



REAL FORMS of COMPLEX LIE SUPERALGEBRAS and SUPERGROUPS



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(1) – Basic constructions: real structures & “real forms” on complex super vector spaces / commutative superalgebras / Lie superalgebras: *standard* version (Kac [1977], Parker [1980], Serganova [1983], Chuah [2013]), *graded* version (Pellegrini [2007]) — functorial formulation (Pellegrini [2007])

(1+) – Constructions on supergroups: real structures & “real forms” on complex supergroups (Pellegrini [2007] \rightsquigarrow Fioresi & G. [2020])

(2) – Unitarity & compactness: Hermitian forms on complex superspaces with real structures \implies associated *unitary* Lie superalgebras and supergroups \rightsquigarrow
 \rightsquigarrow *super-compact/compact* Lie superalgebras and supergroups (Fioresi & G. [2020])

(3) – $\exists!$ / \nexists results in the simple contragredient (=basic) cases:

- $\exists!$ *graded* real form which is super-compact,
- $\exists!$ *standard* real form which is compact in types *A* and *C* (i.e., “type 1”),
- \nexists *standard* real form which is compact in types *B*, *D*, *F* and *G* (i.e., “type 2”) (Fioresi & G. [2020])

1 – BASIC CONSTRUCTIONS

§ 1.1 – CONSTRUCTIONS ON SUPERSPACES

1.1.1 – Notations. We consider the following categories (with $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$):

$(\text{svec})_{\mathbb{K}} :=$ super vector spaces over \mathbb{K}

$(\text{salg})_{\mathbb{K}} :=$ commutative superalgebras over \mathbb{K}

$(\text{sLie})_{\mathbb{K}} :=$ Lie superalgebras over \mathbb{K}

$(\mathbb{Z}_2\text{-vec})_{\mathbb{K}} :=$ \mathbb{Z}_2 -graded vector spaces over \mathbb{K}

$(\mathbb{Z}_2\text{-alg})_{\mathbb{K}} :=$ \mathbb{Z}_2 -graded commutative algebras over \mathbb{K}

$(\mathbb{Z}_2\text{-Lie})_{\mathbb{K}} :=$ \mathbb{Z}_2 -graded Lie algebras over \mathbb{K}

1.1.2 – Real structure on $V \in (\text{svec})_{\mathbb{C}}$: any $\phi \in \text{End}_{(\text{svec})_{\mathbb{R}}}(V)$ such that

$$\phi \text{ is } \mathbb{C}\text{-antilinear, } \phi^2 \Big|_{V_0} = id_{V_0}, \quad \phi^2 \Big|_{V_1} = \begin{cases} +id_{V_1} & (\text{standard case}) \\ -id_{V_1} & (\text{graded case}) \end{cases}$$

Rmk: pairs (V, ϕ) , with ϕ of fixed type, form a tensor subcategory of $(\text{svec})_{\mathbb{C}}^{\mathbb{Z}_4}$ — the category of super vector spaces with \mathbb{Z}_4 -action — denoted $(\text{svec})_{\mathbb{C}}^{\bullet}$ for $\bullet \in \{\text{st}, \text{gr}\}$.

N.B.: similar constructions apply to complex commutative superalgebras and Lie superalgebras, yielding categories $(\text{salg})_{\mathbb{C}}^{\bullet}$ and $(\text{sLie})_{\mathbb{C}}^{\bullet}$, for all $\bullet \in \{\text{st}, \text{gr}\}$.

For $A \in (\text{salg})_{\mathbb{C}}^{\bullet}$ the real structure is called “conjugation” and denoted $a \mapsto \tilde{a}$.

1.1.3 – What is a “real form” of $(V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$?

— if $\bullet = \text{st} \implies \begin{cases} V^{\phi} := \{v \in V \mid \phi(v) = v\} \leq V & \text{in } (\text{svec})_{\mathbb{R}} \\ \text{and } \mathbb{C} \otimes_{\mathbb{R}} V^{\phi} \cong V & \text{in } (\text{svec})_{\mathbb{C}}^{\text{st}} \end{cases} \implies \underline{\text{OK!}}$

— if $\bullet = \text{gr} \implies$ the above fails, since $V_{\bar{1}}^{\phi} = \{0\}$

\implies we need a new, generalized notion of “real form”.

