

# ORBIT STRUCTURE OF A DISTINGUISHED STEIN INVARIANT DOMAIN IN THE COMPLEXIFICATION OF A HERMITIAN SYMMETRIC SPACE

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ABSTRACT. We carry out a detailed study of  $\Xi^+$ , a distinguished  $G$ -invariant Stein domain in the complexification of an irreducible Hermitian symmetric space  $G/K$ . The domain  $\Xi^+$  contains the crown domain  $\Xi$  and is naturally diffeomorphic to the anti-holomorphic tangent bundle of  $G/K$ . The unipotent parametrization of  $\Xi^+$  introduced in [KrOp08] and [Krö08] suggests that  $\Xi^+$  also admits the structure of a twisted bundle  $G \times_K \mathcal{N}^+$ , with fiber a nilpotent cone  $\mathcal{N}^+$ . Here we give a complete proof of this fact and use it to describe the  $G$ -orbit structure of  $\Xi^+$  via the  $K$ -orbit structure of  $\mathcal{N}^+$ . In the tube case, we also single out a Stein,  $G$ -invariant domain contained in  $\Xi^+ \setminus \Xi$  which is relevant in the classification of envelopes of holomorphy of invariant subdomains of  $\Xi^+$ .

## 1. INTRODUCTION

Let  $G/K$  be a non-compact, irreducible, Riemannian symmetric space. Its Lie group complexification  $G^{\mathbb{C}}/K^{\mathbb{C}}$  is a Stein manifold and left translations by elements of  $G$  are holomorphic transformations of  $G^{\mathbb{C}}/K^{\mathbb{C}}$ . In [AkGi90], Akhiezer and Gindikin introduced the crown domain  $\Xi$  in  $G^{\mathbb{C}}/K^{\mathbb{C}}$ , with the aim of determining a complex  $G$ -manifold whose analytic properties would reflect the harmonic analysis of  $G/K$  and the representation theory of  $G$ . Since then its complex analytic properties have been extensively studied by several authors.

In the Hermitian case, Krötz and Opdam recently introduced two Stein  $G$ -invariant domains  $\Xi^+$  and  $\Xi^-$  in  $G^{\mathbb{C}}/K^{\mathbb{C}}$ , with  $\Xi^+ \cap \Xi^- = \Xi$ , which are maximal with respect to properness of the  $G$ -action on  $G^{\mathbb{C}}/K^{\mathbb{C}}$ . The relevance of  $\Xi$  and of the domains  $\Xi^+$  and  $\Xi^-$  for the representation theory of  $G$  was underlined in Theorem 1.1 in [Krö08]. Here we carry out a detailed analysis of the  $G$ -orbit structure of  $\Xi^+$ . Since  $\Xi^+$  and  $\Xi^-$  are  $G$ -equivariantly anti-biholomorphic, the same analysis applies to  $\Xi^-$  as well.

Let  $G/K$  be an irreducible Hermitian symmetric space and let  $G^{\mathbb{C}}/Q$  be its compact dual symmetric space, which is denoted by  $\overline{G^{\mathbb{C}}/Q}$  when endowed with the opposite complex structure. The space  $G^{\mathbb{C}}/K^{\mathbb{C}}$  admits an equivariant holomorphic embedding

$$G^{\mathbb{C}}/K^{\mathbb{C}} \cong G^{\mathbb{C}} \cdot x_0 \subset G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}$$

as the open dense orbit through  $x_0 := (eQ, eQ) \in G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}$ , under the  $G^{\mathbb{C}}$ -action defined by

$$g \cdot (x, y) := (g \cdot x, \sigma(g) \cdot y).$$

Here  $\sigma$  denotes the conjugation of  $G^{\mathbb{C}}$  with respect to  $G$ . Let  $\pi_1 : G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q} \rightarrow G^{\mathbb{C}}/Q$  be the projection onto the first factor. The  $G$ -invariant domain  $\Xi^+$  is defined by

$$\Xi^+ := (\pi_1)^{-1}(D) \cap G^{\mathbb{C}} \cdot x_0,$$

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*Mathematics Subject Classification (2010):* 32M05, 32Q28,

*Key words:* Hermitian symmetric space, Lie group complexification, invariant Stein domain.

where  $D := G \cdot eQ$  is the Borel embedding of  $G/K$  in  $G^{\mathbb{C}}/Q$ . The domain  $\Xi^+$  contains the crown  $\Xi$  as the subset  $D \times D$  and the  $G$ -action on  $\Xi^+$  is proper.

The above definition leads to a natural  $G$ -equivariant diffeomorphism between the anti-holomorphic tangent bundle of  $G/K$  and  $\Xi^+$ , via the map

$$G \times_K \mathfrak{p}^{0,1} \rightarrow \Xi^+, \quad [g, Z] \mapsto g \exp Z \cdot x_0. \quad (1)$$

The anti-holomorphic  $G$ -equivariant involution on  $G^{\mathbb{C}}/K^{\mathbb{C}}$  induced by  $\sigma$  maps  $\Xi^+$  diffeomorphically onto  $\Xi^- := \pi_2^{-1}(\overline{D}) \cap G^{\mathbb{C}} \cdot x_0$ .

An alternative construction of the domain  $\Xi^+$  was given in [Krö08] and [KrOp08], via its unipotent parametrization. In the notation of Section 2, let  $\lambda_1, \dots, \lambda_r$  be a maximal set of long strongly orthogonal real restricted roots, and let  $E_j \in \mathfrak{g}^{\lambda_j}$ , for  $j = 1, \dots, r$ , be root vectors normalized as in Definition 2.1. Consider the closed hyperoctant

$$\Lambda_r^{\perp} := \text{span}_{\mathbb{R}_{\geq 0}} \{E_1, \dots, E_r\}$$

and the subcone  $\mathcal{N}^+ := \text{Ad}_K \Lambda_r^{\perp}$  of the nilpotent cone of  $\mathfrak{g}$ . Then

$$\Xi^+ = G \exp i \bigoplus_j (-1, \infty) E_j \cdot x_0 = G \exp i \Lambda_r^{\perp} \cdot x_0.$$

It was also suggested in [KrOp08] and [Krö08] that the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \mapsto g \exp iX \cdot x_0$$

is a  $G$ -equivariant homeomorphism.

The first goal of the paper is to give a complete and selfcontained proof of this fact. The main difficulty is to show that the map  $\psi$  is open. This is not a priori obvious because at every point of the slice  $\exp i \Lambda_r^{\perp} \cdot x_0 \subset \Xi^+$ , lying on a singular  $G$ -orbit, the tangent spaces to the orbit and to the slice itself do not span the whole tangent space to  $\Xi^+$ .

Let  $P := \exp \mathfrak{p}^{0,1} \cdot x_0$  be the  $K$ -invariant fiber in the domain  $\Xi^+ \cong G \times_K \mathfrak{p}^{0,1}$ . We first use a topological argument (Lemma 4.2) to show that our goal is equivalent to proving that the projection

$$\Lambda_r^{\perp} \rightarrow P/K, \quad X \mapsto G \exp iX \cdot x_0 \cap P,$$

is proper. Then we check that such a projection is proper by using a novel decomposition inside  $G^{\mathbb{C}}$ , relating a unipotent element  $\exp iX$ , with  $X \in \Lambda_r^{\perp}$ , to an element in  $\exp Z K^{\mathbb{C}}$ , with  $Z \in \mathfrak{p}^{0,1}$ , lying on the same  $G$ -orbit (see Lemma 4.5 and Thm. 4.7). Possibly, a similar argument leads to a characterization of smooth twisted bundles in the context of proper  $G$ -actions on differentiable manifolds, as considered by R. S. Palais and C.-L. Terng in [PaTe87].

In view of the bundle structure defined by  $\psi$ , the  $G$ -orbit structure of  $\Xi^+$  is completely determined by the  $\text{Ad}_K$ -orbit structure of the cone  $\mathcal{N}^+$ . We show that a fundamental domain for the action of the Weyl group  $W_K(\Lambda_r^{\perp})$  on the hyperoctant  $\Lambda_r^{\perp}$  is a perfect slice for the  $K$ -action on  $\mathcal{N}^+$  and hence it determines a perfect slice for the  $G$ -action on  $\Xi^+$ . Moreover, there is a one-to-one correspondence between the orbit strata of the  $W_K(\Lambda_r^{\perp})$ -action on the closed hyperoctant  $\Lambda_r^{\perp}$  and the orbit strata of the  $G$ -action on  $\Xi^+$ .

The second goal of the paper is to prove that, in the tube-case,  $\Xi^+$  contains a distinguished Stein,  $G$ -invariant subdomain  $S^+$ , which arises from the compactly causal structure of a semisimple symmetric orbit  $G/H$  in the boundary of  $\Xi$ . A first evidence of this fact comes from the rank-one case  $SL(2, \mathbb{R})/SO(2, \mathbb{R})$  studied in [GeIa08], where it is also shown that every proper, Stein, invariant subdomain of  $\Xi^+$  is either contained in  $\Xi$  or in  $S^+$ .

The domain  $S^+$  is  $G$ -equivariantly biholomorphic to an invariant domain in the Lie group complexification of the symmetric space  $G/H$  and its Steinness follows

from a result of K. H. Neeb in [Nee99]. Here we show that it is contained in  $\Xi^+$  by proving the following identity (Prop. 7.5)

$$S^+ = G \exp i \bigoplus_{j=1}^r (1, \infty) E_j \cdot x_0.$$

From the classification of envelopes of holomorphy of invariant domains in  $\Xi^+$  (see [GeIa13]), it follows that, like in the rank-one case, every proper, Stein, invariant domain of  $\Xi^+$  is contained either in  $\Xi$  or in  $S^+$ . In the non-tube case, there is no Stein analogue of  $S^+$ . At the end of the paper we give some details on the non-tube case.

The paper is organized as follows. In Section 2 we set up the notation and collect some basic facts about Hermitian symmetric spaces. In Section 3 we study the action of the Weyl group  $W_K(\Lambda_r^+)$  of the hyperoctant  $\Lambda_r^+$ . In Section 4 we recall the unipotent model of  $\Xi^+$  and prove that the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \mapsto g \exp iX \cdot x_0$$

is a  $G$ -equivariant homeomorphism. In Section 5 we give an alternative proof of the above fact for the symmetric spaces  $SL(2, \mathbb{R})/SO(2, \mathbb{R})$  and  $Sp(2, \mathbb{R})/U(2)$ , by using global  $G$ -invariant functions on concrete models of  $G^{\mathbb{C}}/K^{\mathbb{C}}$ . In Section 6 we study the  $G$ -orbit structure of  $\Xi^+$  by means of the  $\text{Ad}_K$ -orbit structure of  $\mathcal{N}^+$ . Finally, in Section 7 we show that the domain  $S^+$  is contained in  $\Xi^+$  by expressing it in the unipotent parametrization of  $\Xi^+$ .

## 2. PRELIMINARIES

Let  $G/K$  be an irreducible Hermitian symmetric space of the non-compact type. We may assume  $G$  to be a connected, non-compact, real simple Lie group contained in its simple, simply connected universal complexification  $G^{\mathbb{C}}$ , and  $K$  to be a maximal compact subgroup of  $G$ . Denote by  $\mathfrak{g}$  and  $\mathfrak{k}$  the Lie algebras of  $G$  and  $K$  respectively. Denote by  $\theta$  both the Cartan involution of  $G$  with respect to  $K$  and the derived involution of  $\mathfrak{g}$ . Let  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  be the corresponding Cartan decomposition. Let  $\mathfrak{a}$  be a maximal abelian subspace in  $\mathfrak{p}$ . The *rank* of  $G/K$  is by definition  $r = \dim \mathfrak{a}$ . The adjoint action of  $\mathfrak{a}$  on  $\mathfrak{g}$  determines the restricted root decomposition

$$\mathfrak{g} = \mathfrak{a} \oplus Z_{\mathfrak{k}}(\mathfrak{a}) \oplus \bigoplus_{\alpha \in \Delta(\mathfrak{g}, \mathfrak{a})} \mathfrak{g}^{\alpha},$$

where  $\Delta(\mathfrak{g}, \mathfrak{a}) = \{\alpha \in \mathfrak{a}^* \setminus \{0\} : \mathfrak{g}^{\alpha} \neq \{0\}\}$  is the restricted root system,  $\mathfrak{g}^{\alpha} = \{X \in \mathfrak{g} \mid [H, X] = \alpha(H)X, H \in \mathfrak{a}\}$  is the  $\alpha$ -restricted root space, and  $Z_{\mathfrak{k}}(\mathfrak{a})$  is the centralizer of  $\mathfrak{a}$  in  $\mathfrak{k}$ . A set of simple roots  $\Pi_{\mathfrak{a}}$  in  $\Delta(\mathfrak{g}, \mathfrak{a})$  uniquely determines a set of positive restricted roots  $\Delta^+(\mathfrak{g}, \mathfrak{a})$  and an Iwasawa decomposition of  $\mathfrak{g}$

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}, \quad \text{where } \mathfrak{n} = \bigoplus_{\alpha \in \Delta^+(\mathfrak{g}, \mathfrak{a})} \mathfrak{g}^{\alpha}.$$

The restricted root system of a Lie algebra  $\mathfrak{g}$  of Hermitian type is either of type  $C_r$  (if  $G/K$  is of tube type) or of type  $BC_r$  (if  $G/K$  is not of tube type) (cf. [Moo64]), i.e. there exists a basis  $\{e_1, \dots, e_r\}$  of  $\mathfrak{a}^*$  for which

$$\Delta(\mathfrak{g}, \mathfrak{a}) = \{\pm 2e_j, 1 \leq j \leq r, \pm e_j \pm e_k, 1 \leq j \neq k \leq r\}, \quad \text{for type } C_r,$$

$$\Delta(\mathfrak{g}, \mathfrak{a}) = \{\pm e_j, \pm 2e_j, 1 \leq j \leq r, \pm e_j \pm e_k, 1 \leq j \neq k \leq r\}, \quad \text{for type } BC_r.$$

Since  $\mathfrak{g}$  admits a compact Cartan subalgebra  $\mathfrak{t} \subset \mathfrak{k} \subset \mathfrak{g}$ , there exists a set of  $r$  long strongly orthogonal restricted roots  $\{\lambda_1, \dots, \lambda_r\}$  (i.e. such that  $\lambda_j \pm \lambda_k \notin \Delta(\mathfrak{g}, \mathfrak{a})$ , for  $j \neq k$ ), which are restrictions of *real* roots with respect to a maximally split  $\theta$ -stable Cartan subalgebra  $\mathfrak{l}$  of  $\mathfrak{g}$  extending  $\mathfrak{a}$ . Choosing as simple roots

$$\Pi_{\mathfrak{a}} = \{e_1 - e_2, \dots, e_{r-1} - e_r, 2e_r\}, \quad \text{for type } C_r, \quad (2)$$

$$\Pi_{\mathfrak{a}} = \{e_1 - e_2, \dots, e_{r-1} - e_r, e_r\}, \quad \text{for type } BC_r, \quad (3)$$

the roots  $\{\lambda_1, \dots, \lambda_r\}$  are given by

$$\lambda_1 = 2e_1, \dots, \lambda_r = 2e_r. \quad (4)$$

In both cases, the Weyl group  $W_K(\mathfrak{a}) = N_K(\mathfrak{a})/Z_K(\mathfrak{a})$  is isomorphic to the group of signed permutations of  $\{e_1, \dots, e_r\}$ , and therefore of  $\{\lambda_1, \dots, \lambda_r\}$ . Denote by  $W_K(\mathfrak{a})^+$  the subgroup of  $W_K(\mathfrak{a})$  isomorphic to the the group of ordinary permutations of  $\{e_1, \dots, e_r\}$ . This subgroup is generated by the reflections in the first  $r - 1$  simple restricted roots.

