

Let  $F$  be a number field and let  $\alpha \in F$  be an algebraic integer for which  $F = \mathbf{Q}(\alpha)$ . We describe a criterion, due to Dedekind, for  $\mathbf{Z}[\alpha]$  to be the ring of integers of  $F$ . We start with a local theorem.

**Proposition 1.** *Let  $A$  be a 1-dimensional local Noetherian domain with maximal ideal  $\mathfrak{m}$ . Then the following are equivalent*

- (a)  $A$  is a PID;
- (b)  $A$  is integrally closed;
- (c)  $\mathfrak{m}$  is principal;
- (d)  $\mathfrak{m}/\mathfrak{m}^2$  is a 1-dimensional  $A/\mathfrak{m}$ -vector space.

**Proof.** (a)  $\Rightarrow$  (b). Let  $x \in \text{Frac}(A)$  be integral over  $A$ . Write  $x = r/s$  with  $r, s \in A$  having gcd 1. Let  $f = X^n + \dots + a_1X + a_0 \in A[X]$  vanish in  $r/s$ . Then we have  $r^n + \dots + a_1rs^{n-1} + a_0s^n = 0$ . So  $s$  divides  $r^n$ . Since  $s$  and  $r^n$  are coprime, it follows that  $s$  is a unit and  $x = r/s$  is in  $A$ .

(b)  $\Rightarrow$  (c). Since  $\dim A = 1$ , its maximal ideal is not zero. Let  $0 \neq x \in \mathfrak{m}$ . Since the only prime ideal of  $A/(x)$  is  $\mathfrak{m}/(x)$ , it is also its nilradical. The fact that  $A$  is Noetherian implies then that  $\mathfrak{m}^n \subset (x)$  for some  $n > 0$ . Let  $n$  be minimal with this property and choose  $y \in \mathfrak{m}^{n-1} - (x)$ . Then we have  $y\mathfrak{m} \subset \mathfrak{m}^n \subset (x)$  and hence  $(y/x)\mathfrak{m}$  is an ideal of  $A$ . Since  $y/x \notin A$ , it is not integral over  $A$ . Since  $\mathfrak{m}$  is finitely generated, this implies  $(y/x)\mathfrak{m} \not\subset \mathfrak{m}$ . It follows that  $(y/x)\mathfrak{m} = A$  and hence also  $\mathfrak{m} = (x/y)$ .

(c)  $\Leftrightarrow$  (d). In one direction this is obvious. In the other direction this follows from Nakayama's lemma.

(c)  $\Rightarrow$  (a). Let  $I \subset A$  be an ideal that is neither  $A$  or  $(0)$ . Then we have  $I \subset \mathfrak{m}$ . Let  $\mathfrak{m} = (\pi)$  for some  $\pi \in A$ . Since  $\mathfrak{m}/I$  is the only prime ideal of  $A/I$ , we have  $\pi^n \in I$  for some  $n$ . For every  $k \geq 0$  multiplication by  $\pi^k$  induces an isomorphism  $A/\mathfrak{m} \cong \mathfrak{m}^k/\mathfrak{m}^{k+1}$ . In particular,  $\mathfrak{m}^{k+1}$  is strictly smaller than  $\mathfrak{m}^k$ . This implies that there is a maximal  $n$  for which  $I \subset \mathfrak{m}^n$ . Let  $x \in I - \mathfrak{m}^{n+1}$ . Then  $x = u\pi^n$  for some  $u \in A$ . Since we have  $x \notin \mathfrak{m}^{n+1}$ , the element  $u$  is a unit. The ideal  $I$  is generated by  $x$ . Indeed, for  $y \in I$  we have  $y = v\pi^n = vu^{-1}x$  for some  $v \in A$ .

This proves the proposition.

**Lemma 2.** *Let  $A$  be a 1-dimensional Noetherian domain and let  $\mathfrak{p}$  be a prime ideal of  $A$ . Let  $\mathfrak{q} = \mathfrak{p}A_{\mathfrak{p}}$  denote the maximal ideal of the local ring  $A_{\mathfrak{p}}$ . Then  $A_{\mathfrak{p}}$  is Noetherian and 1-dimensional. The natural maps  $A/\mathfrak{p} \rightarrow A_{\mathfrak{p}}/\mathfrak{q}$  and  $\mathfrak{p}/\mathfrak{p}^2 \rightarrow \mathfrak{q}/\mathfrak{q}^2$  are bijective.*

**Proof.** Since  $I = (I \cap A)A_{\mathfrak{p}}$  for every ideal  $I$  of  $A_{\mathfrak{p}}$ , it is clear that  $A_{\mathfrak{p}}$  is Noetherian. To see that  $A_{\mathfrak{p}}$  has Krull dimension 1, let  $\mathfrak{q} \subset A_{\mathfrak{p}}$  be a non-zero prime ideal. Then  $\mathfrak{q} \subset \mathfrak{p}A_{\mathfrak{p}}$  and  $\mathfrak{q} \cap A$  is a non-zero prime ideal of  $A$  containing  $\mathfrak{p}$ . Therefore it is equal to  $\mathfrak{p}$ . This implies that  $\mathfrak{q}$  is also maximal. Indeed, the natural map  $A/\mathfrak{p} \rightarrow A_{\mathfrak{p}}/\mathfrak{q}$  is an isomorphism. Similarly, the map  $\mathfrak{p}/\mathfrak{p}^2 \rightarrow \mathfrak{q}/\mathfrak{q}^2$  is an isomorphism of  $A/\mathfrak{p}$ -vector spaces.