§ 1.2 – FUNCTORIAL FORMULATION

1.2.1 – Superfunctors. Each $V \in (\text{svec})_{\mathbb{K}}$ is described by the “functor of points”

$$\mathcal{L}_V : (\text{salg})_{\mathbb{K}} \longrightarrow (\mathbb{Z}_2\text{-vec})_{\mathbb{K}}, \quad A \mapsto (A \otimes_{\mathbb{K}} V)_{\bar{0}} = \underbrace{(A_{\bar{0}} \otimes_{\mathbb{K}} V_{\bar{0}})}_{\text{degree } \bar{0}} \oplus \underbrace{(A_{\bar{1}} \otimes_{\mathbb{K}} V_{\bar{1}})}_{\text{degree } \bar{1}}$$

Extras: (1) If $V = R \in (\text{salg})_{\mathbb{K}}$, $\implies \mathcal{L}_R : (\text{salg})_{\mathbb{K}} \longrightarrow (\mathbb{Z}_2\text{-alg})_{\mathbb{K}}$;

(2) If $V = \mathfrak{g} \in (\text{sLie})_{\mathbb{K}}$, $\implies \mathcal{L}_{\mathfrak{g}} : (\text{salg})_{\mathbb{K}} \longrightarrow (\mathbb{Z}_2\text{-Lie})_{\mathbb{K}}$.

Rmks: (a) the functor \mathcal{L}_V is *representable*, being represented by $S(V^*)$;

(b) set $X_A := \text{Spec}(A) =$ affine superscheme associated with $A \in (\text{salg})_{\mathbb{K}}$. Then

$$\begin{aligned} \mathcal{L}_V(A) &= \text{Hom}_{(\text{salg})} (S(V^*), A) \cong \text{Hom}_{(\text{ssch})} (X_A, V) =: \\ &=: \{ \text{morphisms } X_A \longrightarrow V \text{ as superschemes} \} \implies \mathcal{L}_V(A) \text{ is a “} \underline{\text{map space}} \text{”}. \end{aligned}$$

Similarly, $\mathcal{L}_R(A)$ and $\mathcal{L}_{\mathfrak{g}}(A)$ are “map algebras” when $R \in (\text{salg})_{\mathbb{K}}$ and $\mathfrak{g} \in (\text{sLie})_{\mathbb{K}}$.

1.2.2 – Functorial real structures. We have two possible approaches:

[1] Pick $(V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$ and the forgetful functor $(\text{salg})_{\mathbb{C}}^{\bullet} \xrightarrow{\mathcal{F}} (\text{salg})_{\mathbb{C}}$;
 if $(A, (\sim)) \in (\text{salg})_{\mathbb{C}}^{\bullet}$, $\implies \left(\mathcal{L}_V(A), \phi_A := ((\sim) \otimes \phi)|_{\mathcal{L}_V(A)} \right)$ lives in
 $(\mathbb{Z}_2\text{-vec})_{\mathbb{C}}^{\text{re}} :=$ category of \mathbb{Z}_2 -graded cplx vect. sp.'s with real struct. (in class. sense);
 $\implies (A, (\sim)) \mapsto (\mathcal{L}_V(A), \phi_A)$ defines a functor $\tilde{\mathcal{L}}_V^{\bullet} : (\text{salg})_{\mathbb{C}}^{\bullet} \longrightarrow (\mathbb{Z}_2\text{-vec})_{\mathbb{C}}^{\text{re}}$.

Therefore

$$(V, \phi) \quad \longleftrightarrow \quad \tilde{\mathcal{L}}_V^{\bullet} = \text{“}\mathcal{L}_V \text{ endowed with (involutive) real structure”}$$

Rmks: (1) $\tilde{\mathcal{L}}_V^{\bullet}$ enjoys some “special properties” (SP): *involutivity* and $\mathcal{A}_{\bar{0}}$ -*linearity*.
 Conversely, any $\tilde{\mathcal{L}}^{\bullet} : (\text{salg})_{\mathbb{C}}^{\bullet} \longrightarrow (\mathbb{Z}_2\text{-vec})_{\mathbb{C}}^{\text{re}}$ enjoying (SP) and s. t. $\mathcal{F}_* \circ \tilde{\mathcal{L}}^{\bullet} = \mathcal{L}_V$,
 with $(\mathbb{Z}_2\text{-vec})_{\mathbb{C}}^{\text{re}} \xrightarrow{\mathcal{F}_*} (\mathbb{Z}_2\text{-vec})_{\mathbb{C}}$ the forgetful functor, yields a real structure ϕ on V .