For  $j = 1, \dots, r$ , choose  $E_j \in \mathfrak{g}^{\lambda_j}$  such that the  $\mathfrak{sl}(2)$ -triple

$$\{E_j, \theta E_j, A_j := [\theta E_j, E_j]\} \quad (5)$$

is normalized as follows

$$[A_j, E_j] = 2E_j, \quad [A_j, \theta E_j] = -2\theta E_j. \quad (6)$$

The vectors  $\{A_1, \dots, A_r\}$  form a basis of  $\mathfrak{a}$  which is orthogonal with respect to the restriction of the Killing form and one has

$$[E_j, E_k] = [E_j, \theta E_k] = 0, \quad [A_j, E_k] = \lambda_k(A_j)E_k = 0, \quad \text{for } j \neq k. \quad (7)$$

In particular the above  $\mathfrak{sl}(2)$ -triples commute with each other and  $\{A_1, \dots, A_r\}$  is the dual basis of  $\{e_1, \dots, e_r\}$ . As a consequence, the action of  $W_K(\mathfrak{a})$  and of  $W_K(\mathfrak{a})^+$  on  $\mathfrak{a}$  is by signed permutations and by ordinary permutations of  $\{A_1, \dots, A_r\}$ , respectively.

Observe that relations (6) and (5) determine the vectors  $E_j$  only up to sign. Fix an invariant complex structure  $J_0$  of  $G/K$ . We are going to define the unique choice of the vectors  $E_j$  which is compatible with  $J_0$ , in the sense that the  $r$ -dimensional polydisk, associated with the  $r$  commuting  $\mathfrak{sl}(2)$ -triples in  $\mathfrak{g}$ , is holomorphically embedded in  $G/K$ .

Identify  $\mathfrak{p}$  with the tangent space to  $G/K$  at the base point  $eK$ . The complex structure  $J_0$  is uniquely determined by its restriction to  $\mathfrak{p}$  and it is given by  $J_0 = ad_{Z_0}|_{\mathfrak{p}}$ , for some  $Z_0 \in Z(\mathfrak{k})$ . More precisely, consider a compact Cartan subalgebra of  $\mathfrak{g}$  of the form  $\mathfrak{t} = \mathfrak{s} \oplus \mathfrak{c}$ , where  $\mathfrak{s}$  is a Cartan subalgebra of  $Z_{\mathfrak{k}}(\mathfrak{a})$ ,  $\mathfrak{c} := \text{span}\{T_1, \dots, T_r\}$ , and  $T_j := E_j + \theta E_j$ , for  $j = 1, \dots, r$ . Then  $Z_0 \in \mathfrak{t}$  and can be written as  $Z_0 = S + \sum_j a_j T_j$ , for some  $S \in \mathfrak{s}$  and  $a_j \in \mathbb{R}$ . Since  $J_0^2 = -Id$  and the algebra  $Z_{\mathfrak{k}}(\mathfrak{a})$  acts trivially on the 1-dimensional root spaces  $\mathfrak{g}^{\lambda_j}$  and  $\mathfrak{g}^{-\lambda_j}$ , one has

$$J_0(E_j - \theta E_j) = [Z_0, E_j - \theta E_j] = 2a_j A_j, \quad \text{with } a_j = \pm \frac{1}{2}.$$

**Definition 2.1.** *The choice of the  $E_j$  is compatible with the complex structure  $J_0$  if, for all  $j = 1, \dots, r$ , one has*

$$J_0(E_j - \theta E_j) = A_j.$$

*Equivalently,  $a_j = \frac{1}{2}$ , for all  $j = 1, \dots, r$ .*

Consider the Lie algebra homomorphism  $\mathfrak{sl}(2, \mathbb{R}) \rightarrow \mathfrak{g}$  mapping the triple

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad \theta E = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (8)$$

to  $\{E_j, \theta E_j, A_j\}$ , for some  $j$ . Endow  $SL(2, \mathbb{R})/SO(2, \mathbb{R})$  with the unique invariant complex structure defined by  $\frac{1}{2} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Then the induced embedding

$$SL(2, \mathbb{R})/SO(2, \mathbb{R}) \rightarrow G/K$$

is holomorphic if and only if the choice of the vector  $E_j$  agrees with Definition 2.1. Otherwise it is anti-holomorphic.

**Remark 2.2.** Fix the vectors  $E_j$  as in Definition 2.1 and set

$$W_j := \frac{1}{2}((E_j - \theta E_j) - iA_j), \quad W_{-j} := \overline{W_j}. \quad (9)$$

Then the vectors  $W_j$  in  $\mathfrak{g}^{\mathbb{C}}$  span the root spaces  $\mathfrak{g}^{\tilde{\lambda}_j}$  of a set of strongly orthogonal, non-compact, imaginary roots  $\tilde{\lambda}_1, \dots, \tilde{\lambda}_r$  in  $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{t}^{\mathbb{C}})$ , satisfying  $\tilde{\lambda}_j(-iZ_0) = 1$ . Moreover  $[W_j, W_{-j}] = -iT_j$ , for  $j = 1, \dots, r$ . Then, by the discussion on p. 254 and Koranyi-Wolf's Theorem A.3.5 in [HiOl97], the following conditions are equivalent

- (a)  $G/K$  is of tube type, i.e.  $\Delta(\mathfrak{g}, \mathfrak{a})$  is reduced of type  $C_r$ ,
- (b)  $Z_0 = \frac{1}{2} \sum_j T_j$ .

### 3. THE WEYL GROUP $W_K(\Lambda_r)$

Resume the notation of Section 2. For  $j = 1, \dots, r$ , let  $E_j$  be the unique vector in  $\mathfrak{g}^{\lambda_j}$  which is compatible with the complex structure  $J_0$  of  $G/K$  in the sense of Definition 2.1. Define

$$\Lambda_r := \text{span}_{\mathbb{R}}\{E_1, \dots, E_r\} \quad \text{and} \quad \Lambda_r^{\perp} := \text{span}_{\mathbb{R}_{\geq 0}}\{E_1, \dots, E_r\}. \quad (10)$$

Consider the Adjoint action of  $K$  on  $\mathfrak{g}$  and define the groups

$$Z_K(\Lambda_r) := \{k \in K : \text{Ad}_k X = X, \forall X \in \Lambda_r\}, \quad N_K(\Lambda_r) := \{k \in K : \text{Ad}_k \Lambda_r = \Lambda_r\},$$

$$W_K(\Lambda_r) := N_K(\Lambda_r)/Z_K(\Lambda_r).$$

Consider the  $K$ -equivariant map

$$\Psi : \mathfrak{g} \rightarrow \mathfrak{p}, \quad X \mapsto [Z_0, X - \theta X] = J_0(X - \theta X), \quad (11)$$

where  $Z_0 \in Z(\mathfrak{k})$  is the element defining the complex structure  $J_0 = \text{ad}_{Z_0}$  of  $G/K$ . Note that its restriction  $\Psi|_{\Lambda_r} : \Lambda_r \rightarrow \mathfrak{a}$  is a linear isomorphism (cf. Def. 2.1).

**Lemma 3.1.**

- (i)  $Z_K(\Lambda_r) = Z_K(\mathfrak{a})$ .
- (ii)  $N_K(\Lambda_r)$  is a subgroup of  $N_K(\mathfrak{a})$ , implying that  $W_K(\Lambda_r)$  is a subgroup of  $W_K(\mathfrak{a})$ .
- (iii) The group  $W_K(\Lambda_r)$  coincides with the subgroup  $W_K(\mathfrak{a})^+$  of  $W_K(\mathfrak{a})$ , acting on  $\mathfrak{a}$  by permutations of  $\{A_1, \dots, A_r\}$ . Moreover,  $W_K(\Lambda_r)$  acts on  $\Lambda_r$  by permutations of  $\{E_1, \dots, E_r\}$ .

*Proof.* Since the map  $\Psi$  defined in (11) is  $K$ -equivariant and  $\Psi|_{\Lambda_r} : \Lambda_r \rightarrow \mathfrak{a}$  is an isomorphism, there are inclusions  $N_K(\Lambda_r) \subset N_K(\mathfrak{a})$  and  $Z_K(\Lambda_r) \subset Z_K(\mathfrak{a})$ . In order to show that  $Z_K(\mathfrak{a}) \subset Z_K(\Lambda_r)$ , recall that every restricted root space is invariant under the Adjoint action of  $Z_K(\mathfrak{a})$  on  $\mathfrak{g}$ . Since  $\Lambda_r$  is the direct sum of the one-dimensional restricted root spaces  $\mathfrak{g}^{\lambda_j}$ , for  $j = 1, \dots, r$ , it follows that  $Z_K(\mathfrak{a})$  is a subgroup of  $N_K(\Lambda_r)$ . The injectivity of the  $N_K(\Lambda_r)$ -equivariant isomorphism  $\Psi|_{\Lambda_r}$  implies that  $Z_K(\mathfrak{a}) \subset Z_K(\Lambda_r)$ , proving (i) and (ii).

(iii) We already showed that  $W_K(\Lambda_r) \subset W_K(\mathfrak{a})$ . Next we show that  $W_K(\Lambda_r)$  contains the subgroup  $W_K(\mathfrak{a})^+$ . Recall that the subgroup  $W_K(\mathfrak{a})^+$  acts on  $\mathfrak{a}$  by permutations of  $A_1, \dots, A_r$  and on  $\mathfrak{a}^*$  by permutations of the basis vectors  $e_1, \dots, e_r$  defined in Section 2. As a result, the corresponding elements in  $K$  permute the root spaces  $\mathfrak{g}^{\lambda_1}, \dots, \mathfrak{g}^{\lambda_r}$  and thus normalize  $\Lambda_r$ . This proves the inclusion

$$W_K(\mathfrak{a})^+ \subset W_K(\Lambda_r).$$

In order to prove equality, assume by contradiction that there exists  $k \in N_K(\Lambda_r)$  lying in  $W_K(\mathfrak{a}) \setminus W_K(\mathfrak{a})^+$ . Since  $W_K(\mathfrak{a})$  acts on  $\mathfrak{a}$  by signed permutations of  $A_1, \dots, A_r$ , there exist indices  $j, h \in \{1, \dots, r\}$  for which  $\text{Ad}_k(A_j) = -A_h$ . By applying  $\text{Ad}_k$  to both terms of the relation  $[A_j, E_j] = 2E_j$ , we obtain

$$[A_h, \text{Ad}_k E_j] = -2\text{Ad}_k E_j.$$

We claim that  $[A_l, \text{Ad}_k E_j] = 0$ , for all  $l \neq h$ . From the identity

$$[A_l, \text{Ad}_k E_j] = \text{Ad}_k[\text{Ad}_{k^{-1}} A_l, E_j]$$

and the fact that  $k$  normalizes  $\mathfrak{a}$ , we have that  $\text{Ad}_{k^{-1}} A_l \in \{\pm A_m\}$ , for some  $m \neq j$ . Thus

$$\text{Ad}_k[\text{Ad}_{k^{-1}} A_l, E_j] = \text{Ad}_k[\pm A_m, E_j] = 0,$$

as claimed. It follows that  $\text{Ad}_k E_j \in \mathfrak{g}^{-\lambda_h}$ , contradicting the assumption that  $k$  normalizes  $\Lambda_r$ . So  $W_K(\mathfrak{a})^+ = W_K(\Lambda_r)$ , proving the first part of (iii).

