notations:

```
a[i] for a.item(i)

a.item(i) := x for a.put(x, i)

a[i] := x for a.put(x, i)
```

From 06 – Visibilità p.8

A class may have at most one feature, with any number of arguments, **alias**-ed to "[]"



# **Linked list or array?**

The choice of a container data structure depends on the speed of its container operations

The speed of a container operation depends on how it is implemented, on its underlying algorithm



# How fast is an algorithm?

Depends on the hardware, operating system, load on the machine...

But most fundamentally depends on the algorithm!



# Algorithm complexity: "big-O" notation

Let *n* be the size of the data structure (*count* ).

"f is 
$$O(g(n))$$
"

means that there exists a constant k such that:

$$\forall$$
 n,  $|f(n)| \leq k |g(n)|$ 

Defines function not by exact formula but by order of magnitude, e.g.

$$\sqrt{count^2 + 20count + 4}$$
 is  $O(count^2)$ 



# Why is the order of magnitude important?

Consider algorithms with complexity

- O(n)
- $O(n^2)$
- $O(2^n)$

Assume for your next birthday they have promised you a new PC 1'000 times faster...

How much bigger a problem than today can you solve in one day of computation time?

Assume for your master degree they have promised you a new PC 1'000'000 times faster...

How much bigger a problem than today can you solve in one day of computation time?

# **Examples**



put\_right of LINKED\_LIST: O (1)

Regardless of the number of elements in the linked list it takes a constant time to insert an item at cursor position.

*force* of *ARRAY*: **O** (count)

At worst the time for this operation grows proportionally to the number of elements in the array.



# Variants of algorithm complexity

# We may be interested in

- Worst-case performance
- Best-case performance (seldom)
- Average performance (needs statistical distribution)

Unless otherwise specified this discussion considers worst-case

Lower bound notation:  $\Omega$  (*n*)



# **Cost of linked list operations**

Operation	Feature	Complexity
Insert right to cursor	put_right	<b>O</b> (1)
Insert at end	extend	<b>O</b> (1)
Move cursor ahead	forth	<b>O</b> (1)
Move cursor back	back	O (count)
Remove right neighbor	remove_right	<b>O</b> (1)
Remove at cursor position	remove	O (count)
Index-based access	i_th	O (count)
Search	has	O (count)



# **Cost of array operations**

Operation	Feature	Complexity
Index-based access	item	<b>O</b> (1)
Index-based replacement	put	<b>O</b> (1)
Index-based replacement outside of current bounds	force	O (count)
Search	has	O (count)
Search in sorted array	-	O (log count)

#### Hash tables



# Can we get the efficiency of arrays

- Constant-time access
- Constant-time update

without limiting ourselves to keys that are integers in a fixed, contiguous interval?

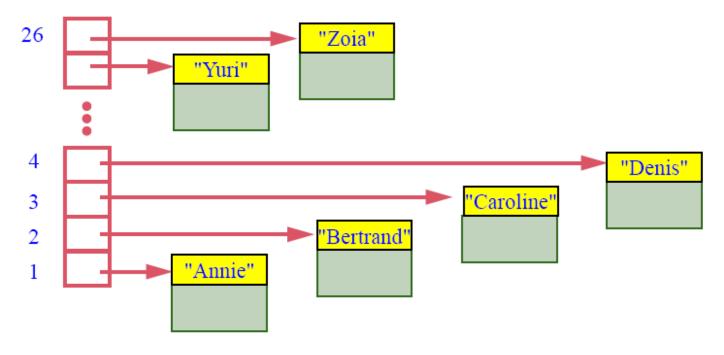
Hash table answer: almost!



#### Hash tables

Arrays allows to access elements through an integer index. What if we want another kind of index, e.g. strings?

Hash tables allow keys other than integers, e.g. strings. A trivial example:



#### **Hash function**



The hash function maps K, the set of possible keys, into an integer interval a...b.

A perfect hash function gives a different integer value for every element of *K*.

Otherwise, whenever two different keys give the same hash value a **collision** occurs.

# A mapping structure



<u>Endlich kein</u> Mundgeruch auf natürlichem Ads by Google

## **TinyURL.com**

Making long URLs usable! More than 400 million of them. Over 2 billion hits/month.

**Home** 

Example

Make Toolbar <u>Button</u>

Redirection

Hide URLs

Preview Feature<sup>cool!</sup>

Terms of use

**PayPal** 

Link to Us!

Contact Us!

#### Cool Sites

- CoolWhois.com
- Unicyclist Community
- Gilby.com
- MagicBounce Party Rentals

#### TinyURL was created!

The following URL:

http://www.amazon.com/Touch-Class-Learning-Program-Contracts /dp/3540921443/ref=sr\_1\_1?ie=UTF8&s=books&qid=1238000471&sr=

has a length of 123 characters and resulted in the following TinyURL which has a length of 25 characters:

http://tinyurl.com/dmtk6s

[Open in new window]

Or, give your recipients confidence with a preview TinyURL:

http://preview.tinyurl.com/dmtk6s [Open in new window]

This TinyURL may have been copied to your clipboard. (This no longer works for those who have upgraded to Flash 10.) To paste it in a document, press and hold down the ctrl key (command key for Mac users) while pressing the V key, or choose the "paste" option from the edit menu.