(2) Similarly,

$$V = R \in (\text{salg})_{\mathbb{C}}^{\bullet} \quad \longleftrightarrow \quad \tilde{\mathcal{L}}_R^{\bullet} : (\text{salg})_{\mathbb{C}}^{\bullet} \longrightarrow (\mathbb{Z}_2\text{-alg})_{\mathbb{C}}^{\text{re}} \text{ enjoying (SP)}$$

$$V = \mathfrak{g} \in (\text{sLie})_{\mathbb{C}}^{\bullet} \quad \longleftrightarrow \quad \tilde{\mathcal{L}}_{\mathfrak{g}}^{\bullet} : (\text{salg})_{\mathbb{C}}^{\bullet} \longrightarrow (\mathbb{Z}_2\text{-Lie})_{\mathbb{C}}^{\text{re}} \text{ enjoying (SP)}$$

[2] Consider the “scalar restriction” functor $(\mathbb{Z}_2\text{-vec})_{\mathbb{C}} \xrightarrow{\mathcal{R}} (\mathbb{Z}_2\text{-vec})_{\mathbb{R}}$, and for any $(V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$ set $\mathcal{L}_V^{\bullet} := \mathcal{R} \circ \mathcal{L}_V \circ \mathcal{F} : (\text{salg})_{\mathbb{C}}^{\bullet} \longrightarrow (\mathbb{Z}_2\text{-vec})_{\mathbb{R}}$.

Then (notation as above)

$$\varphi := \left\{ \varphi_A := \phi_A \right\}_{A \in (\text{salg})_{\mathbb{C}}^{\bullet}} : \mathcal{L}_V^{\bullet} \longrightarrow \mathcal{L}_V^{\bullet}$$

is a natural transformation enjoying special properties (SP).

DEF.: *real structure* on $\mathcal{L}_V :=$ any natural transformation $\mathcal{L}_V^{\bullet} \xrightarrow{\varphi} \mathcal{L}_V^{\bullet}$ enjoying (SP).

Proposition: For any $V \in (\text{svec})_{\mathbb{C}}$, \exists bijections between the following:

- (a) $\{ \text{real structures } \phi \text{ on } V \}$
- (b) $\{ \text{functors } (\text{salg})_{\mathbb{C}}^{\bullet} \xrightarrow{\tilde{\mathcal{L}}^{\bullet}} (\mathbb{Z}_2\text{-vec})_{\mathbb{C}}^{\text{re}} \mid \mathcal{F}_* \circ \tilde{\mathcal{L}}^{\bullet} = \mathcal{L}_V, \tilde{\mathcal{L}}^{\bullet} \text{ enjoys (SP)} \}$
- (c) $\{ \text{real structures } \varphi \text{ on } \mathcal{L}_V \}$

...what for “real forms”, then?

DEF.: \forall real structure φ on \mathcal{L}_V , we call *real form* for it the functor of its “fixed points”, that is $\mathcal{L}_V^\varphi : (\text{salg})_{\mathbb{C}}^\bullet \longrightarrow (\mathbb{Z}_2\text{-vec})_{\mathbb{R}}$ given by $(A, (\sim)) \mapsto \mathcal{L}_V(A)^{\varphi_A}$.

Fact: In the *standard* case $\mathcal{L}_V^\varphi = ((\cdot)^\sim \otimes_{\mathbb{R}} V^\phi)_{\bar{0}}$, i.e. $\mathcal{L}_V(A)^{\varphi_A} = (A^\sim \otimes_{\mathbb{R}} V^\phi)_{\bar{0}}$ for $A \in (\text{salg})_{\mathbb{C}}^\bullet$. In the *graded* case instead this fails... *Nevertheless*, the following holds:

Theorem 1 [Fioresi-G. (2020)]: For all $(V, \phi) \in (\text{svec})_{\mathbb{C}}^\bullet$ — so $\exists \varphi$ on \mathcal{L}_V — \mathcal{L}_V^φ is representable, namely it is represented by $(S(V^*), S(\phi^*))$ in $(\text{salg})_{\mathbb{C}}^\bullet$.

1.2.3 – The case of Lie superalgebras. If $V = \mathfrak{g} \in (\text{sLie})_{\mathbb{C}}$, then in the previous construction “every step must be *Lie-upgraded*”, namely

- the functor of points $\mathcal{L}_{\mathfrak{g}}$ takes values in $(\mathbb{Z}_2\text{-Lie})_{\mathbb{K}}$,
- real structures are bracket-preserving,
- the functor $\tilde{\mathcal{L}}_{\mathfrak{g}}^\bullet$ takes values in $(\mathbb{Z}_2\text{-Lie})_{\mathbb{C}}^{\text{re}}$,
- the “fixed points” functor $\mathcal{L}_{\mathfrak{g}}^\varphi$ takes values in $(\mathbb{Z}_2\text{-Lie})_{\mathbb{R}}$,
- etc. etc.