Finally, since  $\Psi|_{\Lambda_r}(E_j) = A_j$  and  $W_K(\mathfrak{a})^+$  acts on  $\mathfrak{a}$  by permutations of  $A_1, \dots, A_r$ , the equivariance of the isomorphism  $\Psi|_{\Lambda_r}$  implies that  $W_K(\Lambda_r) = W_K(\mathfrak{a})^+$  acts on  $\Lambda_r$  by permutations of  $E_1, \dots, E_r$ . This concludes the proof of (iii) and of the lemma.  $\square$

**Corollary 3.2.** *The group  $W_K(\Lambda_r)$  preserves the closed hyperoctant  $\Lambda_r^\pm$ . Hence*

$$W_K(\Lambda_r) := N_K(\Lambda_r)/Z_K(\Lambda_r) = N_K(\Lambda_r^\pm)/Z_K(\Lambda_r^\pm).$$

#### 4. THE DOMAIN $\Xi^+$ AS A NILPOTENT CONE BUNDLE

As it was mentioned in the introduction, an alternative description of the domain  $\Xi^+$  was given in [Krö08], p.286, and [KrOp08], Sect. 8, via its unipotent parametrization. For  $j = 1, \dots, r$ , fix the unique vectors  $E_j \in \mathfrak{g}^{\lambda_j}$  compatible with the complex structure  $J_0$  of  $G/K$  (see Def. 2.1). Define  $\Lambda_r$  and  $\Lambda_r^\pm$  as in (10) and consider the subcone  $\mathcal{N}^+ := \text{Ad}_K \Lambda_r^\pm$  of the nilpotent cone of  $\mathfrak{g}$ . In [Krö08] it was shown that

$$\Xi^+ = G \exp i \bigoplus_{j=1}^r (-1, \infty) E_j \cdot x_0 = G \exp i \Lambda_r^\pm \cdot x_0,$$

and it was suggested that the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \mapsto g \exp iX \cdot x_0$$

is a  $G$ -equivariant homeomorphism. The main result of this section is a complete self-contained proof of this fact. It is obtained by combining a topological approach with a novel decomposition in  $G^\mathbb{C}$  relating a unipotent element  $\exp iX$ , with  $X \in \Lambda_r^\pm$ , to an element  $\exp Z K^\mathbb{C}$ , with  $Z \in \mathfrak{p}^{0,1}$ , lying on the same  $G$ -orbit (see Lemma 4.5 and Thm. 4.7).

**4.1. Some topological lemmas.** This subsection contains some preliminary results, which are of topological nature. Our setting is as follows. Let  $G$  be a connected Lie group acting properly on a connected Hausdorff topological space  $Z$ , and let  $K$  be a compact subgroup of  $G$ . Let  $N$  be a Hausdorff topological  $K$ -space. Assume that there exists a  $K$ -equivariant continuous map  $j : N \rightarrow Z$  such that the continuous map

$$\psi : G \times_K N \rightarrow Z, [g, x] \rightarrow g \cdot j(x)$$

is bijective. Denote by  $\Sigma$  a closed subset of  $N$  such that  $K \cdot \Sigma = N$ . We discuss necessary and sufficient conditions for  $\psi$  to be a homeomorphism.

**Lemma 4.1.** *The following three conditions are equivalent:*

- (i) *the map  $\tilde{\psi} : G \times \Sigma \rightarrow Z, (g, x) \rightarrow g \cdot j(x)$  is proper,*
- (ii) *the map  $\hat{\psi} : G \times N \rightarrow Z, (g, x) \rightarrow g \cdot j(x)$  is proper,*
- (iii) *the map  $\psi : G \times_K N \rightarrow Z, [g, x] \rightarrow g \cdot j(x)$  is proper.*

*If any of the above conditions is satisfied, then  $\psi$  is a homeomorphism, the map  $j : N \rightarrow j(N)$  is a homeomorphism, and  $j(N)$  is closed in  $Z$ .*

*Proof.* We first show that (i) is equivalent to (ii). Consider the commutative diagram

$$\begin{array}{ccc} G \times \Sigma & & \\ \downarrow & \searrow \tilde{\psi} & \\ G \times N & \xrightarrow{\hat{\psi}} & Z, \end{array}$$

where the vertical arrow is the inclusion map. Since  $\Sigma$  is closed in  $N$ , such a map is proper. Therefore, if  $\hat{\psi}$  is proper, so is  $\tilde{\psi}$ . Conversely, assume that  $\tilde{\psi}$  is proper and let  $C$  be a compact subset of  $Z$ . We claim that the closed subset  $\tilde{\psi}^{-1}(C)$  coincides with  $K \cdot \hat{\psi}^{-1}(C)$ , where the  $K$ -action on  $G \times N$  is given by  $k \cdot (g, x) := (gk^{-1}, k \cdot x)$ . In order to see that  $\tilde{\psi}^{-1}(C) \subset K \cdot \hat{\psi}^{-1}(C)$ , let  $(g, x)$  be an element in  $\tilde{\psi}^{-1}(C)$  and choose  $k \in K$  and  $x' \in \Sigma$  such that  $x = k \cdot x'$ . Then  $gk \cdot j(x') = g \cdot j(x) \in C$ , implying that  $(gk, x') \in \tilde{\psi}^{-1}(C)$ . Thus  $(g, x) = k \cdot (gk, x')$  belongs to  $K \cdot \tilde{\psi}^{-1}(C)$ . The opposite inclusion is straightforward, and the claim follows.

Since  $\tilde{\psi}^{-1}(C)$  is compact by assumption, it follows that  $\hat{\psi}^{-1}(C) = K \cdot \tilde{\psi}^{-1}(C)$  is compact (cf. [Bou89], Cor. 1, p. 251). This concludes the proof of the first equivalence. In order to show that (ii) is equivalent to (iii), consider the commutative diagram

$$\begin{array}{ccc} G \times N & & \\ \pi \downarrow & \searrow \hat{\psi} & \\ G \times_K N & \xrightarrow{\psi} & Z, \end{array}$$

where  $\pi$  is the natural quotient map with respect to the twisted  $K$ -action. Since  $K$  is compact, such a map is proper (cf. [Bou89], Prop. 2, p. 252). Therefore, if  $\psi$  is proper, so is  $\hat{\psi}$ . Conversely, assume that  $\hat{\psi}$  is proper and let  $C$  be a compact subset of  $Z$ . Then the inverse image  $\psi^{-1}(C)$  coincides with  $\pi(\hat{\psi}^{-1}(C))$ . Thus it is compact, implying that  $\psi$  is proper and concluding the proof of the lemma.  $\square$

Note that assuming  $j : \Sigma \rightarrow Z$  proper does not imply  $G \times \Sigma \rightarrow Z$  proper. For instance, let  $G = \mathbb{R}$  act on  $\mathbb{R}^2$  by  $t \cdot (x, y) = (t + x, y)$ . Set  $N = \Sigma := \{ s \in \mathbb{R} : s \leq 0 \text{ or } s > 1 \}$  and define  $j : \Sigma \rightarrow \mathbb{R}^2$  by  $j(s) := (0, s)$ , for  $s \in (-\infty, 0]$ , and  $j(s) := (\ln(s - 1), s - 1)$ , for  $s \in (1, +\infty)$ . Then  $\psi : \mathbb{R} \times \Sigma \rightarrow \mathbb{R}^2$  is continuous and bijective but it is not a homeomorphism. In this example  $\Sigma \cong j(\Sigma)$  is a disconnected, closed submanifold (with boundary) of  $Z$ . In higher dimension, e.g.  $\dim_{\mathbb{R}} Z = 3$ ,

one can construct a similar example with  $\Sigma$  a contractible, closed submanifold (with boundary) of  $Z$ .

Now we assume that in addition  $Z$  has the structure of a  $G$ -equivariant fiber bundle, i.e. that there exists a closed topological  $K$ -subspace  $P$  of  $Z$  such that the map

$$G \times_K P \rightarrow Z, \quad [g, p] \rightarrow g \cdot p$$

is a homeomorphism.

**Lemma 4.2.** *If the map  $q : \Sigma \rightarrow P/K$ , given by  $x \rightarrow P \cap G \cdot j(x)$  is proper, then  $\psi : G \times_K N \rightarrow Z$ ,  $[g, x] \rightarrow g \cdot j(x)$  is a homeomorphism.*

*Proof.* By Lemma 4.1, it is sufficient to show that the map  $\tilde{\psi} : G \times \Sigma \rightarrow Z$  is proper. Let  $\{(g_n, x_n)\}_n$  be a sequence in  $G \times \Sigma$ , with  $g_n \cdot j(x_n) \rightarrow z_0$ . Choose  $\{(h_n, p_n)\}_n$  in  $G \times P$  such that  $g_n \cdot j(x_n) = h_n \cdot p_n$ . Since the canonical projection  $G \times P \rightarrow G \times_K P$  is proper (cf. [Bou89], Prop. 2, p. 252), the map  $G \times P \rightarrow Z$ , given by  $(g, z) \rightarrow g \cdot z$ , is proper. Thus, by passing to a subsequence if necessary, we may assume that  $(h_n, p_n) \rightarrow (h_0, p_0)$ . In particular,  $q(x_n) := P \cap G \cdot j(x_n) = K \cdot p_n \rightarrow K \cdot p_0$ . Since the map  $q$  is proper by assumption, by passing to a subsequence if necessary, one has that  $x_n \rightarrow x_0$ , for some  $x_0 \in \Sigma$ . Thus  $j(x_n) \rightarrow j(x_0)$ . By the properness of the  $G$ -action, the map  $G \times Z \rightarrow Z \times Z$ , given by  $(g, z) \rightarrow (z, g \cdot z)$ , is proper as well. Therefore, the sequence  $\{(g_n, x_n)\}_n$  converges to  $(g_0, x_0)$ , for some  $g_0$  in  $G$ . As a result the map  $\tilde{\psi} : G \times \Sigma \rightarrow Z$  is proper, and the statement follows from Lemma 4.1.  $\square$

As a matter of fact, the converse of the above lemma holds true as well. Indeed if  $\psi : G \times_K N \rightarrow Z$ ,  $[g, x] \rightarrow g \cdot j(x)$  is a homeomorphism, then  $Z/G$  is homeomorphic to  $N/K$ , as well as to  $P/K$ , being  $Z$  homeomorphic to  $G \times_K P$ . Therefore there is a commutative diagram

$$\begin{array}{ccccc} \Sigma & \longrightarrow & G \times_K N & \xrightarrow{\psi} & Z \\ & \searrow & \downarrow & & \downarrow \\ & & N/K & \longrightarrow & P/K, \end{array}$$

where the map  $N/K \rightarrow P/K$  is a homeomorphism. As  $\Sigma$  is closed in  $N$ , the restriction  $\Sigma \rightarrow N/K$  of the natural projection  $G \times_K N \rightarrow N/K$  is proper. Hence the map  $q : \Sigma \rightarrow P/K$ ,  $x \rightarrow P \cap G \cdot j(x)$ , given in the above diagram as the composition of proper maps, is proper, as claimed.

Note that, being  $Z$  connected by assumption, if  $\psi$  is a homeomorphism and  $K$  is connected, then  $N$  is necessarily connected. Indeed, in this case the principal bundle  $G \times N \rightarrow G \times_K N$  has connected base and fibers. Thus the total space  $G \times N$  is connected, implying that  $N$  is connected.

For later use we also mention the following corollary.

**Corollary 4.3.** *Assume that there exists a continuous,  $G$ -invariant function  $f : Z \rightarrow \mathbb{R}$  such that  $f \circ j|_{\Sigma} : \Sigma \rightarrow \mathbb{R}$  is proper. Then  $\psi$  is a homeomorphism.*

*Proof.* By Lemma 4.1, it is sufficient to show that the map

$$\tilde{\psi} : G \times \Sigma \rightarrow Z, \quad (g, x) \rightarrow g \cdot j(x)$$

is proper. Let  $\{(g_n, x_n)\}_n$  be a sequence in  $G \times \Sigma$  such that  $\{g_n \cdot j(x_n)\}_n$  converges to an element  $z_0$  in  $Z$ . We need to show that, by passing to a subsequence if necessary, the sequence  $\{(g_n, x_n)\}_n$  converges in  $G \times \Sigma$ . Let  $U$  be a compact neighborhood



of  $f(z_0)$  in  $\mathbb{R}$ . By assumption, the set  $V := (f \circ j|_{\Sigma})^{-1}(U)$  is a compact subset of  $\Sigma$ . By the continuity and the  $G$ -invariance of  $f$  one has  $f(j(x_n)) = f(g_n \cdot j(x_n)) \rightarrow f(z_0)$ . Therefore  $x_n \in V$  for  $n$  large enough. Thus, by passing to a subsequence if necessary,  $\{x_n\}_n$  converges to an element  $x_0$  of  $\Sigma$  and  $j(x_n) \rightarrow j(x_0)$ . Finally, by the properness of the  $G$ -action, the map  $G \times Z \rightarrow Z \times Z$ , given by  $(g, z) \rightarrow (z, g \cdot z)$ , is proper. Hence, by passing to a subsequence if necessary,  $\{(g_n, x_n)\}_n$  converges to  $(g_0, x_0)$ , for some  $g_0$  in  $G$ . This concludes the proof of the corollary.  $\square$

**Remark 4.4.** The function  $f \circ j|_{\Sigma}$  is proper if and only if  $f \circ j$  is proper. As  $\Sigma$  is closed in  $N$ , one implication is clear. For the converse, let  $C$  be a compact subset of  $\mathbb{R}$ . Then

$$(f \circ j)^{-1}(C) = K \cdot (f \circ j|_{\Sigma})^{-1}(C),$$

which is compact if  $(f \circ j|_{\Sigma})^{-1}(C)$  is compact (cf. [Bou89], Cor. I, p. 251).

