Dirichlet

Let F be a number field of degree  $n \geq 1$  and discriminant  $\Delta_F$ . We write  $\delta$  for the covolume  $\sqrt{|\Delta_F|}$  of  $O_F \subset F_{\mathbf{R}}$ . We let  $\Phi$  denote the set of ring homomorphisms  $\phi : F \hookrightarrow \mathbf{C}$ . There are  $n = [F : \mathbf{Q}]$  of them. By  $\overline{\phi}$  we denote the complex conjugate of  $\phi \in \Phi$ . The  $\mathbf{C}$ -algebra  $F_{\mathbf{C}}$  is isomorphic to  $\prod_{\phi} \mathbf{C}$ . Its elements are vectors with coordinates  $z_{\phi} \in \mathbf{C}$  for  $\phi \in \Phi$ . We denote them by  $(z_{\phi})$ . The image of the ring homomorphism  $F \hookrightarrow F_{\mathbf{C}}$  given by  $x \mapsto (\phi(x))$  is contained in the  $\mathbf{R}$ -subalgebra of  $F_{\mathbf{C}}$  given by

$$F_{\mathbf{R}} = \{(z_{\phi}) \in F_{\mathbf{C}} : z_{\overline{\phi}} = \overline{z_{\phi}} \text{ for all } \phi \in \Phi\}.$$

The **R**-dimension of  $F_{\mathbf{R}}$  is  $n = r_1 + 2r_2$ , where  $r_1$  denotes the number of homomorphisms  $\phi: F \longrightarrow \mathbf{C}$  with  $\phi(F) \subset \mathbf{R}$  and  $2r_2$  is the number of homomorphisms that do not have this property. The elements of  $F_{\mathbf{R}}$  that are invariant under its canonical involution form a subalgebra. It is given by

$$F_{\mathbf{R}}^+ = \{ (z_{\phi}) \in F_{\mathbf{R}} : z_{\phi} \in \mathbf{R} \text{ for all } \phi \in \Phi \}.$$

The **R**-dimension of  $F_{\mathbf{R}}^+$  is  $r_1 + r_2$ .

We let  $F_{\mathbf{R}}^{\perp}$  denote the orthogonal complement in  $F_{\mathbf{R}}^{+}$  of the subspace  $\mathbf{R} \cdot \mathbf{1}$ . It is an **R**-subspace of dimension  $r_1 + r_2 - 1$  and it is equal to the kernel of the trace map. In other words we have

$$F_{\mathbf{R}}^{\perp} = \{ x = (x_{\phi}) \in F_{\mathbf{R}}^{+} : Tr(x) = \sum_{\phi \in \Phi} x_{\phi} = 0 \}.$$

For any  $x \in F^0_{\mathbf{R}}$  we let  $\pi(x)$  denote the orthogonal projection of x on  $F^+_{\mathbf{R}}$ . It is given by

$$\pi(x) = x - \frac{Tr(x)}{n} \cdot 1.$$

Let

$$\operatorname{Log}: F^*_{\mathbf{R}} \longrightarrow F^+_{\mathbf{R}}$$

be the homomorphism that associates to  $(x_{\phi}) \in F_{\mathbf{R}}^*$  the element  $(\log |x_{\phi}|) \in F_{\mathbf{R}}^+$ . For any  $x \in F_{\mathbf{R}}^*$ , we let  $\lambda(x)$  denote the orthogonal projection of  $\operatorname{Log}(x) \in \prod_{\phi \in \Phi} \mathbf{R}$  on  $F_{\mathbf{R}}^0$ . Explicitly we have

$$\lambda(x) = \pi(\operatorname{Log}(x)) = \operatorname{Log}(x) - \frac{Tr(\operatorname{Log} x)}{n} \cdot 1 = \operatorname{Log}|x/N(x)^{1/n}|.$$

In this note we study the subgroup

$$L = \operatorname{Log}(O_F^*)$$

of  $F_{\mathbf{R}}^+$ . Any  $\varepsilon \in O_F^*$  has norm 1. Therefore we have

$$\sum_{\phi \in \Phi} \log |\phi(\varepsilon)| = 0,$$

and hence we have  $L \subset F_{\mathbf{R}}^0$ . Since  $O_F$  is discrete in  $F_{\mathbf{R}}$ , so is L in  $F_{\mathbf{R}}^0$ . In this note we prove that L is actually a lattice in  $F_{\mathbf{R}}^0$ . In other words, the quotient group  $F_{\mathbf{R}}^0/L$  is compact This is a form of Dirichlet's Unit Theorem.