§ 1.3 – REAL STRUCTURES & FORMS for SUPERGROUPS

Memo: (1) (algebraic) supergroup on \mathbb{K} is any functor $\mathbf{G} : (\text{salg})_{\mathbb{K}} \longrightarrow (\text{grps})$ with extra properties (EP) — roughly, local representability. Set $(\text{sgrps})_{\mathbb{K}}$ for their category.

(2) there exists a natural forgetful functor $\mathcal{F} : (\text{salg})_{\mathbb{C}}^{\bullet} \longrightarrow (\text{salg})_{\mathbb{C}}$.

DEF.: for $\mathbf{G} \in (\text{sgrps})_{\mathbb{C}}$, set $\mathbf{G}^{\bullet} := \mathbf{G} \circ \mathcal{F} : (\text{salg})_{\mathbb{C}}^{\bullet} \longrightarrow (\text{grps})$. We define real structure on \mathbf{G} any natural transformation $\Phi : \mathbf{G}^{\bullet} \longrightarrow \mathbf{G}^{\bullet}$ enjoying (SP') (=involutivity and “tangent $\mathcal{A}_{\bar{0}}$ -linearity”); \rightsquigarrow this yields the category $(\text{sgrps})_{\mathbb{C}}^{\bullet}$.

Rmk: \exists several alternative characterizations of real structures on \mathbf{G} , e. g.:

- (a) Φ is a real structure for $\mathbf{G} \iff (d\Phi \text{ is a real structure for } \mathcal{L}_{\text{Lie}(\mathbf{G})} \ \& \ \Phi^2 = \mathbf{1})$;
- (b) if \mathbf{G} is described/defined as a (classical) algebraic group $\mathbf{G}_{\bar{0}}$ with a structure sheaf \mathcal{O} of commutative superalgebras, then a real structure on it is given by a (classical) real structure on $\mathbf{G}_{\bar{0}}$ coupled with a “real structure” $\Phi : \mathcal{O} \longrightarrow \mathcal{O}$ on the structure sheaf;
- (c) if \mathbf{G} is *affine*, hence described by its Hopf superalgebra $\mathcal{O}(\mathbf{G})$, then a real structure on \mathbf{G} is equivalent on a real structure on the complex Hopf superalgebra $\mathcal{O}(\mathbf{G})$.
- (d) similar constructions can be done when \mathbf{G} is a complex *Lie supergroup*.

DEF.: \forall real structure Φ on \mathbf{G} , we call *real form* for it the functor of “fixed points”

$$\mathbf{G}^\Phi : (\text{salg})_{\mathbb{C}}^\bullet \longrightarrow (\text{grps}) , \quad (A, (\sim)) \mapsto \mathbf{G}(A)^{\Phi_A}$$

It is said *standard*, resp. *graded* — as well as the form itself — if $\bullet = \text{st}$, resp. $\bullet = \text{gr}$.

Problem: can we describe the real form \mathbf{G}^Φ of \mathbf{G} ?

Fact: \exists equivalence $(\text{sgrps})_{\mathbb{K}} \longleftrightarrow (\text{sHCP})_{\mathbb{K}}$ ($:=$ cat. of *super Harish-Chandra pairs*)

$$(\longrightarrow) \quad \mathbf{G} \mapsto (\mathbf{G}_{\bar{0}}, \text{Lie}(\mathbf{G})) \quad \text{with} \quad \mathbf{G}_{\bar{0}} : (\text{alg})_{\mathbb{K}} \longrightarrow (\text{salg})_{\mathbb{K}} \xrightarrow{\mathbf{G}} (\text{grps})$$

$$(\longleftarrow) \quad (G_+, \mathfrak{g}) \mapsto \mathbf{G} := G_+ \times \mathcal{L}_{\mathfrak{g}_{\bar{1}}} \quad \text{i.e.} \quad \mathbf{G}(A) := G_+(A_{\bar{0}}) \times (A_{\bar{1}} \otimes \mathfrak{g}_{\bar{1}})$$

Theorem 2 [Fioresi-G. (2020)]: If $(\mathbf{G}, \Phi) \in (\text{sgrps})_{\mathbb{C}}^\bullet$ with $\mathfrak{g} := \text{Lie}(\mathbf{G})$, then

(a) if \mathbf{G} is affine (=representable), then \mathbf{G}^Φ is affine too;

$$(b) \quad \mathbf{G}^\Phi = \mathbf{G}_{\bar{0}}^{\Phi_{\bar{0}}} \times \mathcal{L}_{\mathfrak{g}_{\bar{1}}}^{d\Phi} , \quad \text{i. e.} \quad \mathbf{G}^\Phi(A) = \mathbf{G}_{\bar{0}}^{\Phi_{\bar{0}}}(A_{\bar{0}}) \times (A_{\bar{1}} \otimes \mathfrak{g}_{\bar{1}})^{(\sim) \otimes \Phi} \quad \forall A$$

2 – UNITARITY & COMPACTNESS

IDEA: “models” of *compact* real groups are unitary groups $U(n) \rightsquigarrow$ “super version”???