**4.2. A slice in the anti-holomorphic tangent bundle.** Let  $G/K$  be an irreducible Hermitian symmetric space. Resuming the notation of Section 2, denote by  $\mathfrak{a}^+$  the open positive Weyl chamber in  $\mathfrak{a}$  and by  $\overline{\mathfrak{a}^+}$  its topological closure, given by

$$\mathfrak{a}^+ := \left\{ \sum_{j=1}^r x_j A_j : x_1 > \cdots > x_r > 0 \right\}, \quad \overline{\mathfrak{a}^+} = \left\{ \sum_{j=1}^r x_j A_j : x_1 \geq \cdots \geq x_r \geq 0 \right\}.$$

Define the closed hyperoctant

$$\mathfrak{a}^{\perp} := \left\{ \sum_{j=1}^r x_j A_j : x_j \geq 0, j = 1, \dots, r \right\}.$$

The set  $\overline{\mathfrak{a}^+}$  is a perfect slice for the adjoint action of  $K$  on  $\mathfrak{p}$ , and

$$\mathfrak{a}^{\perp} = W_K(\mathfrak{a}^+) \cdot \overline{\mathfrak{a}^+}.$$

Similarly, denote by  $(\Lambda_r^{\perp})^+$  the open positive Weyl chamber in  $\Lambda_r^{\perp}$ , and by  $\overline{(\Lambda_r^{\perp})^+}$  its topological closure, given by

$$(\Lambda_r^{\perp})^+ := \left\{ \sum_{j=1}^r x_j E_j : x_1 > \cdots > x_r > 0 \right\}, \quad \overline{(\Lambda_r^{\perp})^+} = \left\{ \sum_{j=1}^r x_j E_j, : x_1 \geq \cdots \geq x_r \geq 0 \right\},$$

respectively. By Lemma 3.1 and Corollary 3.2, one has

$$\Lambda_r^{\perp} = W_K(\Lambda_r) \cdot \overline{(\Lambda_r^{\perp})^+}.$$

Consider the homeomorphism

$$\Phi : \Lambda_r^{\perp} \rightarrow \mathfrak{a}^{\perp}, \quad \sum x_j E_j \rightarrow \frac{1}{2} \sum \log(1 + x_j) A_j,$$

and the  $K$ -equivariant linear isomorphism

$$\tau : \mathfrak{p} \rightarrow \mathfrak{p}^{0,1}, \quad Y \rightarrow -\frac{1}{2}(Y + iJ_0 Y). \quad (12)$$

The isomorphism  $\tau$  maps  $\mathfrak{a}$ , a slice for the  $\text{Ad}_K$ -action on  $\mathfrak{p}$ , onto a slice for the  $\text{Ad}_K$ -action on  $\mathfrak{p}^{0,1}$ , and induces a homeomorphism between the respective fundamental domains  $\overline{\mathfrak{a}^+} \subset \mathfrak{a}$  and  $\tau(\overline{\mathfrak{a}^+})$  in  $\mathfrak{p}^{0,1}$ .

The next lemma is crucial for the main result of this section. It states that inside  $\Xi^+$  the nilpotent slice  $\exp i\Lambda_r^{\perp} \cdot x_0$  can be mapped *continuously* onto a slice in  $\exp \mathfrak{p}^{0,1} \cdot x_0$ , by elements of the abelian group  $A = \exp \mathfrak{a}$ .

**Lemma 4.5.** *For every  $X$  in  $\Lambda_r^\pm$  one has*

$$\exp(iX) = \exp \Phi(X) \exp \left( -\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \right) \exp i\chi(X),$$

where  $\chi : \Lambda_r^\pm \rightarrow \mathfrak{k}$  is defined by  $\sum x_j E_j \rightarrow \sum \sinh^{-1} \left( \frac{x_j}{2\sqrt{1+x_j}} \right) (E_j + \theta E_j)$ . Thus

$$\exp(iX) \cdot x_0 = \exp \Phi(X) \exp \left( -\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \right) \cdot x_0.$$

*Proof.* Write  $X = \sum x_j E_j$  as a sum of nilpotent elements in the embedded  $\mathfrak{sl}(2)$ -triples defined in (5). By Definition 2.1, the complex structure  $J_0$  of  $G/K$  induces the invariant complex structure defined by  $\frac{1}{2} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  on each of the associated rank-one symmetric spaces. This fact, together with the commutativity of such triples in  $\mathfrak{g}$  and of the corresponding groups in  $G^\mathbb{C}$ , reduces the proof to the case of  $G = SL(2, \mathbb{R})$ . Then the equality to be proved reads as

$$\exp i \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \in \exp \Phi \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \exp -\frac{1}{2} \left( \begin{pmatrix} x & 0 \\ 0 & -x \end{pmatrix} + i \begin{pmatrix} 0 & -x \\ -x & 0 \end{pmatrix} \right) \text{SO}(2, \mathbb{C}).$$

One can easily check that the matrix

$$M = \exp i \sinh^{-1} \left( \frac{x}{2\sqrt{1+x}} \right) \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \frac{1}{\sqrt{1+x}} \begin{pmatrix} 1 + \frac{x}{2} & i\frac{x}{2} \\ -i\frac{x}{2} & 1 + \frac{x}{2} \end{pmatrix}$$

belongs to  $\exp i\mathfrak{so}(2, \mathbb{R}) \subset \text{SO}(2, \mathbb{C})$ , and that the following identity holds

$$\begin{pmatrix} 1 & ix \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \sqrt{1+x} & 0 \\ 0 & \sqrt{1+x}^{-1} \end{pmatrix} \begin{pmatrix} 1 - \frac{x}{2} & i\frac{x}{2} \\ i\frac{x}{2} & 1 + \frac{x}{2} \end{pmatrix} M.$$

This concludes the proof of the lemma.  $\square$

**Lemma 4.6.**

(i) *Let  $X$  be an element in  $\overline{(\Lambda_r^\pm)^+}$ . Then*

$$Z_K(X) = Z_K(\Psi(X)) = Z_K(\Phi(X)).$$

(ii) *Let  $X$  and  $X'$  be elements in  $\overline{(\Lambda_r^\pm)^+}$  such that*

$$\Psi(X') = \text{Ad}_k \Psi(X), \quad \text{for some } k \in K.$$

*Then  $X' = X$  and  $k \in Z_K(X)$ .*

*Proof.* (i) We begin by proving that  $Z_K(X) = Z_K(\Psi(X))$ . Since the map  $\Psi(X) = [Z_0, X - \theta X]$  defined in (11) is  $K$ -equivariant, there is an inclusion

$$Z_K(X) \subset Z_K(\Psi(X)).$$

We prove the opposite one by showing that an element  $k \in Z_K(\Psi(X))$  centralizes both  $X - \theta X$  and  $X + \theta X$ . From

$$[Z_0, X - \theta X] = \text{Ad}_k [Z_0, X - \theta X] = [Z_0, \text{Ad}_k(X - \theta X)]$$

and the fact that  $\text{ad}_{Z_0}$  is bijective on  $\mathfrak{p}$  (it is a complex structure), we obtain that  $k \in Z_K(X - \theta X)$ . Before showing that  $k \in Z_K(X + \theta X)$ , we make a small digression.

Given a subset  $\Delta$  of  $\Delta(\mathfrak{g}, \mathfrak{a})^+$ , the associated orbit stratum in the closure of the Weyl chamber  $\overline{\mathfrak{a}^+}$  is by definition

$$\overline{\mathfrak{a}^+}_\Delta := \{ A \in \mathfrak{a}^+ : \beta(A) = 0 \text{ if } \beta \in \Delta, \beta(A) > 0 \text{ if } \beta \in \Delta(\mathfrak{g}, \mathfrak{a})^+ \setminus \Delta \}.$$

Let  $H$  be an element in  $\mathfrak{a}$ . Since  $G^{\mathbb{C}}$  is simply connected, the centralizer  $Z_{G^{\mathbb{C}}}(H)$  of  $H$  in  $G^{\mathbb{C}}$  is a connected group (see [Hum95], p.33) with Lie algebra

$$Z_{\mathfrak{g}^{\mathbb{C}}}(H) = Z_{\mathfrak{k}^{\mathbb{C}}}(\mathfrak{a}) \oplus \mathfrak{a}^{\mathbb{C}} \oplus \bigoplus_{\substack{\alpha \in \Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{a}^{\mathbb{C}}) \\ \alpha(H)=0}} \mathfrak{g}^{\alpha}. \quad (13)$$

Moreover, since  $\sigma(H) = H$  and  $\theta(H) = -H$ , the group  $Z_{G^{\mathbb{C}}}(H)$  is both  $\sigma$  and  $\theta$ -stable. As a result, if two elements  $H_1$  and  $H_2$  of  $\overline{\mathfrak{a}^+}$  lie in the same orbit stratum, then  $Z_{G^{\mathbb{C}}}(H_1) = Z_{G^{\mathbb{C}}}(H_2)$  and likewise  $Z_K(H_1) = Z_K(H_2)$ .

Write  $X = \sum x_j E_j$  and  $\Psi(X) = \sum x_j A_j$ . Since the elements  $\sum x_j A_j$  and  $\sum \sqrt{\frac{x_j}{2}} A_j$  lie in the same orbit stratum of  $\overline{\mathfrak{a}^+}$ , one has  $Z_K(\Psi(X)) = Z_K(\sum \sqrt{\frac{x_j}{2}} A_j)$ . Moreover, since

$$\sum_j \sqrt{\frac{x_j}{2}} (E_j - \theta E_j) = [-Z_0, \sum_j \sqrt{\frac{x_j}{2}} A_j],$$

one also has  $Z_K(\Psi(X)) \subset Z_K(\sum \sqrt{\frac{x_j}{2}} (E_j - \theta E_j))$ . Then the equality

$$Z_K(\Psi(X)) = Z_K(X + \theta X)$$

follows from

$$\begin{aligned} \text{Ad}_k(X + \theta X) &= \\ \text{Ad}_k\left(\sum_j x_j (E_j + \theta E_j)\right) &= \text{Ad}_k\left[\sum_j \sqrt{\frac{x_j}{2}} A_j, \sum_j \sqrt{\frac{x_j}{2}} (E_j - \theta E_j)\right] = \\ [\text{Ad}_k\left(\sum_j \sqrt{\frac{x_j}{2}} A_j\right), \text{Ad}_k\left(\sum_j \sqrt{\frac{x_j}{2}} (E_j - \theta E_j)\right)] &= \left[\sum_j \sqrt{\frac{x_j}{2}} A_j, \sum_j \sqrt{\frac{x_j}{2}} (E_j - \theta E_j)\right] = \\ \sum_j x_j (E_j + \theta E_j) &= X + \theta X. \end{aligned}$$

Since  $X = \frac{1}{2}(X - \theta X) + \frac{1}{2}(X + \theta X)$ , we conclude that

$$Z_K(X) = Z_K(\Psi(X)).$$

Next we show that

$$Z_K(\Psi(X)) = Z_K(\Phi(X)).$$

From the definition of the maps  $\Psi$ ,  $\Phi$  and of the roots defining  $\overline{\mathfrak{a}^+}$  (cf. Sect. 2) it is clear that  $\Psi(X)$  and  $\Phi(X)$  lie in the same orbit stratum of  $\overline{\mathfrak{a}^+}$ . Then the desired equality follows from the above considerations.

(ii) By definition of  $\overline{(\Lambda_r^+)^+}$ , the elements  $\Psi(X)$  and  $\Psi(X')$  lie in  $\overline{\mathfrak{a}^+}$ , which is a perfect slice for the  $\text{Ad}_K$ -action on  $\mathfrak{p}$ . Then  $\Psi(X') = \Psi(X)$  and  $k \in Z_K(\Psi(X)) = Z_K(X)$ . Since the map  $\Psi: \Lambda_r \rightarrow \mathfrak{a}$  is injective, it follows that  $X' = X$ .  $\square$

**Theorem 4.7.** *Let  $G/K$  be an irreducible Hermitian symmetric space. Then the map*

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \rightarrow g \exp iX \cdot x_0$$

*is a  $G$ -equivariant homeomorphism.*

*Proof.* The map  $\psi$  is  $G$ -equivariant by construction. Since  $\Xi^+ = G \exp \mathfrak{p}^{0,1} \cdot x_0$  (see (1)), Lemma 4.5 implies that  $\psi$  is surjective. Recall that by Corollary 3.2, one has  $\mathcal{N}^+ = \text{Ad}_K \overline{(\Lambda_r^+)^+}$ . Hence, in order to prove that  $\psi$  is injective, it is sufficient to show that if the identity

$$g \exp iX \cdot x_0 = \exp iX' \cdot x_0, \quad (14)$$

holds true for some  $g \in G$  and  $X, X' \in \overline{(\Lambda_r^+)^+}$ , then

$$g \in K, \quad \text{and} \quad X' = \text{Ad}_g X.$$

By Lemma 4.5, equation (14) is equivalent to

$$\begin{aligned} g \exp \Phi(X) \exp \left( -\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \right) \cdot x_0 = \\ \exp \Phi(X') \exp \left( -\frac{1}{2}(\Psi(X') + iJ_0\Psi(X')) \right) \cdot x_0. \end{aligned}$$

Then, by identifying  $\Xi^+$  with  $G \times_K \mathfrak{p}^{0,1}$  under the  $G$ -equivariant diffeomorphism (1), the above identity becomes

$$[g \exp \Phi(X), -\frac{1}{2}(\Psi(X) + iJ_0\Psi(X))] = [\exp \Phi(X'), -\frac{1}{2}(\Psi(X') + iJ_0\Psi(X'))].$$

In other words, there exists  $k \in K$  such that

$$\exp \Phi(X') = g \exp \Phi(X) k^{-1} \quad \text{and} \quad \Psi(X') = \text{Ad}_k \Psi(X). \quad (15)$$

From the second equality in (15) and Lemma 4.6, one obtains the relations

$$X = X' \quad \text{and} \quad k \in Z_K(\Psi(X)) = Z_K(\Phi(X)) = Z_K(X),$$

which plugged in the first equality of (15) yield  $g = k$ . In conclusion, we have obtained

$$g \in Z_K(X) \quad \text{and} \quad X' = X = \text{Ad}_g X,$$

as desired.

Next we are going to show that  $\psi$  is a homeomorphism. Consider the  $K$ -invariant fiber  $P := \exp \mathfrak{p}^{0,1} \cdot x_0$  in  $\Xi^+ \cong G \times_K \mathfrak{p}^{0,1}$ . Since the map  $G \times_K P \rightarrow \Xi^+$ , given by  $[g, z] \rightarrow g \cdot z$ , is a  $G$ -equivariant diffeomorphism, by Lemma 4.2 it is sufficient to show that the following map is proper

$$q : \Lambda_r^+ \rightarrow P/K, \quad X \rightarrow P \cap G \exp iX \cdot x_0.$$

So let  $\{X_n\}_n$  be a sequence diverging in  $\Lambda_r^+$ . Then  $\{-\frac{1}{2}(\Psi(X_n) + iJ_0\Psi(X_n))\}_n$  diverges in  $\mathfrak{p}^{0,1}$ . Consequently, the sequence  $\{\exp -\frac{1}{2}(\Psi(X_n) + iJ_0\Psi(X_n)) \cdot x_0\}_n$  diverges in  $\exp \mathfrak{p}^{0,1} \cdot x_0$  and, by Lemma 4.5, every element  $\exp -\frac{1}{2}(\Psi(X_n) + iJ_0\Psi(X_n)) \cdot x_0$  lies in  $G \exp iX_n \cdot x_0 \cap \exp \mathfrak{p}^{0,1} \cdot x_0$ . Since the canonical projection  $\exp \mathfrak{p}^{0,1} \cdot x_0 \rightarrow \exp \mathfrak{p}^{0,1} \cdot x_0 / K$  is proper, the sequence  $\{\exp \mathfrak{p}^{0,1} \cdot x_0 \cap G \exp iX_n \cdot x_0 = \exp(-\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \cdot x_0)\}_n$  diverges in  $\exp \mathfrak{p}^{0,1} \cdot x_0 / K$ . Thus the map  $q$  is proper, as wished.  $\square$

From the above proposition we obtain the following consequences.

