

# **William Stallings**

# **Computer Organization and Architecture**

---

## **Chapter 10**

### **Instruction Sets: Characteristics and Functions**

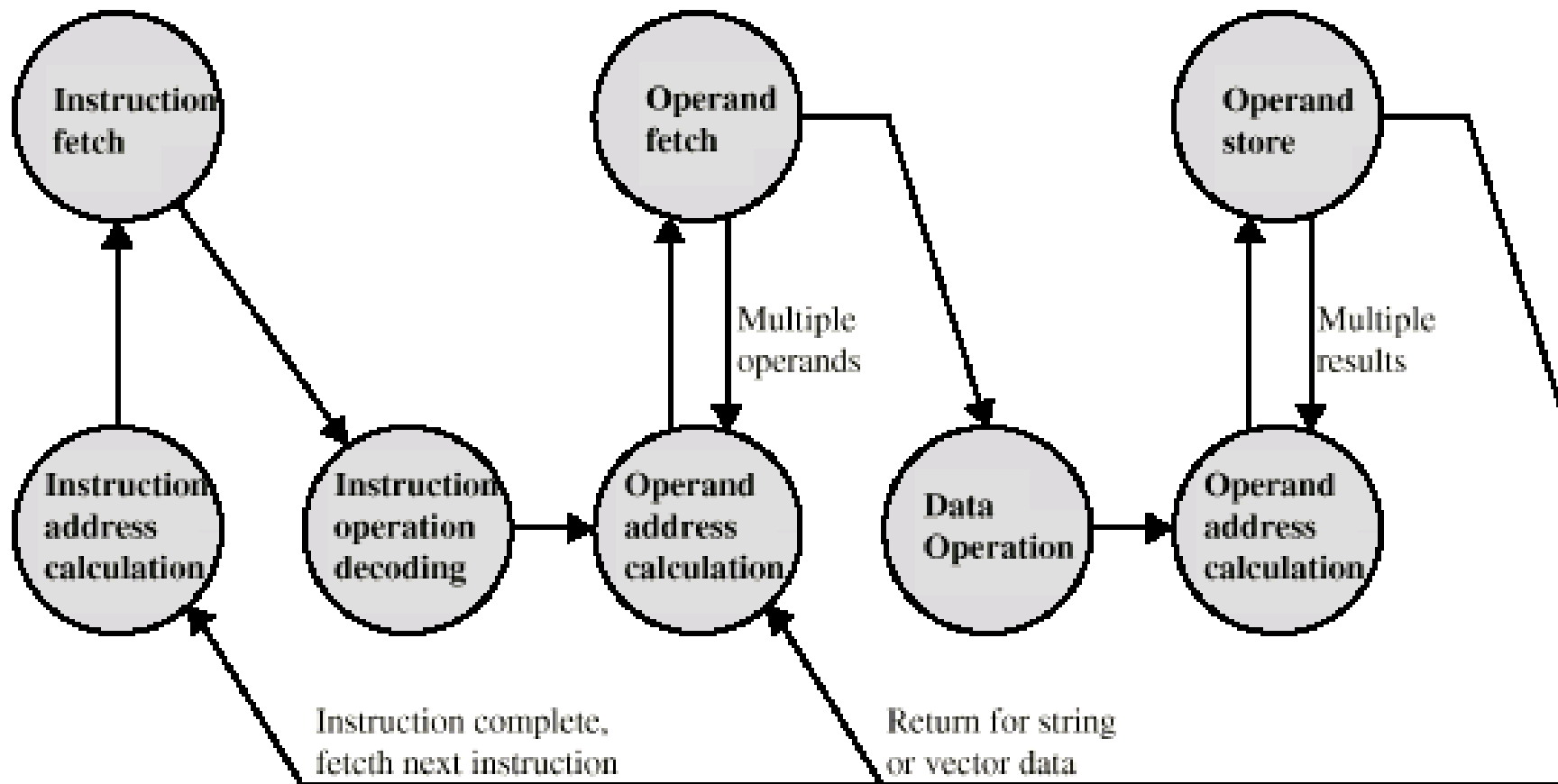
# What is an instruction set?

---

- The complete collection of instructions that are understood by a CPU
- The instruction set is the specification of the expected behaviour of the CPU
- How this behaviour is obtained is a matter of CPU implementation

# Instruction Cycle

---



# Elements of an Instruction

---

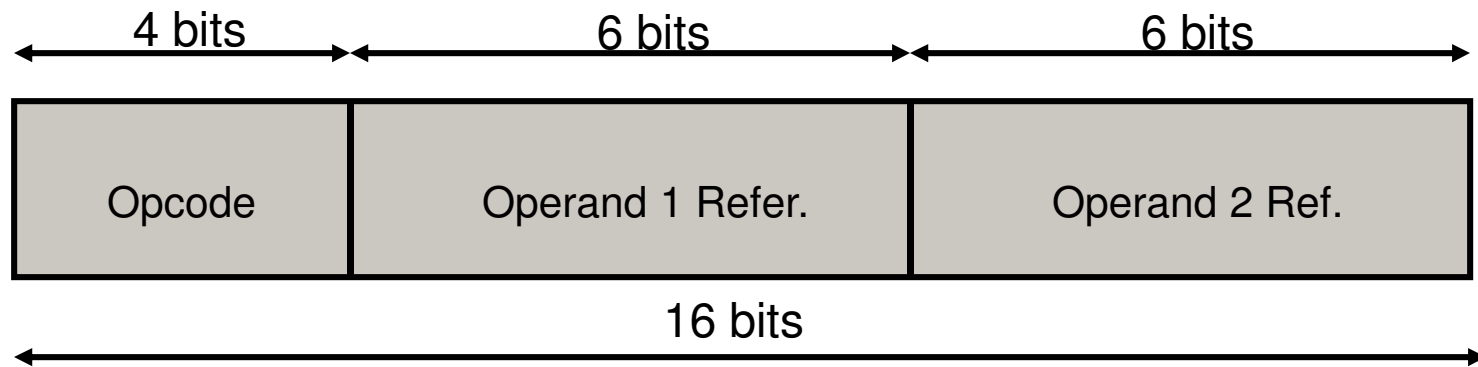
- Operation code (Opcode)
  - Do this
- Source Operand(s) reference(s)
  - To this (and this ...)
- Result Operand reference
  - Put the answer here
- The Opcode is the only mandatory element

# Instruction Types

---

- Data processing
- Data storage (main memory)
- Data movement (internal transfer and I/O)
- Program flow control

\_\_\_\_\_



- There may be many instruction formats
- For human convenience a symbolic representation is used for both opcodes (MPY) and operand references (RA RB)
  - e.g. 0110 001000 001001      MPY RA RB  
          (machine code)                  (symbolic - assembly code)

# Design Decisions (1)

---

- Operation repertoire
  - How many opcodes?
  - What can they do?
  - How complex are they?
- Data types
- Instruction formats
  - Length and structure of opcode field
  - Number and length of reference fields

# Design Decisions (2)

---

- Registers
  - Number of CPU registers available
  - Which operations can be performed on which registers?
- Addressing modes (later...)



