

Weak Quasi-Hopf Algebras, Vertex Operator Algebras and Conformal Nets

Sebastiano Carpi



Rome, March 20, 2019

Based on a joint work with Sergio Ciamprone and Claudia Pinzari (in preparation)

- Rational QFTs, when seen from a representation theory point of view, naturally give rise to **fusion categories**. When the QFT is **unitary** the corresponding representation category should also be unitary.
- In particular rational chiral CFTs are an important source very interesting **fusion categories**. In fact it has been **conjectured** that **every unitary modular fusion category** comes from a rational chiral CFT.

- **Weak quasi-Hopf algebras** are a generalization Drinfelds' quasi-Hopf algebras. Every fusion category is tensor equivalent to the representation category of a weak quasi-Hopf algebra.
- In this talk I will discuss some recent results showing that weak quasi-Hopf algebras are a **useful and natural tool** to understand certain aspects of the representation theory of rational VOAs especially for the unitarity and the relations to the theory of **conformal nets**.

Tensor categories

- I will denote the objects of a category \mathcal{C} by $X, Y, Z, \dots \in \text{Obj}(\mathcal{C})$ and the corresponding hom-spaces by $\text{Hom}(X, Y) \dots \subset \text{Hom}(\mathcal{C})$.
- In a **linear category** the hom-spaces are vector spaces (**finite-dimensional and over \mathbb{C}** in this talk), the composition is bilinear and we have direct sums.
- In a tensor category we have a tensor product of objects $X, Y \mapsto X \otimes Y$ and a corresponding tensor product of arrows $T \in \text{Hom}(X_1, Y_1), S \in \text{Hom}(X_2, Y_2) \mapsto T \otimes S \in \text{Hom}(X_1 \otimes X_2, Y_1 \otimes Y_2)$.

- We have a unit object $\iota \in \text{Obj}(\mathcal{C})$ that is simple, i.e. it is non-zero and it has no non-trivial subobjects, and that here we assume to be strict i.e. $\iota \otimes X = X \otimes \iota = X$ for all $X \in \text{Obj}(\mathcal{C})$. Moreover, we have **associativity isomorphisms** $\alpha_{X,Y,Z} \in \text{Hom}((X \otimes Y) \otimes Z, X \otimes (Y \otimes Z))$ satisfying the so called **pentagon equation**. A tensor category is called **strict** if the tensor product is (strictly) associative and the associativity isomorphisms are the identity isomorphisms.
- To simplify the exposition I will only consider **fusion categories**. These are **semisimple** tensor categories with finitely many isomorphism classes of simple objects and which are **rigid** i.e. every object X has a (two-sided) **dual object** X^\vee .
- The Grothendieck ring $\text{Gr}(\mathcal{C})$ generated by the isomorphism classes of simple objects is the **fusion ring** of the fusion category \mathcal{C} .

- A fusion category is called **braided** if it admits a natural family of isomorphisms $c_{X,Y} \in \text{Hom}(X \otimes Y, Y \otimes X)$ satisfying the so called **hexagon equations**. Braided fusion categories give rise to representations of the **braid group**.
- A braided fusion category with a compatible twist $X \mapsto \theta_X \in \text{Hom}(X, X)$ is called a **ribbon fusion category**. A ribbon fusion category with a non-degenerate grading is called a **modular fusion category**. The latter defines a (projective) representation of the modular group $\text{SL}(2, \mathbb{Z})$ through the **modular matrices** S, T .
- Some examples of fusion categories are: Vec ; Vec_G ; $\text{Rep}(G)$ (G finite group); Vec_G^ω (ω 3-cocycle on G); $\text{Rep}(A)$ (A finite dimensional semisimple Hopf algebra).