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§ 2.1 – SPECIAL FORMS on SUPERSPACES

DEF: $\forall V \in (\text{svec})_{\mathbb{C}}$, we say that:

(a) a map $f : V \times V \rightarrow \mathbb{C}$ is *consistent* iff $f(x, y) = 0 \quad \forall |x| \neq |y|$.

(b) a \mathbb{C} -bilinear form $V \times V \xrightarrow{\langle \cdot, \cdot \rangle} \mathbb{C}$ is *supersymmetric* iff $\langle x, y \rangle = (-1)^{|x||y|} \langle y, x \rangle$.

(c) a map $B : V \times V \rightarrow \mathbb{C}$ is *super Hermitian form* iff

$$B \text{ is } \mathbb{C}\text{-sesquilinear} \quad \text{and} \quad B(x, y) = (-1)^{|x||y|} \overline{B(y, x)}.$$

Rmk: every $B : V \times V \rightarrow \mathbb{C}$ consistent and sesquilinear can be written as $B = B_{\bar{0}} + i B_{\bar{1}}$ for some (!) sesquilinear forms $B_{\bar{z}}$ on $V_{\bar{z}} \times V_{\bar{z}}$; then

$$B \text{ is super Hermitian} \iff B_{\bar{0}} \text{ and } B_{\bar{1}} \text{ are (classical) Hermitian}$$

DEF: $\forall V \in (\text{svec})_{\mathbb{C}}$ and $B : V \times V \rightarrow \mathbb{C}$ consistent super Hermitian, we say that B is *non-degenerate*, or that it is *positive definite*, if — writing $B = B_{\bar{0}} + i B_{\bar{1}}$ as above — both $B_{\bar{0}}$ and $B_{\bar{1}}$ are non-degenerate, or are positive definite, respectively.

DEF: $\forall (V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$, any bilinear form $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{C}$ is called ϕ -invariant if it is a morphism of superspaces with real structures (i.e. of \mathbb{Z}_4 -modules), that is

$$\overline{\langle v, w \rangle} = \langle \phi(v), \phi(w) \rangle \quad \text{for all } v, w \in V .$$

The following sets a *link* among the previous notions:

Lemma: Let $(V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$ and let $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{C}$ be \mathbb{C} -bilinear, consistent, supersymmetric and ϕ -invariant. Then the formula

$$B_{\phi}^{\pm}(x, y) := (\pm i)^{\nu_{\bullet} \cdot |x| |y|} \langle x, \phi(y) \rangle \quad \text{with} \quad \nu_{\bullet} := \begin{cases} \bar{0} & \text{if } \bullet = \text{st} \\ \bar{1} & \text{if } \bullet = \text{gr} \end{cases}$$

defines two consistent super Hermitian forms on V .

§ 2.2 – EXAMPLES

[1] for $V := \mathbb{C}^{m|n}$, there exist consistent, supersymmetric, super Hermitian forms

$$B^\pm((\mathbf{z}, \zeta), (\mathbf{z}', \zeta')) := \mathbf{z} \cdot \overline{\mathbf{z}'} \pm i \zeta \cdot \overline{\zeta'}$$

[2] — (a) for $V := \mathbb{C}^{m|2t}$, there exist real structures

$$\phi_{\text{st}}(\mathbf{z}, \zeta) := (\overline{\mathbf{z}}, \overline{\zeta}) \quad (\text{standard}), \quad \phi_{\text{gr}}(\mathbf{z}, \zeta_+, \zeta_-) := (\overline{\mathbf{z}}, +\overline{\zeta_-}, -\overline{\zeta_+}) \quad (\text{graded})$$

— (b) there \exists a \mathbb{C} -bilinear, consistent, supersymmetric, ϕ_\bullet -invariant form $\langle \cdot, \cdot \rangle$ on V

$$\langle (\mathbf{z}, \zeta_+, \zeta_-), (\mathbf{z}', \zeta'_+, \zeta'_-) \rangle := \mathbf{z} \cdot \mathbf{z}' + \zeta_+ \cdot \zeta'_- - \zeta_- \cdot \zeta'_+$$