# Types of Operand references

---

- Main memory
- Virtual memory (usually slower)
- Cache (usually faster)
  
- I/O device (slower)
- CPU registers (faster)

# Number of References/ Addresses/ Operands

---

- 3 references
  - ADD RA RB RC       $RA+RB \rightarrow RC$
- 2 references (reuse of operands)
  - ADD RA RB       $RA+RB \rightarrow RA$
- 1 reference (some implicit operands)
  - ADD RA       $Acc+RA \rightarrow Acc$
- 0 references (all operands are implicit)
  - S\_ADD       $Acc+Top(Stack) \rightarrow Acc$

# How Many References

---

- More references
  - More complex (powerful?) instructions
  - Fewer instructions per program
  - Slower instruction cycle
- Fewer references
  - Less complex (powerful?) instructions
  - More instructions per program
  - Faster instruction cycle

# Example

---

- Compute  $(A-B)/(A+(C*D))$ , assuming each of them is in a read-only register which cannot be modified.
- Additional registers X and Y can be used if needed.
- Try to minimize the number of operations
- Incremental constraints on the number of operands allowed for instructions

# Example - 3 operands (1)

---

- Syntax  
    <operation> <destination> <source-1> <source-2>
- Meaning  
    <source-1> <operation> <source-2> → <destination>
- Available instructions  
    ADD, SUB, MUL, DIV

# Example - 3 operands (2)

---

- Solution

- MUL X C D       $C * D \rightarrow X$
- ADD X A X       $A + X \rightarrow X$
- SUB Y A B       $A - B \rightarrow Y$
- DIV X Y X       $Y / X \rightarrow X$

# Example – 2 operands (1)

---

- Syntax  
    <operation> <destination> <source>
- Meaning (the destination is also the first source operand)  
    <destination> <operation> <source> → <destination>
- Available instructions  
    ADD, SUB, MUL, DIV  
    MOV <A> <B>      (B → A)

## Example – 2 operands (2)

---

- Solution (using the new movement instruction)
  - MOV X C                       $C \rightarrow X$
  - MUL X D                       $X * D \rightarrow X$
  - ADD X A                       $X + A \rightarrow X$
  - MOV Y A                       $A \rightarrow Y$
  - SUB Y B                       $Y - B \rightarrow Y$
  - DIV Y X                       $Y / X \rightarrow Y$
- Can we avoid using MOV ?



## Example – 2 operands (3)

---

- A different solution (a trick avoids using the new movement instruction)

▪ SUB X X	$X - X \rightarrow X$	(set X to zero)
▪ ADD X C	$X + C \rightarrow X$	(move C to X)
▪ MUL X D	$X * D \rightarrow X$	
▪ ADD X A	$X + A \rightarrow X$	
▪ SUB Y Y	$Y - Y \rightarrow Y$	(set Y to zero)
▪ ADD Y A	$Y + A \rightarrow Y$	(move A to Y)
▪ SUB Y B	$Y - B \rightarrow Y$	
▪ DIV Y X	$Y / X \rightarrow Y$	

# Example – 1 operand (1)

---

- Syntax  
    <operation> <source>
- Meaning (a given register, e.g. the accumulator, is both the destination and the first source operand)  
    ACCUMUL. <operation> <source> → ACCUMUL.
- Available instructions  
    ADD, SUB, MUL, DIV  
    LOAD <X>       (X → Acc)  
    STORE <X>       (Acc → X)

## Example – 1 operand (2)

---

- Solution (using the new instructions to move data to and from the accumulator)
  - LOAD C             $C \rightarrow \text{Acc}$
  - MUL D             $\text{Acc} * D \rightarrow \text{Acc}$
  - ADD A             $\text{Acc} + A \rightarrow \text{Acc}$
  - STORE X           $\text{Acc} \rightarrow X$
  - LOAD A             $A \rightarrow \text{Acc}$
  - SUB B             $\text{Acc} - B \rightarrow \text{Acc}$
  - DIV X             $\text{Acc} / X \rightarrow \text{Acc}$
- Can we avoid using LOAD and STORE?

# Example – 1 operand (3)

---

- A different solution (assumes at the beginning the accumulator stores zero, but STORE is needed since no other instruction moves data towards a register)
  - ADD C                       $\text{Acc} + \text{C} \rightarrow \text{Acc}$                       (move C to Accumul.)
  - MUL D                       $\text{Acc} * \text{D} \rightarrow \text{Acc}$
  - ADD A                       $\text{Acc} + \text{A} \rightarrow \text{Acc}$
  - STORE X                       $\text{Acc} \rightarrow \text{X}$
  - SUB Acc                       $\text{Acc} - \text{Acc} \rightarrow \text{Acc}$                       (set Acc. to zero)
  - ADD A                       $\text{Acc} + \text{A} \rightarrow \text{Acc}$                       (move A to Accumul.)
  - SUB B                       $\text{Acc} - \text{B} \rightarrow \text{Acc}$
  - DIV X                       $\text{Acc} / \text{X} \rightarrow \text{Acc}$

# Example – 0 operands (1)

---

- Syntax  
    <operation>
- Meaning (all *arithmetic* operations make reference to pre-defined registers, e.g. the accumulator and the top of the stack)  
    ACCUMUL. <operation> TOP(STACK) → ACCUMUL.
- Available instructions  
    ADD, SUB, MUL, DIV  
    LOAD <X>           (X → Acc)  
    PUSH <X>           (X → STACK)  
    POP <X>            (TOP(STACK) → X)   *the top element is  
  deleted from the stack*

# Example – 0 operands (2)

---

- Here is the solution
  - LOAD C                     $C \rightarrow \text{Acc}$
  - PUSH D                    $D \rightarrow \text{Top}(\text{Stack})$
  - MUL                       $\text{Acc} * \text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - PUSH A                    $A \rightarrow \text{Top}(\text{Stack})$
  - ADD                       $\text{Acc} + \text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - PUSH Acc                 $\text{Acc} \rightarrow \text{Top}(\text{Stack})$
  - PUSH B                    $B \rightarrow \text{Top}(\text{Stack})$
  - LOAD A                    $A \rightarrow \text{Acc}$
  - SUB                       $\text{Acc} - \text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - POP X                     $\text{Top}(\text{Stack}) \rightarrow X$
  - DIV                       $\text{Acc} / \text{Top}(\text{Stack}) \rightarrow \text{Acc}$
- Can we use a LOAD without arguments ?

# Example – 0 operands (3)

---

- Just substitutes the following instruction for a given register R

- LOAD <R>                      <R> → Acc

with the following three equivalent instructions

- PUSH <R>                      <R> → Top(Stack)
  - POP X                          Top(Stack) → X
  - LOAD                          X → Acc

- Can we avoid using LOAD ?

# Example – 0 operands (4)

---

- This solution uses only PUSH and POP to load values into the Accumulator
  - PUSH C  $C \rightarrow \text{Top}(\text{Stack})$
  - POP Acc  $\text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - PUSH D  $D \rightarrow \text{Top}(\text{Stack})$
  - MUL  $\text{Acc} * \text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - PUSH A  $A \rightarrow \text{Top}(\text{Stack})$
  - ADD  $\text{Acc} + \text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - PUSH Acc  $\text{Acc} \rightarrow \text{Top}(\text{Stack})$
  - PUSH B  $B \rightarrow \text{Top}(\text{Stack})$
  - PUSH A  $A \rightarrow \text{Top}(\text{Stack})$
  - POP Acc  $\text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - SUB  $\text{Acc} - \text{Top}(\text{Stack}) \rightarrow \text{Acc}$
  - POP X  $\text{Top}(\text{Stack}) \rightarrow X$
  - DIV  $\text{Acc} / \text{Top}(\text{Stack}) \rightarrow \text{Acc}$



# Types of Operand

---

- Addresses
- Numbers
  - Integer/floating point
- Characters
  - ASCII etc.
- Logical Data
  - Bits or flags
- The type of operand is determined by the used instruction

# Instruction Types (more detail)

---

- Arithmetic
- Logical
- Conversion
- Transfer of data (internal)
- I/O
- System Control
- Transfer of Control

# Arithmetic

---

- Add, Subtract, Multiply, Divide
- Signed Integer
- Floating point ?
- May include
  - Increment ( $a++$ )
  - Decrement ( $a--$ )
  - Negate ( $-a$ )
  - Absolute ( $|a|$ )
  - Arithmetic shift (take care of sign bit and overflow!)