#### Enter another long URL to make tiny:

http://www.amazon.com/Touch-Cla Make TinyURL!

Custom alias (optional):

http://tinyurl.com/

May contain letters, numbers, and dashes,



#### Using hash tables

```
person, person1 : PERSON
personnel_directory : HASH_TABLE [PERSON, STRING]
```

**create** *personnel\_directory.make* (100000)

# Storing an element:

create person1

personnel\_directory.put (person1, "Annie")

# Retrieving an element

person := personnel\_directory.item ("Annie")



### Constrained genericity & the class interface

#### class

```
HASH_TABLE [G, K -> HASHABLE]
```

```
Allows h ["ABC"] for h•item ("ABC")
```

Allows  $h \bullet item$  ("ABC") := x for  $h \bullet put$  (x, "ABC")

#### feature

```
item alias "[]" (key: K): G assign put
```

#### put (new : G ; key : K )

- -- Insert *new* with *key* if no other item
- -- is associated with same key, otherwise
- -- do nothing

Together, allow

```
h ["ABC"] := x
```

for  $h \cdot put(x, \text{``ABC''})$ 

#### force (new: G; key: K)

- -- Update table so that *new* will be
- -- the item associated with *key*.

end



### The example rewritten

```
person, person1 : PERSON
personnel_directory : HASH_TABLE [PERSON, STRING]
```

**create** *personnel\_directory.make* (100000)

#### Storing an element:

create person1

personnel\_directory.put (person1, "Annie")

personnel\_directory ["Annie"] := person1

# Retrieving an element

```
person := personnel_directory.item ("Annie")
person := personnel_directory ["Annie"]
```

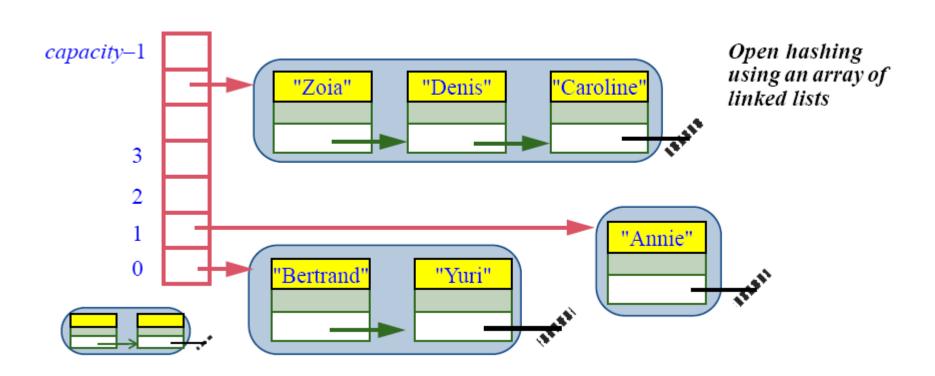
Not good style, why?

# **Collision handling**



### Open hashing:

ARRAY [LINKED\_LIST [G]]

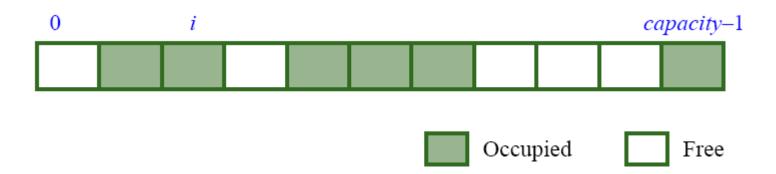




# A better technique: closed hashing

In EiffelBase the class *HASH\_TABLE* [*G*, *H*] implements closed hashing:

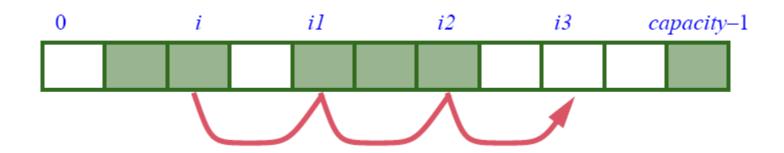
HASH\_TABLE [G, H] uses a single ARRAY [G] to store the items. At any time some of positions are occupied and some free:





# **Closed hashing**

If the hash function yields an already occupied position, the mechanism will try a succession of other positions (*i1*, *i2*, *i3*), provided by adding a suitably defined increment, until it finds a free one:



With this policy and a good choice of hash function search and insertion in a hash table are O(1) ...