— (c) [(a) + (b) + **Lemma**] $\implies \exists$ consistent, super Hermitian forms on V

$$B_{\phi_{\text{st}}}^\pm((\mathbf{z}, \zeta_+, \zeta_-), (\mathbf{z}', \zeta'_+, \zeta'_-)) := \mathbf{z} \cdot \overline{\mathbf{z}'} + \zeta_+ \cdot \overline{\zeta'_-} - \zeta_- \cdot \overline{\zeta'_+}$$

$$\begin{aligned} B_{\phi_{\text{gr}}}^\pm((\mathbf{z}, \zeta_+, \zeta_-), (\mathbf{z}', \zeta'_+, \zeta'_-)) &:= \mathbf{z} \cdot \overline{\mathbf{z}'} \mp i (\zeta_+ \cdot \overline{\zeta'_+} + \zeta_- \cdot \overline{\zeta'_-}) \\ &= \mathbf{z} \cdot \overline{\mathbf{z}'} \mp i \zeta \cdot \overline{\zeta'} \end{aligned}$$

§ 2.3 – FUNCTORIAL FORMULATION

DEF.: $\forall (V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$, we call *Hermitian form* for \mathcal{L}_V — or also for the functor $\mathcal{L}_V^{\bullet} : (\text{salg})_{\mathbb{C}}^{\bullet} \rightarrow (\mathbb{Z}_2\text{-vec})_{\mathbb{R}}$ — any natural transformation $B : \mathcal{L}_V^{\bullet} \times \mathcal{L}_V^{\bullet} \rightarrow \mathcal{L}_{\mathbb{C}}^{\bullet}$ s. t.

(1) B is “ \mathcal{A}_0 -sesquilinear”, i.e. $B(aX, Y) = aB(X, Y)$ and $B(X, aY) = \tilde{a}B(X, Y)$

(2) $B(X, Y) = (-1)^{(\bar{1}-\nu \bullet)[X][Y]} \widetilde{B(Y, X)}$ $(\forall X, Y \in \mathcal{L}_V^{\bullet}(A), a \in A_0)$

Such a B is called *consistent* iff $B(X, Y) = 0$ for $[X] \neq [Y]$.

Question: what is the link (if any) with super Hermitian forms on V ?

Proposition: $\forall (V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$, there exists a bijection

$$\left\{ \begin{array}{l} \text{consistent super} \\ \text{Hermitian forms on } V \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{consistent Hermitian} \\ \text{forms on } \mathcal{L}_V \end{array} \right\}$$

given by $B_V \mapsto B_{\mathcal{L}_V} : B_{\mathcal{L}_V}(ax, by) := i^{|\times||y|} a \tilde{b} B_V(x, y)$

\implies **DEF.:** $B_{\mathcal{L}_V}$ is called *non-degenerate* or *positive definite* iff the associated B_V is.

§ 2.4 – UNITARITY

DEF.: Given $(V, \phi) \in (\text{svec})_{\mathbb{C}}^{\bullet}$ and a non-degenerate, consistent, Hermitian form \mathcal{B} on \mathcal{L}_V , the *adjoint* of $M \in (\text{End}(V))(A)$ is the unique $M^* \in (\text{End}(V))(A)$ such that

$$\mathcal{B}(X, M^*(Y)) = (-1)^{(\bar{1}-\nu \bullet)[X][Y]} \mathcal{B}(M(X), Y)$$

Rmk: the sign vanishes in the *graded* case, which then looks more “natural”...

Lemma: (notations as above)

The “adjoint operator” enjoys the following properties:

$$\begin{aligned} M^{**} &= M \quad , \quad (aM)^* = \tilde{a} M^* \quad , \quad (-M)^* = -(M^*) \\ (M+N)^* &= M^* + N^* \quad , \quad (MN)^* = N^* M^* \quad , \quad (J^{-1})^* = (J^*)^{-1} \\ [M, N]^* &= (-1)^{(\bar{1}-\nu \bullet)[M][N]} [N^*, M^*] \end{aligned}$$

N.B.: the properties above directly imply the next, key result...