# Logical

---

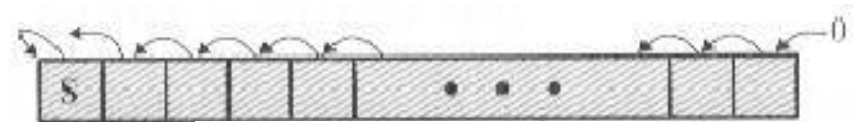
- Bit manipulation operations
  - shift, rotate, ...
- Boolean logic operations (bitwise)
  - AND, OR, NOT, ...
- Test operations
  - To set (indirectly through the ALU) control bits in the Program Status Word

# Shift and rotate operations

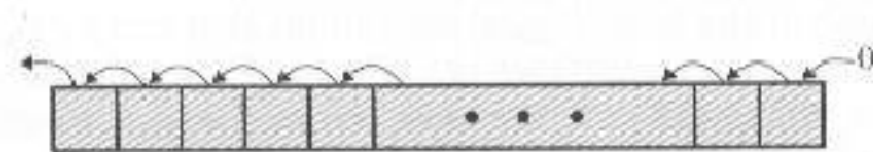
---



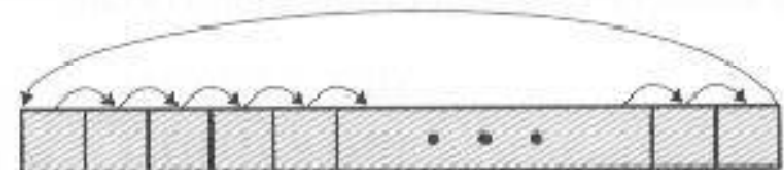
Logical right shift



Arithmetic left shift



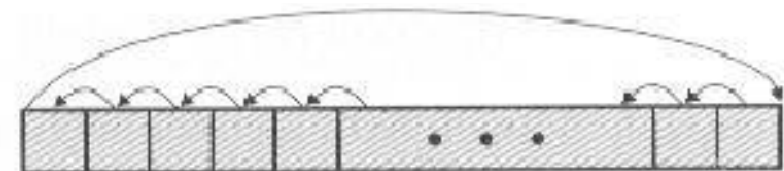
Logical left shift



Right rotate



Arithmetic right shift



Left rotate

# Conversion

---

- e.g. Binary to Decimal

# Transfer of data

---

- Specify
  - Source and Destination
  - Amount of data
- May be different instructions for different movements
  - e.g. MOVE, STORE, LOAD, PUSH
- Or one instruction and different addresses
  - e.g. MOVE B C, MOVE A M, MOVE M A, MOVE A S

# Input/Output

---

- May be specific instructions
- May be done using data movement instructions (memory mapped)
- May be done by a separate controller (DMA)



# System Control

---

- For managing the system is convenient to have *reserved* instruction executable only by some programs with special privileges (e.g., to halt a running program)
- These privileged instructions may be executed only if CPU is in a specific state (or mode)
- *Kernel* or *supervisor* or *protected* mode
- Privileged programs are part of the *operating system* and run in protected mode

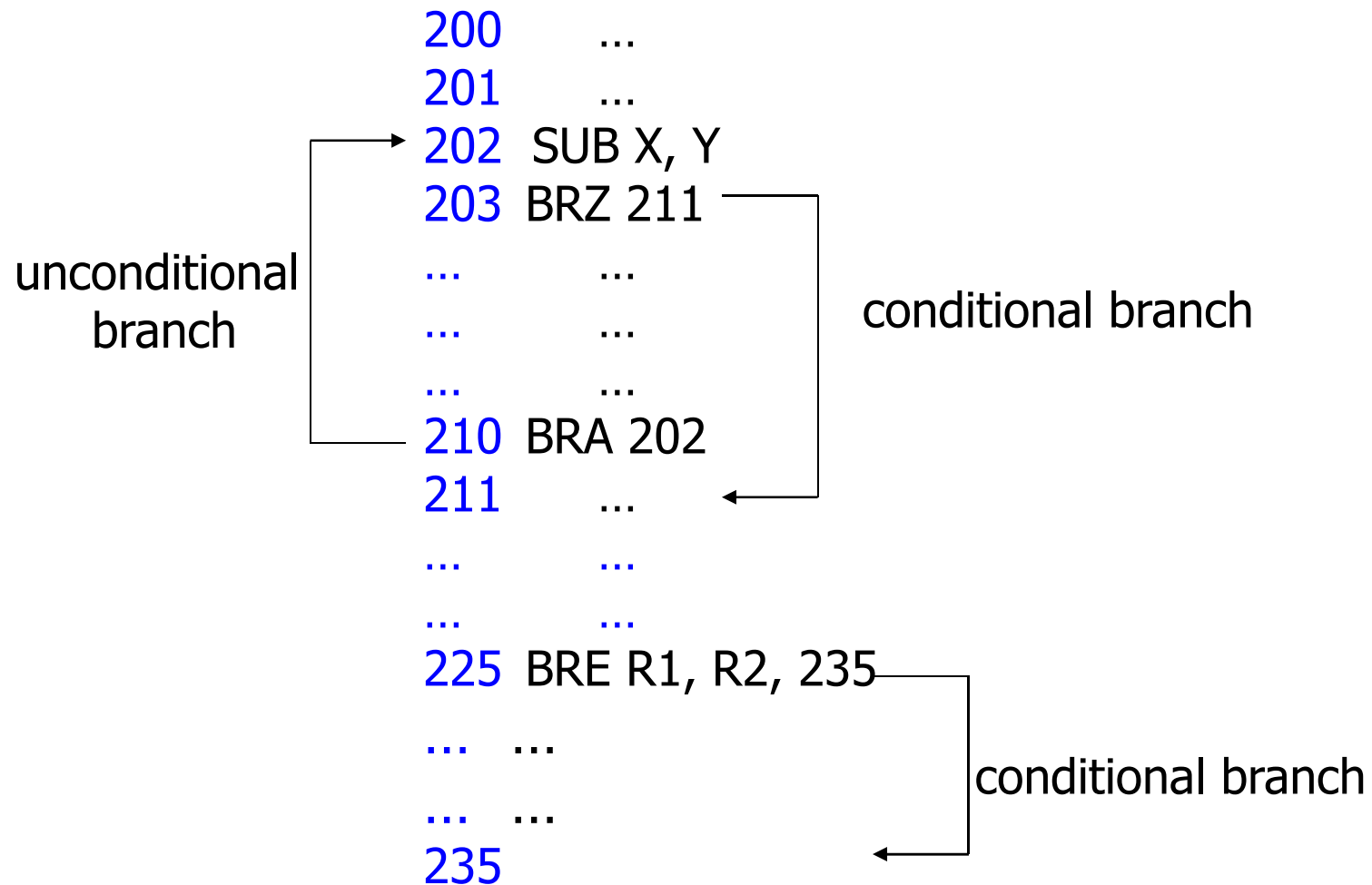
# Transfer of Control (1)