**Corollary 4.8.** *The restriction of the map (11)*

$$\Psi : \mathcal{N}^+ \rightarrow \mathfrak{p}, \quad \Psi(X) = [Z_0, X - \theta X] = J_0(X - \theta X)$$

*is a  $K$ -equivariant homeomorphism. Likewise, the maps*

$$\mathcal{N}^+ \rightarrow \mathfrak{p}, \quad X \rightarrow X - \theta X$$

*and*

$$\Psi^{0,1} : \mathcal{N}^+ \rightarrow \mathfrak{p}^{0,1}, \quad X \rightarrow \frac{1}{2}(\Psi(X) + iJ_0\Psi(X))$$

*are  $K$ -equivariant homeomorphisms.*

*Proof.* The map  $\Psi$  is  $K$ -equivariant, since both  $\text{ad}_{Z_0}$  and the Cartan involution  $\theta$  commute with the Adjoint action of  $K$ . It is also surjective, since its image contains the closure of the Weyl chamber  $\mathfrak{a}^+$ . In order to show that  $\Psi$  is injective, it is enough to consider pairs of elements  $X$  and  $\text{Ad}_k(X')$ , with  $X, X' \in \overline{(\Lambda_r^+)^+}$  and  $k \in K$ . Assume that  $\Psi(X) = \Psi(\text{Ad}_k(X'))$ . Then by Lemma 4.6, one obtains

$$X = X', \quad k \in Z_K(\Psi(X)) = Z_K(X).$$

In particular  $X = \text{Ad}_k(X')$ , as wished.

It remains to show that  $\Psi$  is proper. This follows from the fact that  $\Psi(X) \neq 0$ , if  $X \neq 0$ , and  $\Psi(tX) = t\Psi(X)$ , for all  $t \in \mathbb{R}$ . As a consequence, the image of any divergent sequence in  $\mathcal{N}^+$  under  $\Psi$  is a divergent sequence in  $\mathfrak{p}$ .

The second part of the statement follows directly from the fact that both  $J_0 : \mathfrak{p} \rightarrow \mathfrak{p}$  and the map  $\mathfrak{p} \rightarrow \mathfrak{p}^{0,1}$ , given by  $Y \rightarrow \frac{1}{2}(Y + iJ_0(Y))$ , are  $K$ -equivariant linear isomorphisms.  $\square$

## 5. AN EXAMPLE.

In this section, we give a different proof of Theorem 4.7 in the cases of  $G = Sp(2, \mathbb{R})$  and  $G = Sp(1, \mathbb{R}) \cong SL(2, \mathbb{R})$ . This proof uses Corollary 4.3 and a global  $G$ -invariant function  $f : \Xi^+ \rightarrow \mathbb{R}$ , with the property that the map

$$\Lambda_r^+ \rightarrow \mathbb{R}, \quad X \rightarrow f(\exp iX \cdot x_0)$$

is proper. As a matter of fact, the function  $f$  is the restriction of a  $G$ -invariant function defined on  $G^{\mathbb{C}}/K^{\mathbb{C}}$ .

Consider the real symplectic group

$$G = Sp(r, \mathbb{R}) = \left\{ Z = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in M^{2r \times 2r}(\mathbb{R}) : {}^t Z J Z = J \right\}, \quad J := \begin{pmatrix} 0 & I_r \\ -I_r & 0 \end{pmatrix}$$

and its complexification  $G^{\mathbb{C}} = Sp(r, \mathbb{C})$ . By Witt's theorem,  $G^{\mathbb{C}}$  acts transitively on the Grassmannian of  $J$ -isotropic complex  $r$ -planes in  $\mathbb{C}^{2r}$

$$Y = \{ \mathbf{x} \text{ complex } r\text{-plane in } \mathbb{C}^{2r} : J|_{\mathbf{x} \times \mathbf{x}} = 0 \}.$$

By considering all possible bases of  $\mathbf{x}$ , given as  $r$ -tuples of column vectors in  $\mathbb{C}^{2r}$ , we view  $Y$  as the quotient of

$$\widehat{Y} := \left\{ \mathcal{R} = \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} : R_1, R_2 \in M^{r \times r}(\mathbb{C}), \text{rank } \mathcal{R} = r, {}^t \mathcal{R} J \mathcal{R} = 0 \right\}$$

by the right action of  $GL(r, \mathbb{C})$  defined by

$$M \cdot \mathcal{R} := \mathcal{R} M^{-1}, \quad M \in GL(r, \mathbb{C}).$$

Note that  $G^{\mathbb{C}}$  acts on  $\widehat{Y}$  by left multiplication and that the canonical projection

$$\widehat{Y} \rightarrow Y, \quad \mathcal{R} \rightarrow [\mathcal{R}]$$

is  $G^{\mathbb{C}}$ -equivariant. Fix the base point  $\mathbf{x}_+ = \begin{bmatrix} iI_r \\ I_r \end{bmatrix} \in Y$ . Then the complexification  $G^{\mathbb{C}}/K^{\mathbb{C}}$  of  $G/K$  can be realized in the product  $Y \times \overline{Y}$  as the open dense orbit

$$G^{\mathbb{C}}/K^{\mathbb{C}} \cong G^{\mathbb{C}} \cdot x_0 = \{ ([\mathcal{R}], [\mathcal{S}]) \in Y \times \overline{Y} : |\mathcal{R}\overline{\mathcal{S}}| \neq 0 \},$$

where  $x_0 = (\mathbf{x}_+, \mathbf{x}_+)$  and  $|\mathcal{R}\overline{\mathcal{S}}|$  denotes the determinant of the matrix formed by  $\mathcal{R}$  and  $\overline{\mathcal{S}}$  (see [FHW05], p. 68). Define two real  $G$ -invariant functions on  $G^{\mathbb{C}}/K^{\mathbb{C}}$  as follows

$$f_1([\mathcal{R}], [\mathcal{S}]) = \left\| \frac{{}^t \mathcal{R} J \mathcal{S}}{|\mathcal{R}\overline{\mathcal{S}}|} \right\|^2, \quad f_2([\mathcal{R}], [\mathcal{S}]) = \frac{|{}^t \mathcal{R} J \overline{\mathcal{R}}| |{}^t \mathcal{S} J \overline{\mathcal{S}}|}{\| |\mathcal{R}\overline{\mathcal{S}}| \|^2}.$$

A simple computation shows that for

$$X = \begin{pmatrix} O & D \\ O & O \end{pmatrix} \in \Lambda_r, \quad \text{with } D = \text{diag}(x_1, \dots, x_r),$$

one has

$$f_1(\exp iX \cdot x_0) = (1 - x_1^2) \dots (1 - x_r^2) \quad \text{and} \quad f_2(\exp iX \cdot x_0) = x_1^2 \dots x_r^2.$$

For  $r = 2$ , define the  $G$ -invariant function  $f := 1 - f_1 + f_2$  on  $G^{\mathbb{C}}/K^{\mathbb{C}}$ . By composing  $f$  with the embedding  $\Lambda_2^{\natural} \rightarrow \exp i\Lambda_2^{\natural} \cdot x_0$ , given by  $X \rightarrow \exp iX \cdot x_0$ , one obtains an exhaustion function of  $\Lambda_2^{\natural}$

$$\Lambda_2^{\natural} \rightarrow \mathbb{R}, \quad X = x_1 E_1 + x_2 E_2 \rightarrow f(\exp iX \cdot x_0) = x_1^2 + x_2^2.$$

This fact, together with Corollary 4.3, yields an alternative proof of Theorem 4.7 for  $G = Sp(2, \mathbb{R})$ . A similar proof works for  $G = SL(2, \mathbb{R}) = Sp(1, \mathbb{R})$ , using the global  $G$ -invariant function  $f_2$ .

It would be interesting to obtain similar global smooth  $G$ -invariant functions on  $G^{\mathbb{C}}/K^{\mathbb{C}}$  in the higher rank case and in general for all Hermitian symmetric spaces. For instance, in the case of  $G = Sp(r, \mathbb{R})$ , for  $r \geq 3$ , we know no global  $G$ -invariant function whose restriction to  $\exp i\Lambda_r^{\natural} \cdot x_0$  determines a non-constant symmetric polynomial on  $\Lambda_r$  other than  $(1 - x_1^2) \dots (1 - x_r^2)$  or  $x_1^2 \dots x_r^2$ .

Note that as a consequence of Theorem 4.7, every function  $h$  on  $\exp i\Lambda_r \cdot x_0$ , arising from a symmetric polynomial in the ring  $\mathbb{R}[x_1^2, \dots, x_r^2]$ , extends continuously and  $G$ -equivariantly at least to  $\Xi^+ \cup \Xi^-$ . It would be interesting to know whether such an extension is smooth and if a further extension to a  $G$ -invariant, smooth function defined on  $G^{\mathbb{C}}/K^{\mathbb{C}}$  exists. If so, one could look for an explicit global realization of  $h$ , e.g. in terms of the coordinates of  $G^{\mathbb{C}}/K^{\mathbb{C}}$  in  $Y \times \bar{Y}$ .

## 6. $G$ -ORBIT STRUCTURE OF $\Xi^+$ .

By Theorem 4.7, the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \rightarrow g \exp iX \cdot x_0$$

is a  $G$ -equivariant homeomorphism. Hence, every  $G$ -orbit in  $\Xi^+$  meets  $\exp i\mathcal{N}^+ \cdot x_0$  in a  $K$ -orbit and the  $G$ -orbit structure of  $\Xi^+$  is completely determined by the  $K$ -orbit structure of the nilpotent cone  $\mathcal{N}^+ = \text{Ad}_K \Lambda_r^{\natural}$ . Moreover, by Corollary 4.8, the cone  $\mathcal{N}^+$  is  $K$ -equivariantly homeomorphic to  $\mathfrak{p}$ . In this section we give further details.

**Corollary 6.1.** *Let  $X$  be an element in  $\Lambda_r^{\natural}$ , and let  $\exp iX \cdot x_0$  be the corresponding point in  $\Xi^+$ . Then the isotropy subgroup of  $\exp iX \cdot x_0$  in  $G$  is given by*

$$G_{\exp iX \cdot x_0} = Z_K(X) = Z_K(\Psi(X)).$$

*Proof.* Since  $\exp iX \cdot x_0 = \psi([e, X])$ , by Theorem 4.7 one has

$$G_{\exp iX \cdot x_0} = G_{[e, X]} = Z_K(X),$$

which proves the first equality. The second equality follows from Corollary 4.8.  $\square$

**Definition 6.2.** *An element  $X \in \Lambda_r^{\natural}$  is generic if  $\exp iX \cdot x_0$  lies on a maximal dimensional  $G$ -orbit in  $\Xi^+$ . Equivalently, if  $Z_K(X) = Z_K(\Lambda_r^{\natural})$ . The set of generic elements in  $\Lambda_r^{\natural}$  is denoted by  $(\Lambda_r^{\natural})_{\text{gen}}$ .*

**Lemma 6.3.** *An element  $X$  in  $\Lambda_r^\perp$  is generic if and only if  $\Psi(X) = [Z_0, X - \theta X]$  is generic in  $\mathfrak{a}$ . In particular the set  $(\Lambda_r^\perp)_{gen}$  is given by*

$$(\Lambda_r^\perp)_{gen} = \left\{ \sum_j x_j E_j : x_j \neq 0 \text{ and } x_j \neq x_l, \text{ for } j, l = 1, \dots, r \text{ and } j \neq l \right\},$$

and is dense in  $\Lambda_r^\perp$ .

*Proof.* By Corollary 6.1 one has  $Z_K(X) = Z_K(\Psi(X))$ . Moreover  $\Psi(\Lambda_r^\perp) = \mathfrak{a}^\perp$  and  $Z_K(\Lambda_r^\perp) = Z_K(\Lambda_r) = Z_K(\mathfrak{a})$  (see Lemma 3.1). Hence  $X$  is generic if and only if  $Z_K(\Psi(X)) = Z_K(\mathfrak{a})$ , i.e. if and only if  $\Psi(X)$  is a generic element of  $\mathfrak{a}$ .

For  $H \in \mathfrak{a}$  the Lie algebra of  $Z_K(H)$  is given by

$$Z_{\mathfrak{k}}(H) = \mathfrak{a} \oplus Z_{\mathfrak{k}}(\mathfrak{a}) \oplus \bigoplus_{\alpha(H)=0} \mathfrak{g}[\alpha]_{\mathfrak{k}},$$

where  $\mathfrak{g}[\alpha]_{\mathfrak{k}}$  is the  $\mathfrak{k}$ -component of the  $\theta$ -stable subspace  $\mathfrak{g}[\alpha] = \mathfrak{g}^\alpha \oplus \mathfrak{g}^{-\alpha}$  of  $\mathfrak{g}$ . From this and the fact that  $\Delta(\mathfrak{g}, \mathfrak{a})$  is either of type  $C_r$  or  $BC_r$ , one has

$$\mathfrak{a}_{gen} = \left\{ \sum_j a_j A_j : a_j \neq 0 \text{ and } a_j \neq \pm a_l, \text{ for } j, l = 1, \dots, r \text{ and } j \neq l \right\}.$$

Given an element  $X = \sum x_j E_j \in \Lambda_r^\perp$ , one has  $\Psi(X) = \sum x_j A_j$ . Thus  $X$  is generic if and only if  $x_j \neq 0$  and  $x_j \neq x_l$ , for  $j, l = 1, \dots, r$  and  $j \neq l$ , as claimed.  $\square$

**Proposition 6.4.** *Let  $X \in \Lambda_r^\perp$  and  $k \in K$  be elements such that  $\text{Ad}_k X \in \Lambda_r$ . Then*

(i)  *$\text{Ad}_k X$  lies in  $\Lambda_r^\perp$ , implying that  $\mathcal{N}^+ \cap \Lambda_r = \Lambda_r^\perp$ ,*

(ii) *there exists  $n \in N_K(\Lambda_r)$  such that  $\text{Ad}_k X = \text{Ad}_n X$ .*

*In particular  $\Lambda_r^\perp$  is closed in  $\mathcal{N}^+$  and the intersection  $\text{Ad}_K X \cap \Lambda_r$ , of the  $\text{Ad}_K$ -orbit of  $X$  with  $\Lambda_r$ , is given by the  $W_K(\Lambda_r)$ -orbit of  $X$  in  $\Lambda_r^\perp$ .*

*Proof.* (i) We first consider the case when  $k$  is an element of  $N_K(\mathfrak{a})$  and we set  $n := k$ . Then  $\text{Ad}_n$  acts on  $\mathfrak{a}$  by signed permutations of the  $A_j$ .