Theorem 3 [Fioresi-G. (2020)]:

The natural transformation $\otimes : \mathcal{L}_{\mathfrak{gl}(V)}^\bullet \longrightarrow \mathcal{L}_{\mathfrak{gl}(V)}^\bullet$ given on objects by

$$M \mapsto M^\otimes := \begin{cases} -M^* & \text{if } [M] = \bar{0} \\ iM^* & \text{if } [M] = \bar{1} \end{cases}, \quad \text{or} \quad M \mapsto M^\otimes := -M^* \quad \forall M$$

(standard) (graded)

is a real structure — standard or graded, following \bullet — on the functor $\mathcal{L}_{\mathfrak{gl}(V)}$, hence it corresponds to a real structure on $\mathfrak{gl}(V)$ and one on $\mathbf{GL}(V)$, both denoted by \otimes again.

DEF.: Let (V, ϕ) and \mathcal{B} be as above.

(a) We call *unitary Lie superalgebra* of (V, ϕ, \mathcal{B}) the real form of $(\mathcal{L}_{\mathfrak{gl}(V)}, \otimes)$.

(b) We call *unitary supergroup* of (V, ϕ, \mathcal{B}) the real form of $(\mathbf{GL}(V), \otimes)$.

The notation will be $\mathbf{u}_{\mathcal{B}}(V) := \mathcal{L}_{\mathfrak{gl}(V)}^{\otimes}$ for (a) and $\mathbf{U}_{\mathcal{B}}(V) := \mathbf{GL}(V)^{\otimes}$ for (b).

Rmk ©: the “even part” of a unitary superobject is direct product of unitary (classical) objects, namely $\mathbf{u}_{\mathcal{B}}(V)_{\bar{0}} = \mathbf{u}_{\mathcal{B}_{\bar{0}}}(V_{\bar{0}}) \oplus \mathbf{u}_{\mathcal{B}_{\bar{1}}}(V_{\bar{1}})$ and $\mathbf{U}_{\mathcal{B}}(V)_{\bar{0}} = \mathbf{U}_{\mathcal{B}_{\bar{0}}}(V_{\bar{0}}) \times \mathbf{U}_{\mathcal{B}_{\bar{1}}}(V_{\bar{1}})$.

§ 2.5 – (SUPER-)COMPACTNESS

Memo: Any Lie group K is *compact* $\iff K \leq U(W)$ for some $W \in (\text{vec})_{\mathbb{C}}^{\text{re}}$ with a positive definite Hermitian form. Then also $\mathfrak{k} = \text{Lie}(K)$ for some compact Lie group $K \iff \mathfrak{k} \leq \mathfrak{u}(W)$ for some $W \in (\text{vec})_{\mathbb{C}}^{\text{re}}$ with a positive definite Hermitian form.

DEF.: (a) Any $(\mathbf{G}, \Phi) \in (\text{sgrps})_{\mathbb{C}}^{\bullet}$ — or its integral form \mathbf{G}^{Φ} alike — is called

- (a.-) *compact* iff $\mathbf{G}_{\mathfrak{g}}^{\Phi} \leq U(W)$ for some $W \in (\text{vec})_{\mathbb{C}}^{\text{re}}$ with positive definite Hermitian form — or, equivalently, iff the (classical) group $\mathbf{G}_{\mathfrak{g}}^{\Phi}$ is compact;
- (a.+) *super-compact* iff $\mathbf{G}^{\Phi} \leq \mathbf{U}_{\mathcal{B}}(V)$ for some $V \in (\text{svec})_{\mathbb{C}}^{\bullet}$ with a positive definite super Hermitian form.

(b) Any $(\mathfrak{g}, \phi) \in (\text{sLie})_{\mathbb{C}}^{\bullet}$ — or its integral form $\mathcal{L}_{\mathfrak{g}}^{\phi}$ alike — is called

- (b.-) *compact* iff $(\mathcal{L}_{\mathfrak{g}}^{\phi})_{\mathfrak{g}} \leq \mathfrak{u}(W)$ for some $W \in (\text{vec})_{\mathbb{C}}^{\text{re}}$ with a positive definite Hermitian form;
- (b.+) *super-compact* iff $\mathcal{L}_{\mathfrak{g}}^{\phi} \leq \mathfrak{u}_{\mathcal{B}}(V)$ for some $V \in (\text{svec})_{\mathbb{C}}^{\bullet}$ with a positive definite super Hermitian form.