---

- Needed to
  - Take decisions (branch)
  - Execute repetitive operations (loop)
  - Structure programs (subroutines)
- Branch (examples)
  - BRA X: branch (i.e., go) to X (unconditional jump)
  - BRZ X: branch to X if accumulator value is 0
  - BRE R1, R2, X: branch to X if (R1)=(R2)

# An example

---



# Transfer of control (2)

---

- Skip (example)
  - Increment register R and skip next instruction if result is 0

```
      X:  ...  
          ...  
          ISZ R  
          BRA X (loop)  
          ...      (exit)
```
- Interrupts (the basic form of control transfer)
- Subroutine call (a kind of interrupt serving)

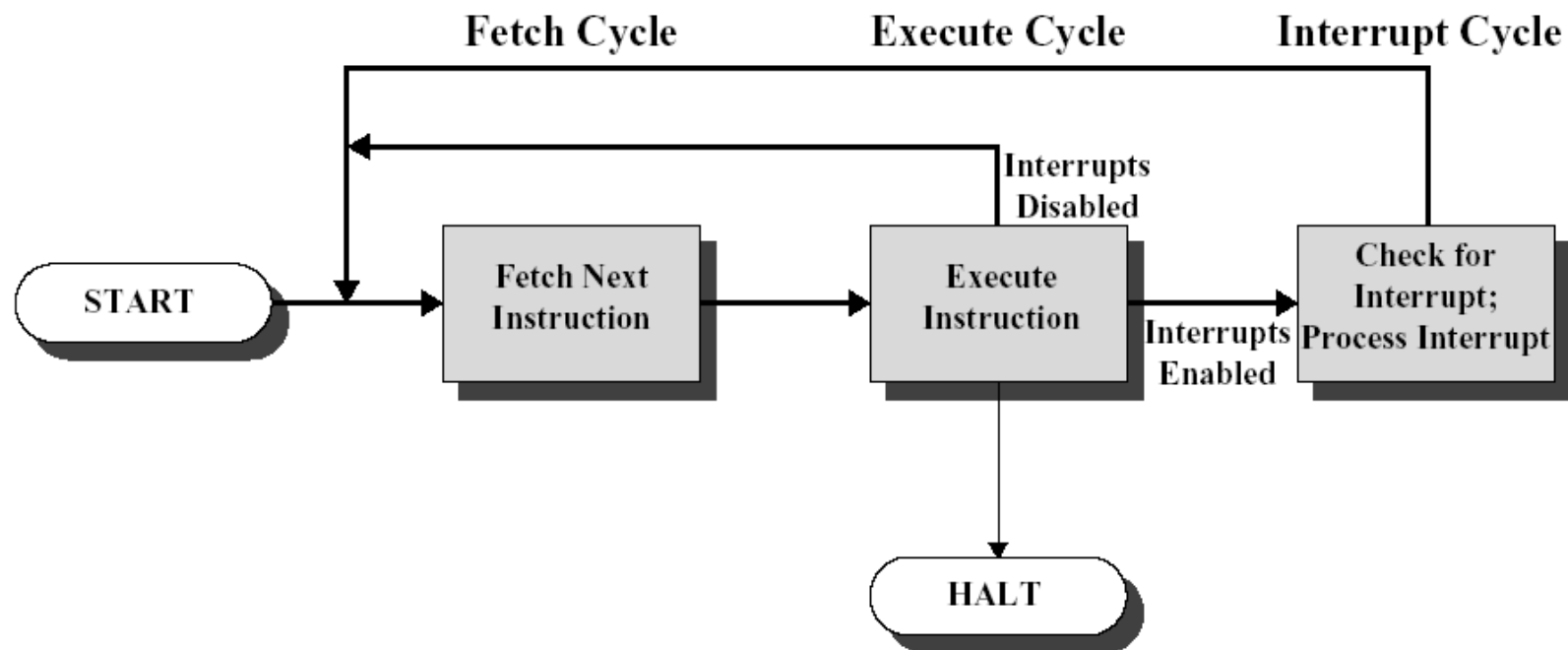
# Interrupts

---

- Mechanism by which other modules (e.g. I/O) may interrupt normal sequence of processing
- Program error
  - e.g. overflow, division by zero
- Time scheduling
  - Generated by internal processor timer
  - Used to execute operations at regular intervals
- I/O operations (usually much slower)
  - from I/O controller (end operation, error, ...)
- Hardware failure
  - e.g. memory parity error, power failure, ...