There are only finitely many non-zero principal ideals of  $O_F$  with norm at most  $\delta$ . Let  $\alpha_1, \ldots, \alpha_t \in O_F$  be generators of these ideals. For each  $i = 1, \ldots, t$  let  $B_i$  denote the closed ball with center  $\lambda(\alpha_i)$  and radius log  $\delta$ :

$$B_i = \{ z \in F^0_{\mathbf{R}} : \| z - \lambda(\alpha_i) \| \le \log \delta \}.$$

Theorem. We have

$$F_{\mathbf{R}}^{0} = \{y + z : y \in L \text{ and } z \in B_{i} \text{ for some } i = 1, \dots, t\}.$$

Since each ball  $B_i$  is compact and since the composite map

$$\bigcup_{i=1}^{t} B_i \hookrightarrow F^0_{\mathbf{R}} \longrightarrow F^0_{\mathbf{R}}/L$$

is continuous and surjective, the theorem implies that  $F^0_{\mathbf{R}}/L$  is compact and we are done.

**Proof of the Theorem.** Let  $x = (x_{\phi})$  be an arbitrary element of  $F_{\mathbf{R}}^0$ . By  $e^x$  we denote the element  $(e^{x_{\phi}})$  of  $F_{\mathbf{R}}^*$ . The box

$$B = \{(z_{\phi}) \in F_{\mathbf{R}} : |z_{\phi}| \le \delta^{1/n} \text{ for all } \phi \in \Phi\}.$$

is convex and symmetric and has has volume  $\geq 2^n \delta$ . Since the trace of x is zero, the norm of  $e^x$  is 1. It follows that the volume of the box  $e^x B \subset F_{\mathbf{R}}$  is equal to the volume of B and is hence at least  $2^n \delta$ .

By Minkowski's theorem there exists therefore a non-zero vector in  $O_F \cap e^x B$ . In other words, there is a non-zero  $a \in O_F$  for which

$$|\phi(a)| \le e^{x_{\phi}} \delta^{1/n}, \quad \text{for all } \phi \in \Phi.$$

This implies that  $|N(a)| \leq \delta$ . Therefore the principal ideal generated by a is one of the principal ideals  $(\alpha_i)$  with  $1 \leq i \leq t$  that were introduced above. This means that  $a = \varepsilon \alpha_i$  for some unit  $\varepsilon \in O_F^*$ . Now we have

$$x = y + z,$$

with  $y = \text{Log}(\varepsilon) = \lambda(\varepsilon)$ . To show that  $z = x - \text{Log}(\varepsilon)$  is in *B*, we check that  $||z - \lambda(a_i)||$ is at most log  $\delta$ . We have  $z - \lambda(\alpha_i) = x - \lambda(a)$  and the inequalities

$$\lambda(a)_{\phi} = \log \left| \frac{\phi(a)}{N(a)^{1/n}} \right| \le \log |\phi(a)| \le x_{\phi} + \frac{1}{n} \log \delta, \quad \text{for all } \phi \in \Phi.$$

Here we used the fact that  $|N(a)| \ge 1$ . Since the sum over all  $\phi$  of  $\lambda(a)_{\phi}$  and of  $x_{\phi}$  is zero, the lemma below applies to the real numbers  $\lambda(a)_{\phi} - x_{\phi}$ . We get

$$||z - \lambda(a_i)||^2 = ||\lambda(a) - x||^2 = \sum_{\phi \in \Phi} (\lambda(a)_{\phi} - x_{\phi})^2 \le \frac{n(n-1)}{n^2} \log^2 \delta < \log^2 \delta,$$

as required.

**Lemma.** Let n > 1 and  $M \in \mathbf{R}$ . Suppose that  $x_1, \ldots, x_n \in \mathbf{R}$  satisfy

 $x_i \leq M$  for  $i = 1, \dots, n$  and  $\sum_{i=1}^n x_i \geq 0$ .

Then we have  $\sum_{i=1}^{n} x_i^2 \le n(n-1)M^2$ .

**Proof.** We may assume M > 0. The set S of  $(x_1, \ldots, x_n) \in \mathbf{R}^n$  that satisfy both conditions is bounded. Let  $(x_1, \ldots, x_n) \in S$  be an element for which  $s = \sum_{i=1}^n x_i^2$  is maximal. Then at most one of the elements  $x_i$  is negative, because if  $x_i < 0$  and  $x_j < 0$ , we replace  $x_i$  by 0 and  $x_j$  by  $x_i + x_j$ . Then the conditions are still satisfied, but since  $(x_i + x_j)^2 > x_i^2 + x_j^2$ , the sum s would be strictly larger. In addition, every  $x_i \ge 0$  is equal to M. Indeed, if we replace a non-negative  $x_i < M$  by M, the conditions are satisfied, but s would get larger.

So all  $x_i$  are equal to M except possibly one, say  $x_1 = tM$  for some  $t \in \mathbf{R}$ . The conditions say that  $1 - n \leq t \leq 1$ . Since  $s = ((n - 1) + t^2)M^2$  is maximal, we have t = 1 - n and the result follows. (For n = 2 we could also have t = 1; it gives the same estimate)