*Claim.* If for some indices  $i, h \in \{1, \dots, r\}$  one has  $\text{Ad}_n(A_i) = A_h$ , then  $\text{Ad}_n(E_i) \in \mathfrak{g}^{\lambda_h}$ ; if  $\text{Ad}_n(A_i) = -A_h$ , then  $\text{Ad}_n(E_i) \in \mathfrak{g}^{-\lambda_h}$ .

*Proof of the claim.* From  $[A_i, E_i] = 2E_i$ , by applying  $\text{Ad}_n$  to both terms of the equation, we obtain

$$[\text{Ad}_n A_i, \text{Ad}_n E_i] = [A_h, \text{Ad}_n E_i] = 2\text{Ad}_n E_i.$$

Then, in order to show that  $\text{Ad}_n E_i \in \mathfrak{g}^{\lambda_h}$ , we need to show that  $[A_l, \text{Ad}_n E_i] = 0$ , for all  $l \neq h$ . Write  $[A_l, \text{Ad}_n E_i] = \text{Ad}_n[\text{Ad}_{n^{-1}} A_l, E_i]$ , and observe that  $\text{Ad}_{n^{-1}} A_l \in \{\pm A_m\}$ , for some  $m \neq i$ . Then

$$\text{Ad}_n[\text{Ad}_{n^{-1}} A_l, E_i] = \text{Ad}_n[\pm A_m, E_i] = 0,$$

as desired. A similar argument shows the second statement, and concludes the proof of the claim.

Write  $X = \sum x_j E_j$ , with  $x_j \geq 0$ , and  $\text{Ad}_n X = \sum y_j E_j$ , with  $y_j \in \mathbb{R}$ . Then  $\Psi(X) = \sum x_j A_j$  and, since  $\Psi$  is  $\text{Ad}_K$ -equivariant, one has

$$\text{Ad}_n(\Psi(X)) = \sum x_j \text{Ad}_n A_j = \Psi(\text{Ad}_n X) = \sum y_j A_j.$$

Thus, given  $i \in \{1, \dots, r\}$ , one has  $y_h = x_i \geq 0$ , if  $\text{Ad}_n A_i = A_h$ , and  $y_h = -x_i \leq 0$ , if  $\text{Ad}_n A_i = -A_h$ . In order to show that  $\text{Ad}_n X = \sum y_j E_j$  lies in  $\Lambda_r^\perp$ , we prove that  $x_i = 0$  whenever  $\text{Ad}_n A_i = -A_h$ .

Assume by contradiction that this is not the case. By the above claim, each  $\text{Ad}_n E_j$  lies in one of the root spaces of the direct sum  $\Lambda_r \oplus \theta \Lambda_r = \bigoplus_j \mathfrak{g}^{\lambda_j} \oplus$

$\mathfrak{g}^{-\lambda_j}$ . Moreover,  $\text{Ad}_n X = \sum x_j \text{Ad}_n E_j$  has a non-zero component in  $\mathfrak{g}^{-\lambda_h}$ . This contradicts the fact that  $\text{Ad}_n X$  lies in  $\Lambda_r$  and concludes the proof in the case when  $k = n$  is an element of  $N_K(\mathfrak{a})$ .

Next, the general case. Both elements  $\Psi(X)$  and  $\Psi(\text{Ad}_k X) = \text{Ad}_k(\Psi(X))$  belong to  $\mathfrak{a}$  and, by [Kna04], Lemma 7.38, p.459, there exists an element  $n \in N_K(\mathfrak{a})$  such that

$$\text{Ad}_k(\Psi(X)) = \text{Ad}_n(\Psi(X)).$$

Thus  $n^{-1}k$  lies in  $Z_K(\Psi(X))$  and also in  $Z_K(X)$ , by (i) of Lemma 4.6. Therefore

$$\text{Ad}_k X = \text{Ad}_n X.$$

Since we already showed that  $\text{Ad}_n X$  belongs to  $\Lambda_r^+$ , the proof of (i) is now complete.

(ii) By (i), both  $X$  and  $\text{Ad}_k X$  lie in  $\Lambda_r^+$ . Since  $\Psi: \mathcal{N}^+ \rightarrow \mathfrak{p}$  is a  $K$ -equivariant homeomorphism (Cor. 4.8) and  $\Psi(\Lambda_r^+) = \mathfrak{a}^+$ , both  $\Psi(X)$  and  $\text{Ad}_k \Psi(X)$  belong to  $\mathfrak{a}^+$ . Of course they lie on the same  $W_K(\mathfrak{a})$ -orbit. Recall that  $W_K(\mathfrak{a})$  acts on  $\mathfrak{a}$  by signed permutations and that, by definition,  $\mathfrak{a}^+ := \{\sum_{j=1}^r x_j A_j : x_j \geq 0, j = 1, \dots, r\}$ . Thus there exists  $\gamma \in W_K(\mathfrak{a})^+$  such that

$$\text{Ad}_k \Psi(X) = \gamma \cdot \Psi(X).$$

Furthermore,  $W_K(\mathfrak{a})^+ = W_K(\Lambda_r^+)$  by Lemma 3.1, implying that there exists  $n \in N_K(\Lambda_r^+)$  such that  $\gamma = nZ_K(\mathfrak{a})$  and

$$\text{Ad}_k \Psi(X) = \text{Ad}_n \Psi(X).$$

Now, by applying  $\Psi^{-1}: \mathfrak{p} \rightarrow \mathcal{N}^+$  to both sides of the above equality, one obtains  $\text{Ad}_k X = \text{Ad}_n X$ , as wished.  $\square$

By Lemma 3.1 the closure  $\overline{(\Lambda_r^+)^+}$  of the open chamber

$$(\Lambda_r^+)^+ := \{x_1 E_1 + \dots + x_r E_r : x_1 > x_2 > \dots > x_r > 0\}$$

is a perfect slice for the  $W_K(\Lambda_r)$ -action on  $\Lambda_r^+$ .

**Corollary 6.5.**

(i) *The closure  $\overline{(\Lambda_r^+)^+}$  of the open chamber  $(\Lambda_r^+)^+$  is a perfect slice for the  $\text{Ad}_K$ -action on  $\mathcal{N}^+$ .*

(ii) *For  $X \in \Lambda_r^+$  one has*

$$G \exp iX \cdot x_0 \bigcap \exp i\Lambda_r^+ \cdot x_0 = \exp i(W_K(\Lambda_r) \cdot X) \cdot x_0.$$

(iii) *There are homeomorphisms of orbit spaces*

$$\Xi^+ / G \cong \Lambda_r^+ / W_K(\Lambda_r) \cong \overline{(\Lambda_r^+)^+}.$$

*Proof.* Part (i) follows from Proposition 6.4. For parts (ii) and (iii), Proposition 6.4(ii) implies that every  $G$ -orbit in  $G \times_K \mathcal{N}^+$  intersects the closed subset  $\Lambda_r^+ \cong \{[e, X] \in G \times_K \mathcal{N}^+ : X \in \Lambda_r^+\}$  of  $\mathcal{N}^+$  in a  $W_K(\Lambda_r)$  orbit. Then the statements follow from the  $G$ -equivariance of the homeomorphism  $\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+$  (see Thm. 4.7).  $\square$

**Remark 6.6.** Observe that inside  $\Xi^+$  there is a proper inclusion

$$\exp i\Lambda_r^+ \cdot x_0 \subset \Xi^+ \cap \exp i\Lambda_r \cdot x_0,$$

and that the sets  $\{X \in \Lambda_r : \exp iX \cdot x_0 \in \Xi^+\}$  and  $\bigoplus_{j=1}^r (-1, \infty) E_j$  coincide (see [Kr08], p. 286). In fact, there exist elements  $X \in \Lambda_r^+$ ,  $Y \in \Lambda_r \setminus \Lambda_r^+$  and  $g \in G \setminus K$  such that

$$g \exp iX \cdot x_0 = \exp iY \cdot x_0.$$



For example, for  $G/K = SL(2, \mathbb{R})/SO(2, \mathbb{R})$ , take  $-1 < x < 1$  and  $b := \sqrt{1-x^2}$ . Then  $\begin{pmatrix} 0 & b \\ -1/b & 0 \end{pmatrix} \in G$  and  $\begin{pmatrix} -ix/b & 1/b \\ -1/b & -ix/b \end{pmatrix} \in SO(2, \mathbb{C})$ . The relation

$$\begin{pmatrix} 0 & b \\ -1/b & 0 \end{pmatrix} \begin{pmatrix} 1 & ix \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -ix \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -ix/b & 1/b \\ -1/b & -ix/b \end{pmatrix}$$

shows that the elements  $\exp i \begin{pmatrix} 0 & -x \\ 0 & 0 \end{pmatrix} \cdot x_0$  and  $\begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \cdot x_0$  lie on the same  $G$ -orbit in  $\Xi^+$ , even though not on the same  $K$ -orbit.

In the higher rank case, for  $\bar{j} \in \{1, \dots, r\}$ , consider the subdomains

$$(-1, \infty)E_1 \oplus \dots \oplus (-1, 1)E_{\bar{j}} \oplus \dots \oplus (-1, \infty)E_r \quad (16)$$

of  $\bigoplus_{j=1}^r (-1, \infty)E_j \subset \Lambda_r$ . On each of them there are additional symmetries (induced by the  $G$ -action on  $\Xi^+$ ) which identify elements which do not lie on the same  $\text{Ad}_K$ -orbit in  $\mathfrak{g}$  (cf. Prop. 6.4). Namely, for  $-1 < x < 1$ , let  $g_{\bar{j}}$  be the image of the element

$$\begin{pmatrix} 0 & \sqrt{1-x^2} \\ -1/\sqrt{1-x^2} & 0 \end{pmatrix}$$

in the  $SL(2, \mathbb{R})$ -subgroup of  $G$  generated by the  $\mathfrak{sl}(2)$ -triple  $\{E_{\bar{j}}, \theta E_{\bar{j}}, A_{\bar{j}}\}$ . Then

$$g_{\bar{j}} \exp i(x_1 E_1 + \dots + x_{\bar{j}} E_{\bar{j}} + \dots + x_r E_r) \cdot x_0 = \exp i(x_1 E_1 + \dots - x_{\bar{j}} E_{\bar{j}} + \dots + x_r E_r) \cdot x_0.$$

This shows that inside the  $\bar{j}^{\text{th}}$  subdomain of  $\Lambda_r$  defined in (16), the element  $g_{\bar{j}}$  induces the reflection with respect to the  $\bar{j}^{\text{th}}$ -coordinate plane.

## 7. A DISTINGUISHED STEIN SUBDOMAIN OF $\Xi^+$ .

Let  $G/K$  be an irreducible Hermitian symmetric space. The boundary of the crown domain  $\Xi$  contains a point whose  $G$ -orbit has locally minimal dimension. In the tube case, such an orbit is a Cayley type symmetric space  $G/H$ . From the compactly causal structure of  $G/H$  two distinguished  $G$ -invariant Stein domains  $S^\pm$  in  $G^\mathbb{C}/K^\mathbb{C}$  arise, whose boundary contains  $G/H$ . The purpose of this section is to prove that one of these domains, namely  $S^+$ , is contained in  $\Xi^+$ . In the non-tube case, there is no Stein analogue of the domains  $S^\pm$  (see Rem. 7.7).

Denote by  $\{\omega_1, \dots, \omega_r\}$  the dual basis of the simple roots  $\{\alpha_1, \dots, \alpha_r\}$ , where  $r = \text{rank}(G/K)$ . Define

$$g_1 := \exp\left(i \frac{\pi}{2} \frac{\omega_r}{k_r}\right) \in \exp i\mathfrak{a}, \quad (17)$$

where  $k_r$  is the coefficient of the  $r$ -th simple restricted root  $\alpha_r$  in the highest root  $\alpha_h \in \Delta(\mathfrak{g}, \mathfrak{a})^+$ . If  $G/K$  is of tube type, then the restricted root system is of type  $C_r$  and the highest root is given by  $\alpha_h = 2\alpha_1 + \dots + 2\alpha_{r-1} + \alpha_r$ . Hence  $k_r = 1$  and  $g_1 = \exp(i \frac{\pi}{2} \omega_r)$ . If  $G/K$  is not of tube type, then the restricted root system is of type  $BC_r$  and  $\alpha_h = 2\alpha_1 + \dots + 2\alpha_r$ . Hence  $k_r = 2$  and  $g_1 = \exp(i \frac{\pi}{2} \frac{\omega_r}{2})$ .

In both cases  $|\alpha(\frac{\pi}{2} \frac{\omega_r}{k_r})| \leq \frac{\pi}{2}$ , for all restricted roots  $\alpha$ , and  $|\lambda_r(\frac{\pi}{2} \frac{\omega_r}{k_r})| = \frac{\pi}{2}$ , where  $\lambda_r$  is as in (4). This shows that  $x_1 = g_1 \cdot x_0$  is a point on the boundary of the crown domain. For  $j = 1, \dots, r$ , define

$$g_{1,j} := \exp\left(i \frac{\pi}{2} \frac{A_j}{2}\right),$$

where  $A_j$  is as in (5). The element  $g_{1,j}$  lies in the  $SL(2, \mathbb{C})$ -subgroup of  $G^\mathbb{C}$  corresponding to the  $j^{\text{th}}$  triple defined in (5).