# Instruction Cycle with Interrupt

---

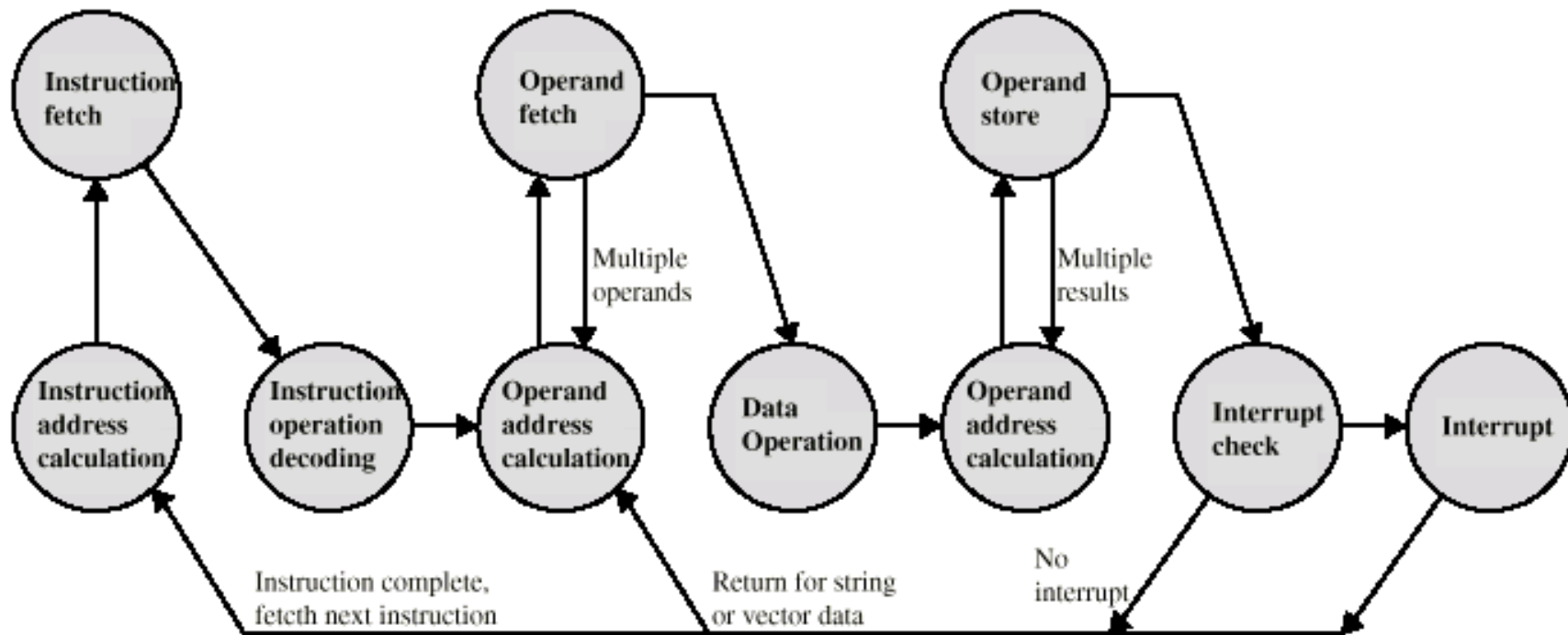


# Interrupt Cycle

---

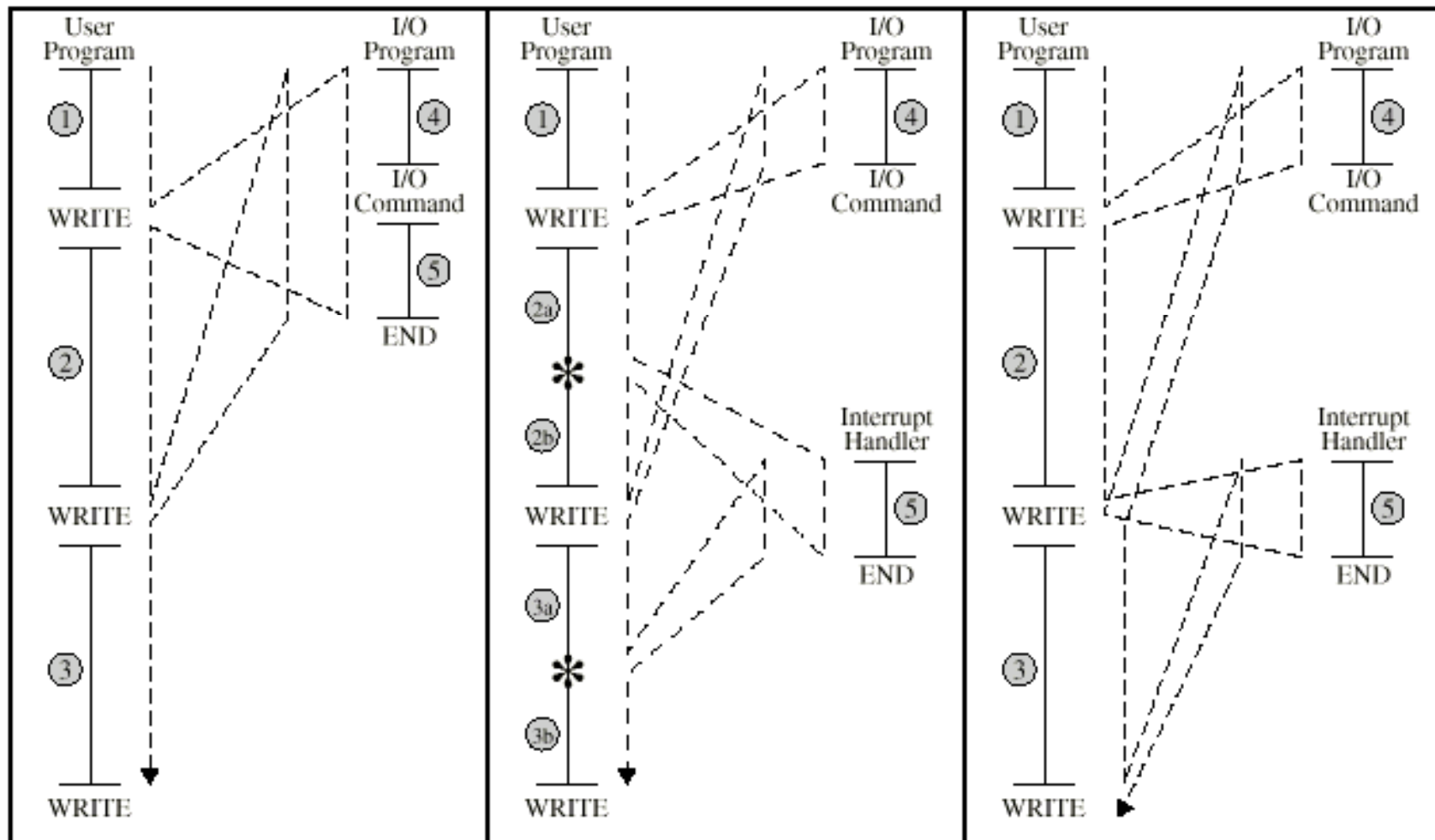
- Added to instruction cycle
- Processor checks for interrupt
  - Indicated by an interrupt signal
- If no interrupt, fetch next instruction
- If interrupt pending:
  - Suspend execution of current program
  - Save context
  - Set PC to start address of interrupt handler routine
  - Process interrupt
  - Restore context and continue interrupted program