Rmk: due to Rmk © above, we have “super-compact” \implies “compact”

3 – EXISTENCE(!) & NON-EXISTENCE RESULTS

- HPs:** (a) $\mathfrak{g} :=$ cmplx (f.d.) contragr. Lie superalgebra, with gen.s x_i^+, h_i, x_i^- ($i \in I$)
(b) $\mathbf{G} :=$ connected, simply connected, complex supergroup with $Lie(\mathbf{G}) = \mathfrak{g}$

Proposition: $\exists!$ a *graded* real structure ω on \mathfrak{g} given (for all $i \in I$) by

$$\omega(h_i) = -h_i, \quad \omega(x_i^\pm) = -x_i^\mp \text{ if } |x_i^\pm| = \bar{0}, \quad \omega(x_i^\pm) = \pm x_i^\mp \text{ if } |x_i^\pm| = \bar{1}$$

Moreover, $\exists!$ *graded* real structure Ω on \mathbf{G} corresponding to ω .

- HPs+:** (a) $\mathfrak{g} :=$ complex (f.d.) contragredient *simple*, i.e. complex simple of *basic type*
(b) $\mathbf{G} :=$ connected, simply connected, complex supergroup with $Lie(\mathbf{G}) = \mathfrak{g}$

Overview: standard real structures on such \mathfrak{g} were *classified* by Kac (1977), Parker (1980), Serganova (1983) and Chuah (2013); Serganova classified also graded ones.

Later on, Pellegrini (2007) looked for *compact* (real) forms, noting (via case by case inspection) that every basic \mathfrak{g} has a *compact graded* real structure (and form).

Our work [Fioresi-G. (2020)] largely improves Pellegrini's results on the existence (and uniqueness) of compact forms, finding a deeper motivation.

Theorem 4 [Fioresi-G. (2020)]: ($\exists!$ super-compact, graded real str. on \mathfrak{g}/\mathbf{G})

Let \mathfrak{g} be complex simple of *basic* type, and \mathbf{G} connected simply conn. s.t. $\text{Lie}(\mathbf{G}) = \mathfrak{g}$. Then the graded real structure ω on \mathfrak{g} given above is *super-compact*; similarly, the graded real structure Ω on \mathbf{G} is *super-compact*.

In particular, \exists super-compact graded real structures on both \mathfrak{g} and \mathbf{G} .

Moreover, both structures are unique up to inner automorphisms.

Proof (sketch): Let κ be the Cartan-Killing form of \mathfrak{g} : it is \mathbb{C} -bilinear, consistent and super-symmetric; moreover, κ is also ω -invariant. Therefore \implies

$\implies \kappa$ and ω jointly define — applying our general recipe — a consistent, super Hermitian form B_κ on \mathfrak{g} ; moreover, this B_κ is also positive definite; \implies

\implies letting \mathcal{B}_κ be the Hermitian form on $\mathcal{L}_\mathfrak{g}$ corresponding to B_κ on \mathfrak{g} , we have a well-defined unitary Lie superalgebra $\mathfrak{u}_{\mathcal{B}_\kappa}(\mathfrak{g})$ inside $\mathfrak{gl}(\mathfrak{g})$.

\diamond The form κ is *ad*-invariant \implies the image of $ad : \mathfrak{g} \hookrightarrow \mathfrak{gl}(\mathfrak{g})$ sits in $\mathfrak{u}_{\mathcal{B}_\kappa}(\mathfrak{g}) \implies$
 $\implies \mathfrak{g}$ embeds into $\mathfrak{u}_{\mathcal{B}_\kappa}(\mathfrak{g})$, hence (\mathfrak{g}, ω) is graded super-compact. \square

N.B.: The above accounts for the *graded* case, and proves the importance of such a notion. What for *standard* structures instead? The answer is twofold:

Theorem 5 [Fioresi-G. (2020)]: $(\exists!/\nexists)$ (super-)compact, standard r. s. on \mathfrak{g}/\mathbf{G}

Let \mathfrak{g} be complex simple of *basic* type $T \in \{A, B, C, D, F, G\}$, and let \mathbf{G} be connected simply connected such that $\text{Lie}(\mathbf{G}) = \mathfrak{g}$. Then:

(a) if $T \in \{A, C\}$ — i.e. \mathfrak{g} is “of type 1” — then \exists a standard compact real structure on \mathfrak{g} and on \mathbf{G} , that is unique up to inner automorphisms;

(b) if $T \in \{B, D, F, G\}$ — i.e. \mathfrak{g} is “of type 2” — then \nexists standard compact real structures on \mathfrak{g} nor on \mathbf{G} .

Proof (sketch): (a) We provide a concrete example for both cases.

(b) It follows — with a rather different approach — from Chuah’s work. □

Open quest.: in type 1, \exists a real struct. on \mathfrak{g}/\mathbf{G} that is *standard* and *super-compact*?

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— THANKS for your attention! —

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