**Corollary 3.** *Let  $A$  be a 1-dimensional Noetherian domain. Then  $A$  is integrally closed (and hence is a Dedekind domain) if and only if  $\mathfrak{p}/\mathfrak{p}^2$  is a 1-dimensional  $A/\mathfrak{p}$ -vector space for every non-zero prime ideal  $\mathfrak{p}$  of  $A$ .*

**Proof.** If  $A$  is integrally closed so are its localizations  $A_{\mathfrak{p}}$  and we apply Proposition 1 and Lemma 2. Conversely, suppose that  $x \in \text{Frac}(A)$  is integral over  $A$ . By Proposition 1, the ring  $A_{\mathfrak{p}}$  is integrally closed and we have  $x \in A_{\mathfrak{p}}$ . Writing  $x = r/s$  with  $r, s \in A$ , we have therefore for every maximal ideal  $\mathfrak{p}$  that  $rv = us$  for certain  $u, v \in A$  with  $v \notin \mathfrak{p}$ . The  $A$ -ideal generated by the various  $v$  is equal to  $A$ . It follows that  $s$  divides  $r$  and hence  $x = r/s$  is in  $A$ . This proves the corollary.

Finally we specialize to ring of the form  $\mathbf{Z}[\alpha]$ . Here  $\alpha$  is an algebraic integer with minimum polynomial  $f \in \mathbf{Z}[X]$ . The ring  $\mathbf{Z}[\alpha]$  is contained in the ring of integers  $O_F$  of  $F = \mathbf{Q}(\alpha)$ . Evaluating in  $\alpha$  induces a ring isomorphism  $\mathbf{Z}[X]/(f) \cong \mathbf{Z}[\alpha]$ . The non-zero prime ideals of  $\mathbf{Z}[\alpha]$  are maximal. They have the form  $\mathfrak{p} = (p, \phi(\alpha))$ , where  $p$  is a prime and  $\phi \in \mathbf{F}_p[X]$  is an irreducible divisor of  $f$ . The counterimage of  $\mathfrak{p}$  in  $\mathbf{Z}[X]$  is the maximal ideal  $(p, \phi(X))$ . It follows from the Nulstellensatz that every maximal ideal of  $\mathbf{Z}[X]$  is of this form.

**Proposition 4.** *Let  $f \in \mathbf{Z}[X]$  be a monic irreducible polynomial and let  $\alpha$  be a zero of  $f$ . Let  $p$  be a prime and let  $\phi \in \mathbf{F}_p[X]$  be an irreducible divisor of  $f$ . Put  $\mathfrak{p} = (p, \phi(\alpha))$  and  $\mathfrak{m} = (p, \phi(X))$ . Then the following are equivalent:*

- (a)  $f \in \mathfrak{m}^2$ ;
- (b)  $f \equiv \phi^2\psi$  modulo  $p$  for some  $\psi \in \mathbf{Z}[X]$  and  $\phi$  divides  $(f - \phi^2\psi)/p$ ;
- (c) The dimension of the  $\mathbf{Z}[\alpha]/\mathfrak{p}$ -vector space  $\mathfrak{p}/\mathfrak{p}^2$  is not 1.

**Proof.** The natural maps  $\mathbf{Z}[X]/\mathfrak{m} \rightarrow \mathbf{Z}[\alpha]/\mathfrak{p}$  and  $\mathfrak{m}/(\mathfrak{m}^2 + (f)) \rightarrow \mathfrak{p}/\mathfrak{p}^2$  are bijective. Since  $\mathfrak{m}/\mathfrak{m}^2$  has dimension 2 over  $\mathbf{Z}[X]/\mathfrak{m}$ , this implies that (a) and (c) are equivalent. Condition (a) means that  $f = gp^2 + hp\phi + \psi\phi^2$  for certain polynomials  $g, h, \psi \in \mathbf{Z}[X]$ . It follows that  $\phi^2$  divides  $f \pmod{p}$  and that  $\phi$  divides  $(f - \phi^2\psi)/p \pmod{p}$ . In other words, (b) holds. Conversely, if the conditions in (b) hold, we must have  $f \in \mathfrak{m}^2$ . This proves Proposition 4.

**Corollary 5.** *If  $f$  is Eisenstein at  $p$ , then  $\mathfrak{p} = (p, \alpha)$  is the unique prime of  $\mathbf{Z}[\alpha]$  dividing  $p$  and the local ring  $\mathbf{Z}[\alpha]_{\mathfrak{p}}$  is integrally closed.*

**Proof.** We may assume that  $d = \deg f$  is at least 1. We have  $f \equiv X^d \pmod{p}$ . Put  $\phi = X$  and  $\psi = \phi^{d-2}$ . Then  $\phi^2$  divides  $f$  modulo  $p$ . However, by the Eisenstein condition the polynomial  $(f - \phi^2\psi)/p$  has constant term prime to  $p$ . Therefore it is not divisible by  $X$  in the ring  $\mathbf{F}_p[X]$ . By Proposition 4 the  $\mathbf{F}_p$ -dimension of  $\mathfrak{p}/\mathfrak{p}^2$  is 1. So Proposition 1 and Lemma 2 imply the result.

**Corollary.** (Dedekind criterion) *Let  $f \in \mathbf{Z}[X]$  be a monic irreducible polynomial and let  $\alpha$  denote a zero of  $f$ . Put  $F = \mathbf{Q}(\alpha)$ . Then the following are equivalent*

- (a) we have  $\mathbf{Z}[\alpha] = O_F$ .
- (b)  $f \notin \mathfrak{m}^2$  for all maximal ideals  $\mathfrak{m}$  of  $\mathbf{Z}[X]$ ;
- (c) For all primes  $p$  and for every irreducible polynomial  $\phi \in \mathbf{F}_p[X]$  for which  $f = \phi^2\psi$  in  $\mathbf{F}_p[X]$ , we have

$$\phi \text{ does not divide } \frac{f - \phi^2\psi}{p};$$