**Lemma 7.1.** *One has*

$$g_1 = \prod_{j=1}^r g_{1,j}.$$

*Proof.* In the tube case, (2) and the relations  $\lambda_i(\frac{1}{2}A_j) = \delta_{ij}$ , imply that  $\alpha_j(\frac{1}{2}(A_1 + A_2 + \dots + A_r)) = \delta_{jr}$ , for  $j = 1, \dots, r$ . Therefore  $\omega_r = \frac{1}{2}(A_1 + A_2 + \dots + A_r)$ . In the non-tube case, (3) and the relations  $\lambda_i(\frac{1}{2}A_j) = \delta_{ij}$  imply that  $\alpha_j(A_1 + A_2 + \dots + A_r) = \delta_{jr}$ , for  $j = 1, \dots, r$ . Thus  $\omega_r = A_1 + A_2 + \dots + A_r$ . Since  $\mathfrak{a}$  is abelian, the identity

$$\begin{aligned} g_{1,1} \cdots g_{1,r} &= \exp\left(i\frac{\pi}{2}\frac{A_1}{2}\right) \cdots \exp\left(i\frac{\pi}{2}\frac{A_r}{2}\right) = \\ &= \exp\left(i\frac{\pi}{2}\left(\frac{1}{2}(A_1 + A_2 + \dots + A_r)\right)\right) = g_1 \end{aligned}$$

holds true, as claimed.  $\square$

From now on, we assume the space  $G/K$  to be of tube type. We refer to Remark 7.7 for some details about the non-tube case.

**Lemma 7.2.** *Let  $G/K$  be an irreducible symmetric space of tube type. Then the  $G$ -orbit of the point  $x_1 = g_1 \cdot x_0$  in  $G^{\mathbb{C}}/K^{\mathbb{C}}$  is a semisimple symmetric space  $G/H$  of Cayley type, with involution  $\tau = \text{Ad}_{g_1}\theta$  and  $H = G^{\tau}$ . The space  $G/H$  has the same rank, real rank and dimension as  $G/K$ .*

*Proof.* In the tube case  $\omega_r = \frac{1}{2}(A_1 + A_2 + \dots + A_r)$ . One easily verifies that  $\alpha(\frac{\pi}{2}\omega_r) \in \mathbb{Z}\frac{\pi}{2}$ , for every  $\alpha \in \Delta(\mathfrak{g}, \mathfrak{a})$ , i.e.  $g_1$  satisfies conditions (5) in [Gea12]. Then the orbit  $G \cdot x_1$ , with the involution  $\tau = \text{Ad}_{g_1}\theta\text{Ad}_{g_1^{-1}} = \text{Ad}_{g_1^2}\theta$ , is a pseudo-Riemannian symmetric space, say  $G/H$ , of the same rank, real rank and dimension as  $G/K$ . In addition,  $G/H$  is a totally real submanifold of  $G^{\mathbb{C}}/K^{\mathbb{C}}$  of maximal dimension (see [Gea12], Lemma 2.2). Since  $x_1$  lies on the semisimple boundary of  $\Xi$ , by [GiKr02], Thm. B, the space  $G/H$  is a non-compactly causal symmetric space.

To prove that  $G/H$  is also compactly causal, we use the characterisation of Theorem 4.1 in [FaOl95], stating that an irreducible symmetric space  $(G/H, \tau)$  is compactly causal if and only if  $G/K$  is a non-compact Hermitian symmetric space and the involution  $\tau: G/K \rightarrow G/K$  is antiholomorphic. Since  $\tau$  defines an involution of  $\mathfrak{g}$  commuting with  $\theta$ , it also determines an involution of  $G/K$ . It remains to show that, the action of  $\tau$  on  $\mathfrak{p}$  anticommutes with the complex structure  $J_0 = \text{ad}_{Z_0}$ , where  $Z_0 = \frac{1}{2}\sum_j T_j$  (see Rem. 2.2). From the definition of  $\tau$  and Lemma 7.1, one can see that the further conditions  $\theta E_j = -\tau E_j$ , for  $j = 1, \dots, r$ , are satisfied. Consequently, all the vectors  $T_j := E_j + \theta E_j$ , and in particular  $Z_0 = \frac{1}{2}\sum_j T_j$ , are contained in  $\mathfrak{q} \cap \mathfrak{k}$ . Then, for all  $X \in \mathfrak{p}$ , one has

$$\text{ad}_{Z_0}\tau(X) = [Z_0, \tau(X)] = \tau[\tau(Z_0), X] = -\tau[Z_0, X] = -\tau(\text{ad}_{Z_0}(X)),$$

as wished. This concludes the proof of the lemma.  $\square$

Let  $(\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{q}, \tau)$  be the symmetric algebra associated to the symmetric space  $G/H$  and let  $W^{\pm}$  denote the *maximal* proper, open, convex,  $\text{Ad}_H$ -invariant, elliptic cones in  $\mathfrak{q}$ .

It is important to observe that for the Cayley type symmetric space  $G/H$ , the *maximal* and the *minimal* proper, open, convex,  $\text{Ad}_H$ -invariant elliptic cones in  $\mathfrak{q}$  coincide: under the Adjoint action of  $H$ , the space  $\mathfrak{q}$  decomposes as the direct sum of irreducibles subspaces  $\mathfrak{q}^+ \oplus \mathfrak{q}^-$ , with the property that  $\mathfrak{q}^- = \theta\mathfrak{q}^+$ . Each summand contains closed, convex,  $\text{Ad}_H$ -invariant cones  $\pm C_+ \subset \mathfrak{q}^+$  and  $\pm C_- \subset$

$\mathfrak{q}^-$ , with the property that the minimal elliptic and hyperbolic closed cones in  $\mathfrak{q}$  are given by  $\pm(C_+ - C_-)$  and  $\pm(C_+ + C_-)$ , respectively (cf. [HiO197], p.53). In particular, for the minimal closed,  $\text{Ad}_H$ -invariant elliptic cone  $\overline{W_{min}^+}$ , there is an isomorphism  $\overline{W_{min}^+} \cong C_+ + C_+$ .

Denote by  $C_+^0$  the interior of  $C_+$ . Since the symmetric space  $G/K$  is biholomorphic to the tube domain  $\mathfrak{q}^+ + iC_+^0$  (see [HiO197], Rem.2.6.9, p.55), the cone  $C_+$  is selfadjoint (i.e. it coincides with its dual cone). As a consequence, the minimal proper, closed, convex,  $\text{Ad}_H$ -invariant, elliptic cone in  $\mathfrak{q}$  is selfadjoint and coincides with the maximal one, which by definition is its dual cone  $(\overline{W_{min}^+})^*$ . The same is true for the respective interior parts.

The domains  $G \exp iW^\pm \cdot x_1$  are  $G$ -invariant Stein domains in  $G^{\mathbb{C}}/H^{\mathbb{C}}$ , where  $H^{\mathbb{C}} = g_1 K^{\mathbb{C}} g_1^{-1}$  is the isotropy subgroup of  $x_1$  in  $G^{\mathbb{C}}$  (cf. [Nee99], Thm. 3.5, p. 205). Under the  $G$ -equivariant biholomorphism

$$G^{\mathbb{C}}/H^{\mathbb{C}} \rightarrow G^{\mathbb{C}}/K^{\mathbb{C}}, \quad gH^{\mathbb{C}} \rightarrow gg_1K^{\mathbb{C}},$$

they can be identified with the  $G$ -invariant Stein domains  $S^\pm := G \exp iW^\pm g_1 \cdot x_0$  in  $G^{\mathbb{C}}/K^{\mathbb{C}}$ .

Since the involutions  $\theta$  and  $\tau$  commute,  $\mathfrak{g}$  has a joint eigenspace decomposition  $\mathfrak{g} = (\mathfrak{h} \cap \mathfrak{k}) \oplus (\mathfrak{h} \cap \mathfrak{p}) \oplus (\mathfrak{q} \cap \mathfrak{k}) \oplus (\mathfrak{q} \cap \mathfrak{p})$ . Let  $\mathfrak{a}$  be a maximal abelian subspace in  $\mathfrak{q} \cap \mathfrak{p}$ . Then  $\mathfrak{a}$  is maximal abelian in  $\mathfrak{p}$  and in  $\mathfrak{q}$  (see [HiO197], Prop. 3.1.11, p.77).

Fix a set of commuting  $\mathfrak{sl}(2, \mathbb{R})$ -triples  $\{E_j, \theta E_j, A_j\}$  as in (5). As we remarked in the proof of Lemma 7.2, each  $T_j := E_j + \theta E_j$  is contained in  $\mathfrak{q} \cap \mathfrak{k}$  and  $\mathfrak{c} := \text{span}_{\mathbb{R}}\{T_1, \dots, T_r\}$  is a compact Cartan subspace in  $\mathfrak{q}$ . In particular,  $\mathfrak{c}$  contains the element  $Z_0 = \frac{1}{2}(T_1 + \dots + T_r) \in Z(\mathfrak{k})$  (see Rem. 2.2).

**Lemma 7.3.** *One has*

$$S^+ = G \left( \exp i \bigoplus_{j=1}^r (0, \infty) T_j \right) g_1 \cdot x_0.$$

*Proof.* A proper, closed, convex,  $\text{Ad}_H$ -invariant, elliptic cone in  $\mathfrak{q}$  intersects the compact Cartan subspace  $\mathfrak{c}$  in a proper, closed, convex,  $W_H(\mathfrak{c})$ -invariant, elliptic cone. Here  $W_H(\mathfrak{c}) := N_H(\mathfrak{c})/Z_H(\mathfrak{c})$ . Since the cone  $\overline{W^+}$  is selfadjoint (i.e. both maximal and minimal), we can identify the intersection  $\overline{W_{\mathfrak{c}}^+} := \overline{W^+} \cap \mathfrak{c}$  with a minimal proper, closed, convex,  $W_H(\mathfrak{c})$ -invariant, elliptic cone in  $\mathfrak{c}$ . We prove the lemma by showing that

$$\overline{W_{\mathfrak{c}}^+} = \bigoplus_{j=1}^r [0, \infty) T_j.$$

In order to do this we first observe that

$$W_H(\mathfrak{c}) \cong W_{H \cap K}(\mathfrak{c}) \cong W_{H^0 \cap K}(\mathfrak{c}),$$

where the second isomorphism follows from the fact that the  $\mathfrak{c}$ -dual symmetric space  $G^{\mathbb{C}}/H$  is non-compactly causal. In addition,  $i\mathfrak{c}$  is a hyperbolic maximal abelian subspace in  $i\mathfrak{q}$ . Then, by [HiO197], Thm. 3.1.18 and Thm. 3.1.20, the group  $H$  is essentially connected, i.e.  $H = H^0 Z_{H \cap K}(i\mathfrak{c})$  (see [HiO197], Def. 3.1.16).

Next we recall the characterization of the minimal proper, closed, convex,  $W_{H^0}(\mathfrak{c})$ -invariant, elliptic cones in  $\mathfrak{c}$  (see [KrNe96]). Consider the restricted root system  $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$  of  $\mathfrak{g}^{\mathbb{C}}$  with respect to  $\mathfrak{c}^{\mathbb{C}}$ . Define the Lie subalgebra  $\mathfrak{r} = \mathfrak{q} \cap \mathfrak{k} \oplus [\mathfrak{q} \cap \mathfrak{k}, \mathfrak{q} \cap \mathfrak{k}] \subset \mathfrak{k}$ . A root  $\alpha \in \Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$  is called compact if  $\mathfrak{g}^\alpha \cap \mathfrak{r}^{\mathbb{C}} \neq \{0\}$ , and non-compact otherwise. Denote by  $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})_c$  and  $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})_n$  the compact and non-compact roots in  $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$ , respectively. The root system  $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$  is called

split if  $\mathfrak{g}^\alpha \subset \mathfrak{k}^\mathbb{C}$ , for all compact roots  $\alpha$ . The Weyl group  $W_{H^0 \cap K}(\mathfrak{c})$  is isomorphic to the group  $W_c$  generated by the reflections in the compact roots ([KrNe96], Def.III.9 and Prop. V.2.i). If the positive non-compact roots  $\Delta(\mathfrak{g}^\mathbb{C}, \mathfrak{c}^\mathbb{C})_n$  are stable under the group  $W_c$ , the system  $\Delta(\mathfrak{g}^\mathbb{C}, \mathfrak{c}^\mathbb{C})^+$  is called  $\mathfrak{r}$ -adapted.

If the symmetric algebra  $(\mathfrak{g}, \tau)$  is compactly causal then the restricted root system  $\Delta(\mathfrak{g}^\mathbb{C}, \mathfrak{c}^\mathbb{C})$  is split and admits an  $\mathfrak{r}$ -adapted positive system. Moreover the minimal proper, closed, convex,  $W_{H^0 \cap K}(\mathfrak{c})$ -invariant, elliptic cones in  $\mathfrak{c}$  have the following characterization

$$\overline{iW_c^\pm} := \pm \text{cone}(\{h_\alpha\}_{\alpha \in \Delta(\mathfrak{g}^\mathbb{C}, \mathfrak{c}^\mathbb{C})_n}),$$

where  $h_\alpha \in i\mathfrak{c}$  is defined by  $\alpha(H) = B(H, h_\alpha)$ .