# Instruction Cycle (with Interrupts) - State Diagram





# Program Flow Control

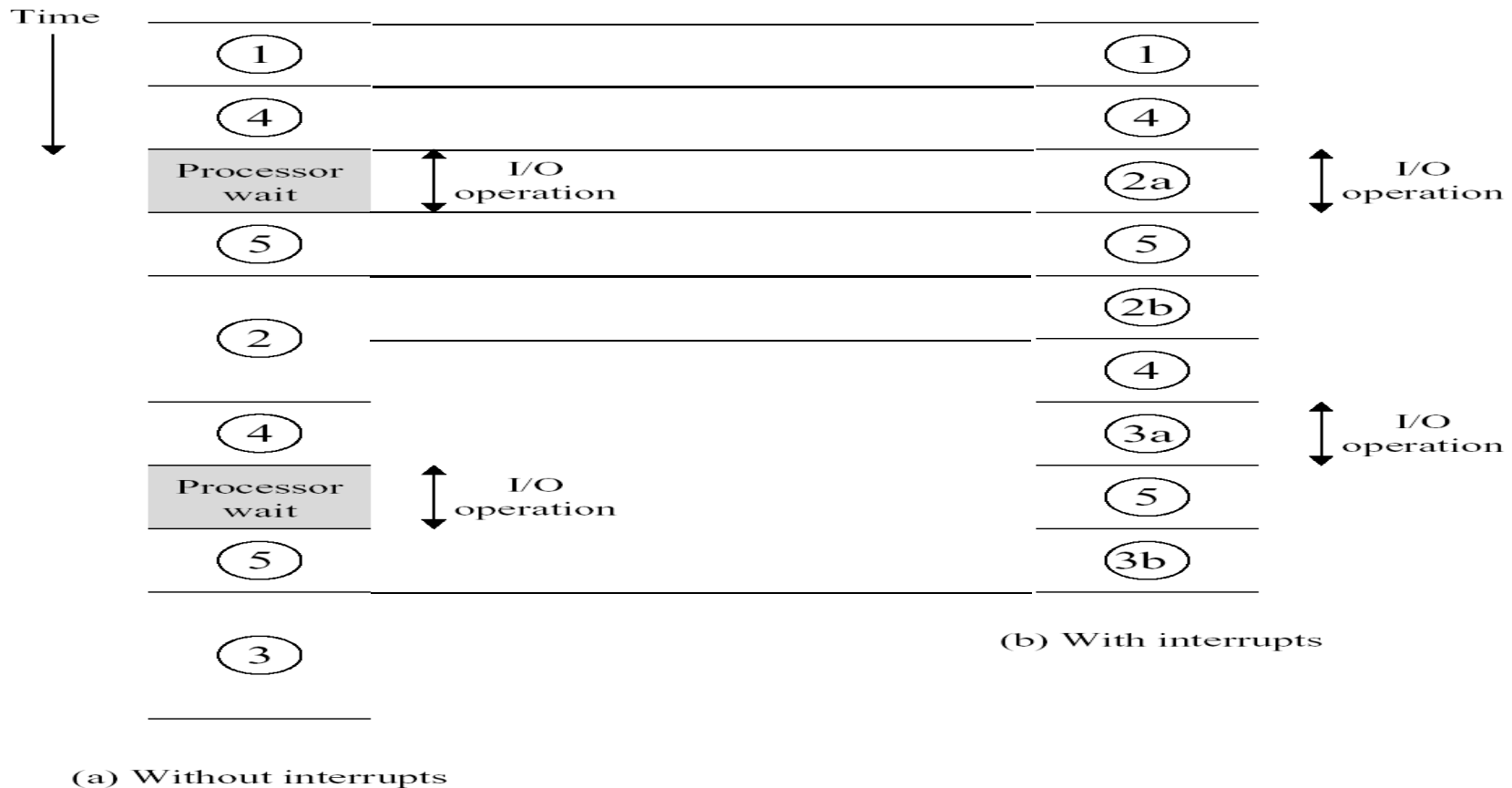


(a) No interrupts

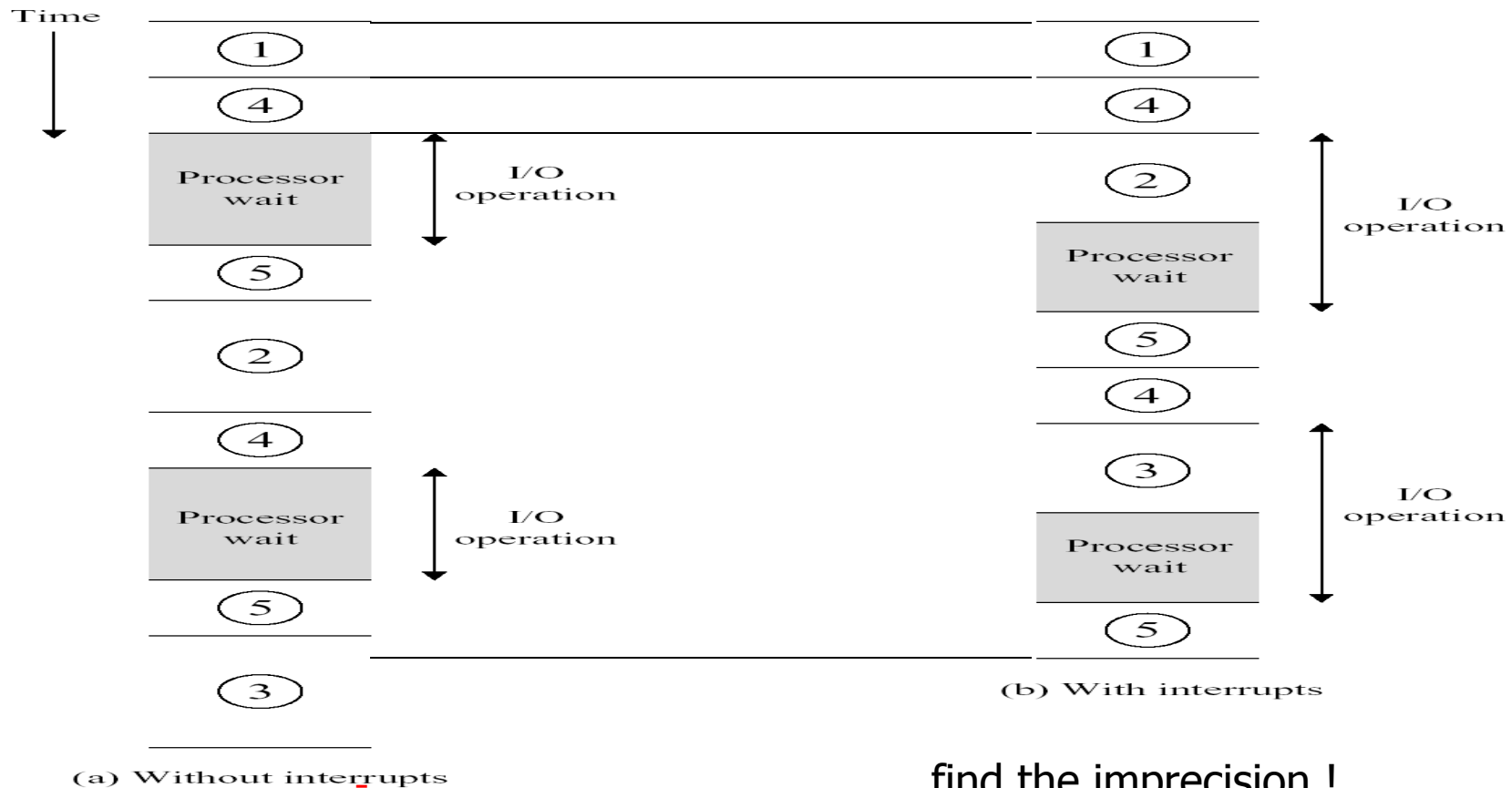
(b) Interrupts; short I/O wait

(c) Interrupts; long I/O wait

# Temporal view of control flow (short I/O wait)



# Temporal view of control flow (long I/O wait)



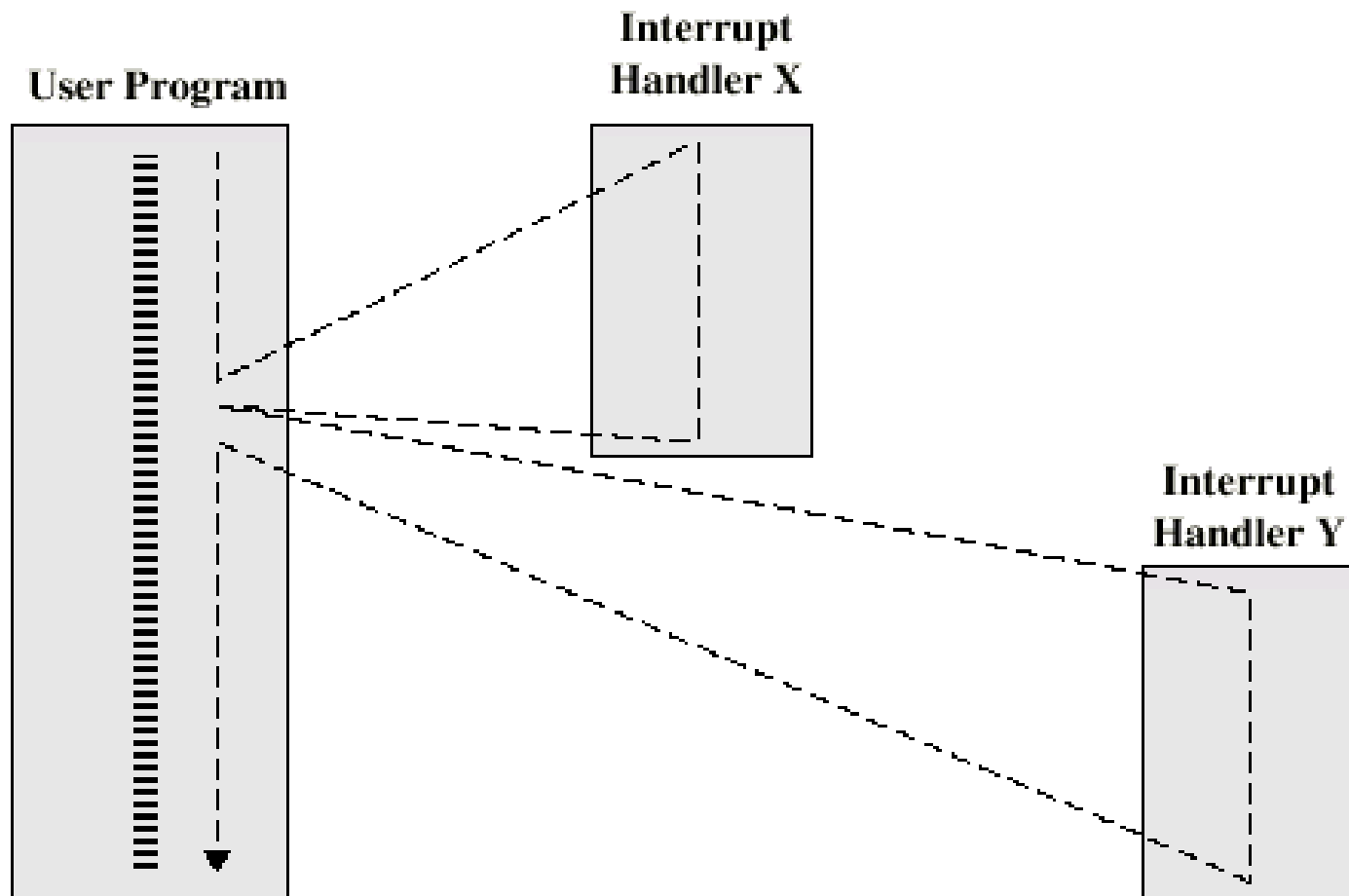
# Multiple Interrupts

---

- 1st solution: Disable interrupts
  - Processor will ignore further interrupts whilst processing one interrupt
  - Interrupts remain pending and are checked after first interrupt has been processed
  - Interrupts handled in sequence as they occur
- 2nd solution: Define priorities
  - Low priority interrupts can be interrupted by higher priority interrupts
  - When higher priority interrupt has been processed, processor returns to previous interrupt