...save for the need of enlarging the table when it becomes almost full: an O (count) operation (this affects put and force). Eiffel use 80% as a threshold to decide when enlarging the table



# **Cost of hash table operations**

Operation	Feature	Complexity
Key-based access	item	<b>O</b> (1)
Key-based insertion	put, force	O (count)
Removal	remove	<b>O</b> (1)
Key-based replacement	replace	<b>O</b> (1)
Search	has	<b>O</b> (1)

# **Dispensers**







### **Dispensers**

Unlike indexed structures, as arrays and hash tables, there is no key or other identifying information for dispenser items.

Dispensers are container data structures that prescribe a specific retrieval policy:

- Last In First Out (LIFO): choose the element inserted most recently → stack.
- First In First Out (FIFO): choose the oldest element not yet removed → queue.
- Priority queue: choose the element with the highest priority.

#### **Stacks**

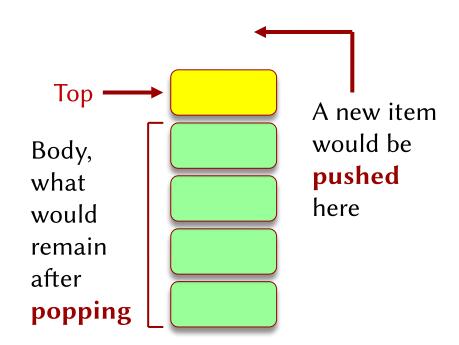


A stack is a dispenser applying a LIFO policy. The basic operations are:

Access the top element (*item*)

Pop the top element (*remove*)

Push an item to the top of the stack (put)



# **Applications of stacks**



Many!

# Ubiquitous in programming language implementation:

Parsing expressions

Managing execution of routines ("THE stack")
 Special case: implementing recursion

Traversing trees

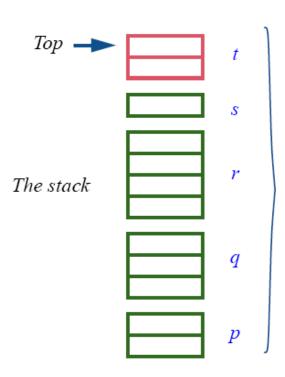
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#### The run-time stack



The run-time stack contains the *activation records* for all currently active routines.

An *activation record* contains a routine's locals (arguments and local entities).



Activation records (local variables and arguments)

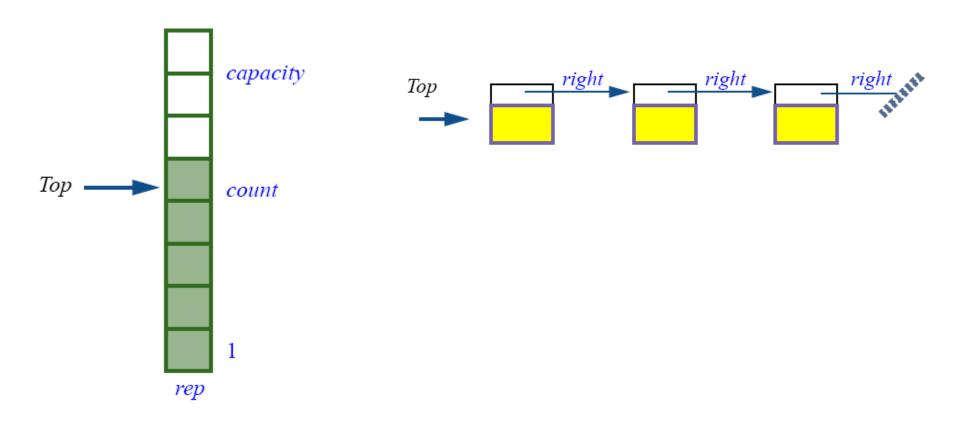
Before a call: push on stack a "frame" containing values of local variables, arguments, and return information

After a call: pop frame from stack, restore values (or terminate if stack is empty)

# Implementing stacks



Common stack implementations are either arrayed or linked.





# Choosing between data structures

#### Use a linked list if:

- Order between items matters
- The main way to access them is in that order
- (Bonus condition) No hardwired size limit

#### Use an array if:

- Each item can be identified by an integer index
- The main way to access items is through that index
- Hardwired size limit (at least for long spans of execution)

#### Use a hash table if:

- Every item has an associated key
- The main way to access them is through these keys
- ➤ The structure is bounded

#### Use a stack:

- For a LIFO policy
- Example: traversal of nested structures such as trees

#### Use a queue:

- For a FIFO policy
- Example: simulation of FIFO phenomenon



#### Learning how to use data structures

Study the relevant sections of Eiffel on-line documentation

#### EiffelBase, The Kernel

https://www.eiffel.org/doc/solutions/EiffelBase%2C%20The%20Kernel

#### **EiffelBase Data Structures Overview**

https://docs.eiffel.com/book/solutions/eiffelbase-data-structures-overview

Accessible also through the course web site

#### What we have seen



Container data structures: basic notion, key examples

Algorithm complexity ("Big-O")

How to choose a particular kind of container