Now we come to our situation: since  $\mathfrak{c}$  is the image of  $\mathfrak{a}$  under a Cayley transform, the root system  $\Delta(\mathfrak{g}^\mathbb{C}, \mathfrak{c}^\mathbb{C})$  is isomorphic to the ordinary restricted root system  $\Delta(\mathfrak{g}, \mathfrak{a})$ , and is of type  $C_r$ . For simplicity, identify  $\mathfrak{c}_\mathbb{R} = i\mathfrak{c}$  with  $\mathfrak{c}_\mathbb{R}^*$  using the Killing form. Since the restrictions to  $\mathfrak{c}^\mathbb{C}$  of the roots  $\tilde{\lambda}_1, \dots, \tilde{\lambda}_r$  defined in Remark 2.2 are non-compact in  $\Delta(\mathfrak{g}^\mathbb{C}, \mathfrak{c}^\mathbb{C})$ , one has the inclusion

$$\text{cone}(\{2e_j\}_j) \subset \overline{iW_c^+}.$$

The fact that the image of  $\text{cone}(\{2e_j\}_{j=1, \dots, r})$  under the reflections with respect to roots of the form  $\pm(e_i + e_j)$ , for  $1 \leq i < j \leq r$ , is not contained in any regular cone in  $i\mathfrak{c}$ , implies that such roots are necessarily non-compact. It follows that

$$\text{cone}(\{2e_j\}_j) = \text{cone}(\{2e_j, (e_i + e_k)\}_{j, i \neq k}).$$

We claim that all roots of the form  $\pm(e_i - e_j)$ , for  $1 \leq i < j \leq r$ , are necessarily compact. In order to see this, first observe that the compact roots are a non-empty proper subset of  $\Delta(\mathfrak{g}^\mathbb{C}, \mathfrak{c}^\mathbb{C})$ . Then assume by contradiction that there is a non-compact root of the form  $e_i - e_k$ , for some  $i < k$ . Without loss of generality, we may also assume that either  $e_i - e_j$ , for some  $i < j$ , or  $e_j - e_k$ , for some  $j < k$ , is compact. From the  $W_c$ -invariance of the cone  $\overline{iW_c^+}$  and the relations

$$r_{e_i - e_j}(e_i - e_k) = e_j - e_k \quad \text{and} \quad r_{e_j - e_k}(e_i - e_k) = e_i - e_j,$$

we deduce that either  $e_j - e_k$  or  $e_i - e_j$  is a non-compact root and lies in  $\overline{iW_c^+}$  as well. From  $(e_i - e_j) + (e_j - e_k) = (e_i + e_j) - 2e_k$ , we obtain that  $\mathbb{R}2e_k \subset \overline{iW_c^+}$ ; similarly, from  $(e_i - e_k) + (e_i - e_j) = 2e_i - (e_k + e_j)$ , we obtain that  $\mathbb{R}(e_k + e_j) \subset \overline{iW_c^+}$ . In both cases the assumption that  $\overline{iW_c^+}$  is a proper cone is violated. Hence

$$\text{cone}(\{2e_j\}_j) = \overline{iW_c^+},$$

as desired.  $\square$

The next lemma proves that  $S^+$  is contained in  $\Xi^+$  in the rank-one case. It also provides the main tool for the proof of the same inclusion in the higher rank case, which is based on the rank-one reduction. Fix the basis of  $\mathfrak{sl}(2)$  given in (8), normalized as in (6), and set  $T := E + \theta E$ .

**Lemma 7.4.** *Set  $k_0 = \exp \frac{\pi}{4} T$ .*

(i) *For  $t \in (-\pi/4, \pi/4)$  define  $a_1(t) = \exp(\ln(\frac{1}{\sqrt{\cos 2t}})A)$ . One has*

$$\exp itA \cdot x_0 = k_0 a_1(t) \exp i \sin 2tE \cdot x_0. \quad (18)$$

*In particular  $\exp itA \cdot x_0 \in G \exp i \sin 2tE \cdot x_0$  and*

$$\Xi = G \exp i[0, 1)E \cdot x_0.$$

(ii) For  $t \in (0, \infty)$  define  $a_2(t) = \exp\left(\ln\left(\frac{1}{\sqrt{\sinh 2t}}\right)A\right)$ . One has

$$\exp itT g_1 \cdot x_0 = k_0 a_2(t) \exp i \cosh 2tE \cdot x_0. \quad (19)$$

In particular  $\exp itT g_1 \cdot x_0 \in G \exp i \cosh 2tE \cdot x_0$  and

$$S^+ = G \exp i(1, \infty)E \cdot x_0.$$

*Proof.* Formula (18) is proved by showing that

$$\exp itA = k_0 a_1(t) \exp(i \sin 2tE) k,$$

for some  $k \in SO(2, \mathbb{C})$ . The above identity follows from a simple matrix computation with

$$\begin{aligned} \exp itA &= \begin{pmatrix} e^{it} & 0 \\ 0 & e^{-it} \end{pmatrix}, \quad k_0 = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}, \quad a_1(t) = \begin{pmatrix} \frac{1}{\sqrt{\cos 2t}} & 0 \\ 0 & \sqrt{\cos 2t} \end{pmatrix} \\ \exp i \sin 2tE &= \begin{pmatrix} 1 & i \sin 2t \\ 0 & 1 \end{pmatrix}, \quad k = \frac{1}{\sqrt{2 \cos 2t}} \begin{pmatrix} e^{-it} & -e^{it} \\ e^{it} & e^{-it} \end{pmatrix}. \end{aligned}$$

The second statement in (i) follows directly from equation (18) and the definition of  $\Xi$ . An analogous computation was carried out in [KrOp08], Sect. 3.2, for the crown domain using the hyperbolic model  $SO_0(1, 2, \mathbb{C})/SO(2, \mathbb{C})$ .

Formula (19) is proved by showing that

$$k = g_1^{-1} (\exp itT)^{-1} k_0 a_2(t) \exp(i \cosh 2tE)$$

is an element of  $SO(2, \mathbb{C})$ . The above identity follows from a simple matrix computation with

$$\begin{aligned} g_1^{-1} &= \begin{pmatrix} \frac{1-i}{\sqrt{2}} & 0 \\ 0 & \frac{1+i}{\sqrt{2}} \end{pmatrix}, \quad (\exp itT)^{-1} = \begin{pmatrix} \cosh t & -i \sinh t \\ i \sinh t & \cosh t \end{pmatrix}, \quad k_0 = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \\ a_2(t) &= \begin{pmatrix} \frac{1}{\sqrt{\sinh 2t}} & 0 \\ 0 & \sqrt{\sinh 2t} \end{pmatrix}, \quad \exp i \cosh 2tE = \begin{pmatrix} 1 & i \cosh 2t \\ 0 & 1 \end{pmatrix}. \end{aligned}$$

The second statement in (ii) follows directly from equation (19) and Lemma 7.3.  $\square$

**Proposition 7.5.** *Let  $G/K$  be an irreducible Hermitian symmetric space of tube type. Then the domain  $\Xi^+$  contains the crown*

$$\Xi = G \exp i \bigoplus_{j=1}^r [0, 1) E_j \cdot x_0,$$

and the domain

$$S^+ = G \exp i \bigoplus_{j=1}^r (1, \infty) E_j \cdot x_0.$$

*Proof.* The first equality was proved in [KrOp08]. The second one follows from  $G$ -invariance, and rank-1 reduction. Indeed by Lemma 7.3 and Lemma 7.4, we have

$$\begin{aligned} S^+ &= G \left( \prod_{j=1}^r \exp i(0, \infty) T_j \right) g_1 \cdot x_0 = G \left( \prod_{j=1}^r \exp i(0, \infty) T_j \right) \prod_{j=1}^r g_{1,j} \cdot x_0 = \\ &= G \left( \prod_{j=1}^r \exp i(0, \infty) T_j g_{1,j} \right) \cdot x_0 = G \prod_{j=1}^r \exp i(1, \infty) E_j \cdot x_0, \end{aligned}$$

as claimed.  $\square$

Recall that the domain  $\Xi^+$  is  $G$ -equivariantly diffeomorphic to the anti-holomorphic tangent bundle  $G \times_K \mathfrak{p}^{0,1}$ . From Lemma 4.5, we obtain another natural description of the crown  $\Xi$  and of the domain  $S^+$  inside  $\Xi^+$ , by means of their intersections with the slice defined by  $\tau(\mathfrak{a})$  in  $\mathfrak{p}^{0,1}$  (see (12)).

**Corollary 7.6.** *One has*

$$\Xi = G \exp i \left( \bigoplus_{j=1}^r [0, 1) \frac{1}{2} (A_j + iJ_0 A_j) \right) \cdot x_0 = G \exp i \left( \bigoplus_{j=1}^r (-1, 1) \frac{1}{2} (A_j + iJ_0 A_j) \right) \cdot x_0$$

and

$$S^+ = G \exp i \left( \bigoplus_{j=1}^r (1, \infty) \frac{1}{2} (A_j + iJ_0 A_j) \right) \cdot x_0 = \\ G \exp i \left( \bigoplus_{j=1}^r ((-\infty, -1) \cup (1, \infty)) \frac{1}{2} (A_j + iJ_0 A_j) \right) \cdot x_0.$$

**Remark 7.7.** If  $G/K$  is an irreducible Hermitian symmetric space, which is not of tube type, then the element  $g_1$  in (17) satisfies conditions (3) in [Gea12] (while it does not satisfy conditions (5) therein). Then, by Lemma 2.1 in [Gea12], the orbit  $G \cdot x_1$  of the point  $x_1 = g_1 \cdot x_0$  is not a symmetric space. However, the orbit  $\widehat{G} \cdot x_1$ , under the action of the proper reductive subgroup  $\widehat{G} := Z_G(g_1^4)$  of  $G$ , is a reductive symmetric space with involution  $\tau = \text{Ad}_{g_1^2} \theta$ . The space  $\widehat{G} \cdot x_1$  has the same rank and real rank as  $G/K$ , but strictly smaller dimension. The isotropy subgroups of  $x_1$  in  $G$  and in  $\widehat{G}$  coincide and the slice representation at  $x_1$  with respect to the  $G$ -action is equivalent to the isotropy representation of  $\widehat{G} \cdot x_1$ .

The orbit  $\widehat{G} \cdot x_1$  is diffeomorphic to the Cayley symmetric space associated to the Hermitian symmetric space of tube type contained in  $G/K$ . In order to see this, observe that  $\text{Ad}_{g_1^4}$  is an involution of  $G^{\mathbb{C}}$  which commutes both with the Cartan involution of  $G^{\mathbb{C}}$  and the conjugation defining  $G$ . Since  $G^{\mathbb{C}}$  is simply connected,  $\widehat{G}^{\mathbb{C}} = Z_{G^{\mathbb{C}}}(g_1^4)$  is connected. Moreover it is reductive, being the complexification of  $\widehat{U} = Z_U(g_1^4)$ , the fixed point subgroup of the restriction of  $\text{Ad}_{g_1^4}$  to the simply connected compact real form  $U$  of  $G^{\mathbb{C}}$ . From the classification of simply connected, compact symmetric spaces one sees that the following three cases occur:

$$\begin{aligned} G = SU(r, s), (r < s) & \quad G^{\mathbb{C}} = SL(r + s, \mathbb{C}) & \quad \widehat{G}^{\mathbb{C}} = S(GL(s - r, \mathbb{C}) \times GL(2r, \mathbb{C})) \\ G = Spin^*(2r) & \quad G^{\mathbb{C}} = Spin^*(2r, \mathbb{C}) & \quad \widehat{G}^{\mathbb{C}} = \mathbb{C}^* Spin^*(2(r - 1), \mathbb{C}) \\ G = E_{6(-14)}, (r = 2) & \quad G^{\mathbb{C}} = E_6 & \quad \widehat{G}^{\mathbb{C}} = \mathbb{C}^* Spin(10, \mathbb{C}). \end{aligned}$$

One can show that  $\widehat{G}^{\mathbb{C}}$  can be written as the commuting product  $\widehat{G}^{\mathbb{C}} = M^{\mathbb{C}} G_{tube}^{\mathbb{C}}$ , where  $M^{\mathbb{C}}$  is a subgroup of  $Z_{K^{\mathbb{C}}}(\mathfrak{a}^{\mathbb{C}})$  and  $G_{tube}^{\mathbb{C}}$  denotes the simply connected complexification of the connected, Hermitian simple group acting on the tube-type symmetric space contained in  $G/K$ . Moreover there are isomorphisms of coset spaces  $\widehat{G}^{\mathbb{C}}/(\widehat{G}^{\mathbb{C}})^{\tau} \cong G_{tube}^{\mathbb{C}}/(G_{tube}^{\mathbb{C}})^{\tau}$  and  $\widehat{G}/\widehat{G}^{\tau} \cong G_{tube}/(G_{tube})^{\tau}$ .

Recall that in the non-tube case the element  $Z_0 \in Z(\mathfrak{k})$  determining the complex structure of  $G/K$  can be written as  $Z_0 = S + T_0$ , where  $S \in Z_K(\mathfrak{a})$  and  $T_0 = \frac{1}{2} \sum T_j$ , with  $T_j = E_j + \theta E_j$ . Hence  $Z_0$  lies in  $\widehat{\mathfrak{g}}$  and  $T_0$  lies in  $\widehat{\mathfrak{g}}_{tube}$ . Denote by  $\overline{W}^+$  the maximal proper, open, convex,  $\text{Ad}_{(G_{tube})^{\tau}}$ -invariant elliptic cone in  $T_{x_1}(\widehat{G}_{tube} \cdot x_1)$ , which satisfies  $\overline{W}^+ = \text{conv}(\text{Ad}_{(G_{tube})^{\tau}}(\mathbb{R}^+ T_0))$ . Then

$$\Omega^+ = G \exp i \overline{W}^+ \cdot x_1 = G \exp i W^+ g_1 \cdot x_0$$

is an open  $G$ -invariant domain in  $G^{\mathbb{C}}/K^{\mathbb{C}}$  and, by similar considerations as in the tube case, an analogue of Proposition 7.5 holds true. Namely

$$\Omega^+ = G \exp i \bigoplus_{j=1}^r (0, \infty) T_j g_1 \cdot x_0.$$

It turns out that  $\Omega^+$  is not Stein and contains no proper  $G$ -invariant Stein subdomains (see [GeIa13], Thm. 5.1, Case(2)).

**Acknowledgments.** We are grateful to the referee for his accurate comments and for suggesting an argument which simplified the proofs of Lemma 3.1 and Lemma 6.4.

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