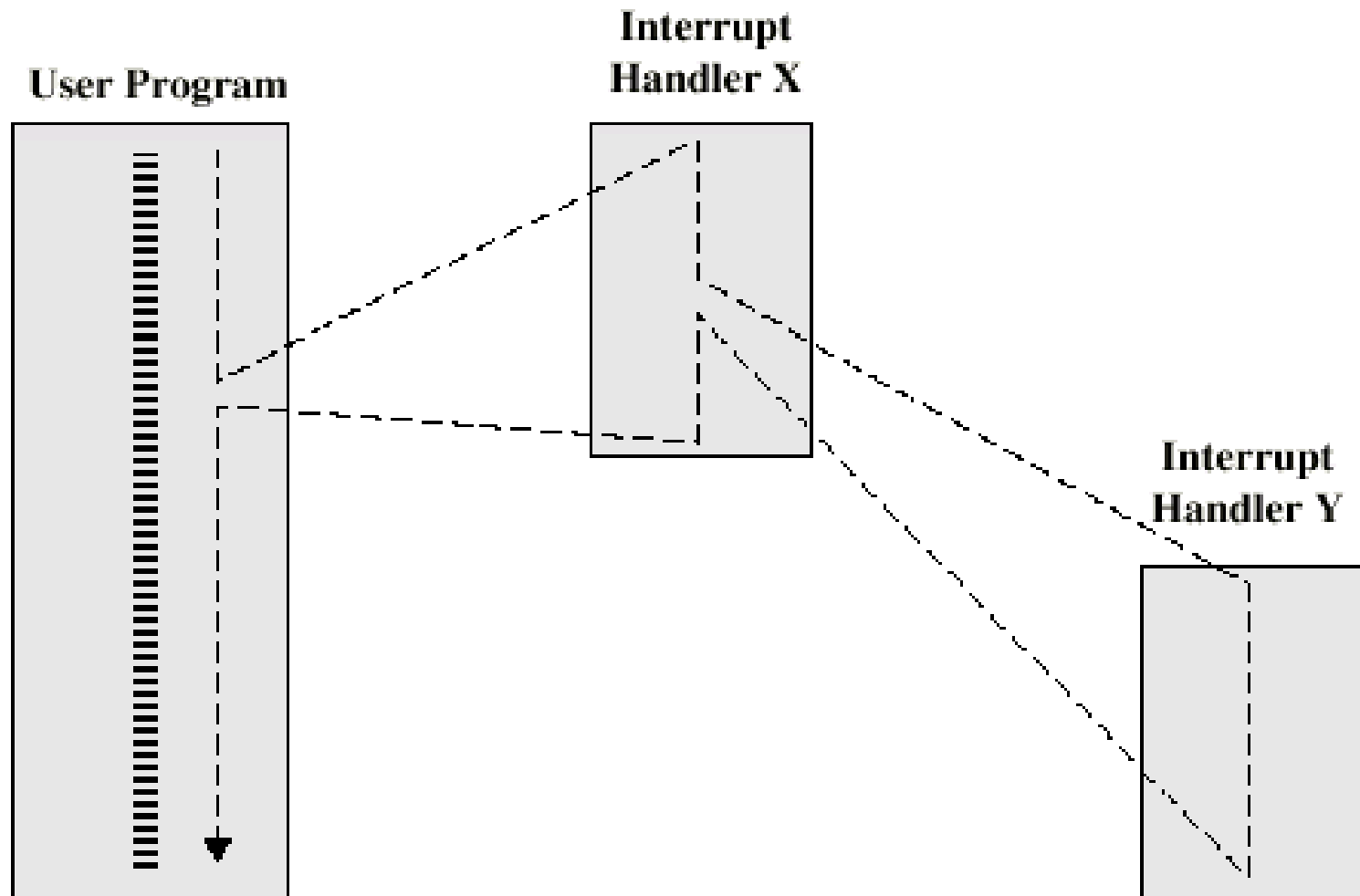
# Multiple Interrupts - Sequential

---



# Multiple Interrupts - Nested

---



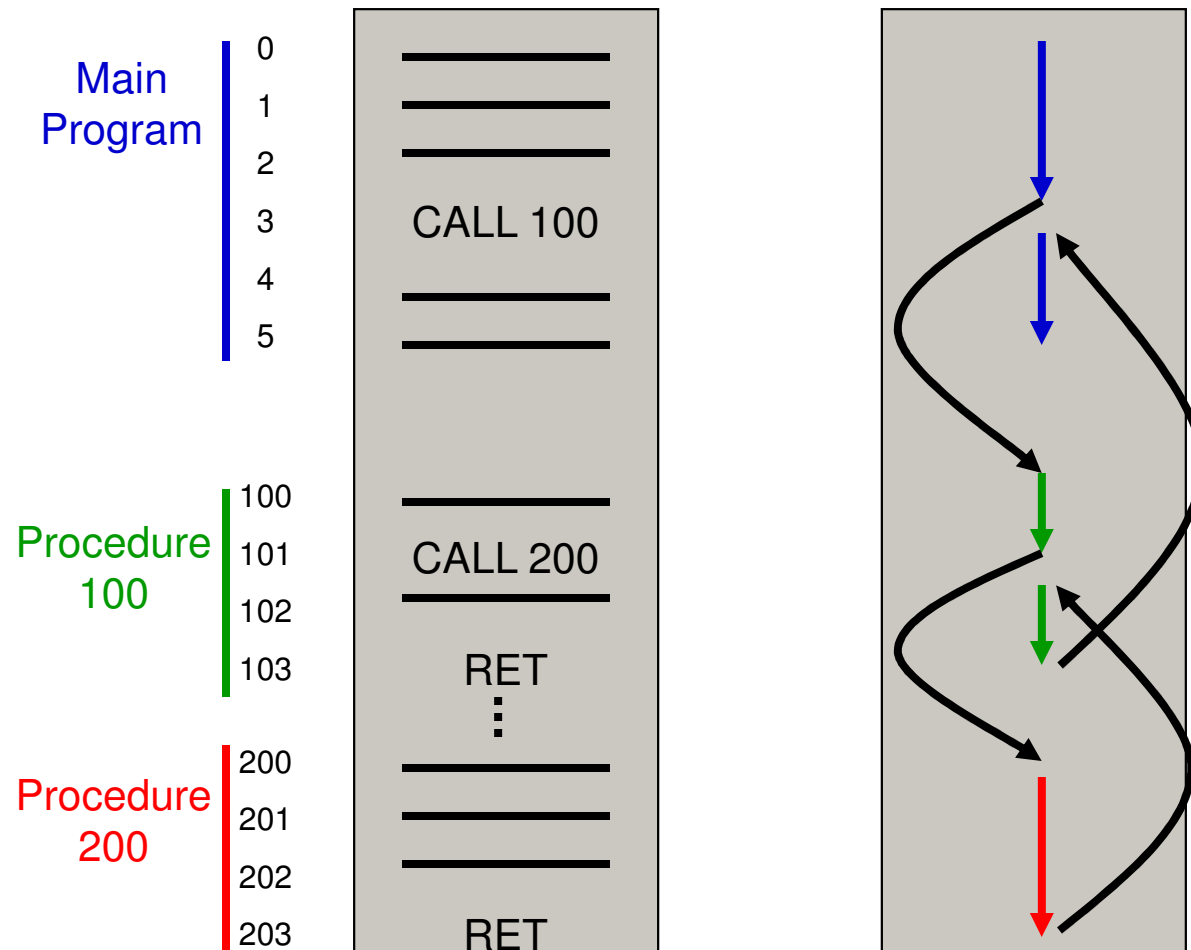
# Subroutine (or procedure) call

---

- Why?
  - economy
  - modularity

# Subroutine (or procedure) call

---





# Alternative for storing the return address from a subroutine

---

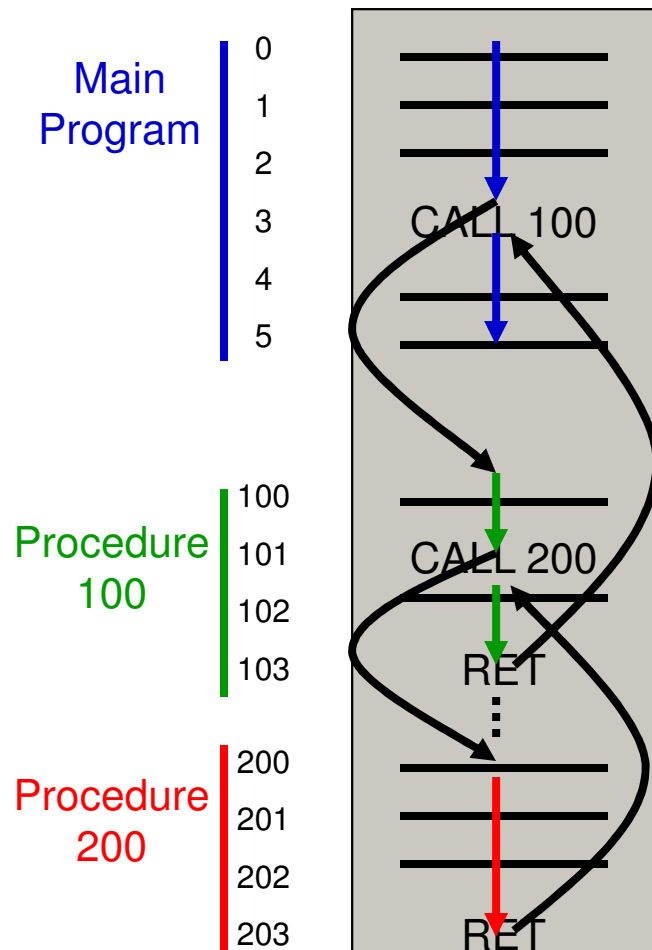
- In a pre-specified register
  - Limit the number of nested calls since for each successive call a different register is needed
- In the first memory cell of the memory zone storing the called procedure
  - Does not allow recursive calls
- At the top of the stack (more flexible)

# Return using the stack (1)

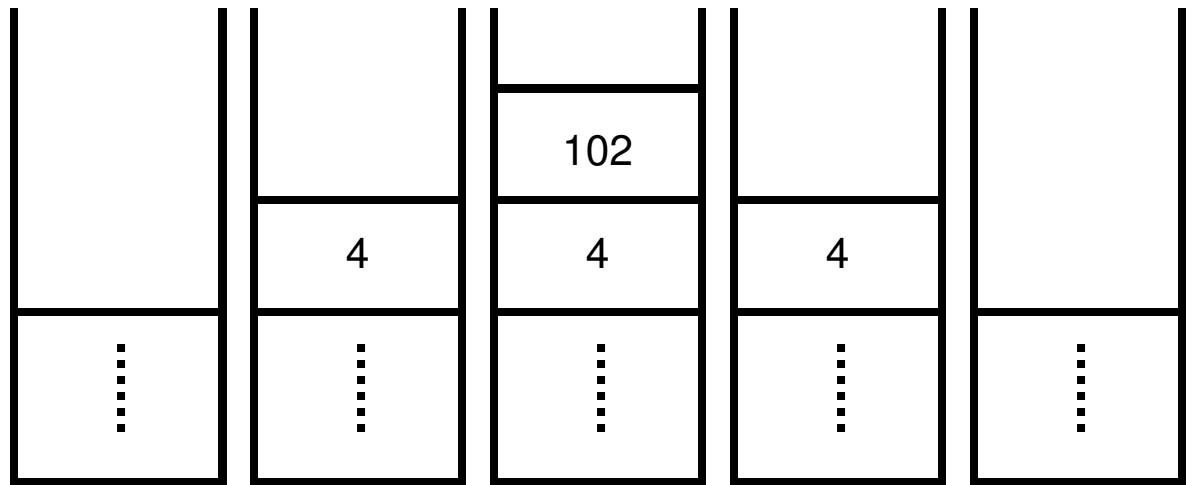
---

- Use a reserved zone of memory managed with a *stack* approach (last-in, first-out)
  - In a stack of dirty dishes the last to become dirty is the first to be cleaned
- Each time a subroutine is called, before starting it the return address is put on top of the stack
- Even in the case of multiple calls or recursive calls all return addresses keep their correct order

# Return using the stack (2)



- The stack can be used also to pass parameters to the called procedure



# Passing parameters to a procedure

---

- In general, parameters to a procedure might be passed
  - Using registers
    - Limit the number of parameters that can be passed, due to the limited number of registers in the CPU
    - Limit the number of nested calls, since each successive calls has to use a different set of registers
  - Using pre-defined zone of memory
    - Does not allow recursive calls
  - Through the stack (more flexible)

# Byte Order

---

- What order do we read numbers that occupy more than one cell (byte)
- 12.345.678 can be stored in 4 locations of 8 bits each as it follows

Address	Value (1)	Value(2)
184	12	78
185	34	56
186	56	34
187	78	12

- i.e. read top down or bottom up ?

# Byte Order Names

---

- The problem is called **Endian**
- The reference point is the INITIAL address of bytes
- The system on the left has the MOST significant byte in the INITIAL address
- This is called *big-endian*
- The system on the left has the LEAST significant byte in the INITIAL address
- This is called *little-endian*

# Standard...What Standard?

---

- Pentium (80x86), VAX are little-endian
- IBM 370, Motorola 680x0 (Mac), and most RISC are big-endian
- Internet is big-endian
  - Makes writing Internet programs on PC more awkward!
  - WinSock provides *htoi* and *itoi* (Host to Internet & Internet to Host) functions to convert