Unitary fusion categories

- A C^* -category (with f.d. Hom spaces) is a linear category with a $*$ -structure on the Hom spaces. This means that there is an anti-linear involutive map $\text{Hom}(X, Y) \ni T \mapsto T^* \in \text{Hom}(Y, X)$ such that $(TS)^* = S^*T^*$. Moreover we have the positivity condition $T^*T = 0 \Rightarrow T = 0$.
- A unitary (or C^*) fusion category is a fusion category which is also a C^* -category and such that $(T \otimes S)^* = T^* \otimes S^*$. Moreover the associativity isomorphisms are unitary, i.e. $\alpha_{X,Y,Z}^* = \alpha_{X,Y,Z}^{-1}$.
- Some examples of unitary fusion categories are: Hilb ; Hilb_G ; Hilb_G^ω ; $\text{Rep}^u(G) \dots$

Weak quasi-Hopf algebras

- A quasi-Hopf algebra is a quintuple $(A, \Delta, \varepsilon, S, \Phi)$. Here A is a unital associative algebra (over \mathbb{C} in this talk), the **coproduct** $\Delta : A \rightarrow A \otimes A$ is a **unital** homomorphism, the **counit** $\varepsilon : A \rightarrow \mathbb{C}$ is a nonzero homomorphism, the **antipode** $S : A \rightarrow A$ is an antiautomorphism, $\Phi \in A \otimes A \otimes A$ is the **associator + axioms**
- The coproduct gives a tensor structure on $\text{Rep}(A)$. The tensor product $\underline{\otimes}$ on the objects of $\text{Rep}(A)$ is then given by $\pi_1 \underline{\otimes} \pi_2 := \pi_1 \otimes \pi_2 \circ \Delta \in \text{Rep}(A)$ and Φ gives rise to the associativity isomorphisms.
- If A is finite dimensional and semisimple then $\text{Rep}(A)$ is a fusion category.

- Quasi-Hopf algebras are **not sufficiently general** to describe many interesting fusion categories related to QFT.
- This is because, when A is semisimple, the function D on the fusion ring $\text{Gr}(\text{Rep}(A))$ defined by $D([\pi]) := \dim(V_\pi)$, where V_π is the representation space of π , is a **(positive) integral valued dimension function** and hence it must agree with the **Frobenius-Perron dimension** of the category which in general is not integer valued. For example it can take the values $D([\pi]) = 2 \cos(\frac{\pi}{n})$, $n=3, 4, 5, \dots$
- Mack and Schoumerus suggested the following solution to the above problem: **give up to the request that Δ is unital** so that a **weak quasi-Hopf algebra** is again a quintuple $(A, \Delta, \varepsilon, S, \Phi)$ with a possibly non-unital coproduct.

- In this way $\Delta(1_A)$ is an idempotent in $A \otimes A$ commuting with $\Delta(A)$ but typically different from $1_A \otimes 1_A$.
- The tensor product $\pi_1 \underline{\otimes} \pi_2$ in $\text{Rep}(A)$ is now defined by the **restriction** of $\pi_1 \otimes \pi_2 \circ \Delta$ to $\pi_1 \otimes \pi_2 \circ \Delta(1_A) V_{\pi_1} \otimes V_{\pi_2}$.
- Now, for a given (f.d., semisimple) A , the additive function $D : \text{Gr}(\text{Rep}(A)) \rightarrow \mathbb{Z}$ defined by $D([\pi]) := \dim(V_\pi)$ is only a **(positive) weak dimension function** i.e. it satisfies $D([\pi_1 \underline{\otimes} \pi_2]) \leq D([\pi_1])D([\pi_2])$, $D([\iota]) = 1$ and $D([\bar{\pi}]) = D([\pi]) > 0$ (if π is non-zero) and this gives no important restrictions.

Tannakian results

- The following results are due mainly to Häring-Oldenburg (1997).
- Let \mathcal{C} be a fusion category and $D : \text{Gr}(\mathcal{C}) \rightarrow \mathbb{Z}$ be an integral weak dimension then there exists a finite dimensional semisimple weak quasi-Hopf algebra $(A, \Delta, \varepsilon, S, \Phi)$ and a tensor equivalence $\mathcal{F} : \mathcal{C} \rightarrow \text{Rep}(A)$ such that $D([X]) = \dim(V_{\mathcal{F}(X)})$ for all $X \in \text{Obj}(\mathcal{C})$.
- Extra structure on \mathcal{C} gives extra structure on A : braiding $\leftrightarrow R$ -matrix ; C^* -tensor structure on $\mathcal{C} \leftrightarrow \Omega$ - involutive structure on A (in particular A is a C^* -algebra).
- The weak quasi-Hopf algebra associated to a fusion category \mathcal{C} is highly non-unique: it depends on the choice of D and, once D is fixed is only defined up to a “twist” $F \in A \otimes A$.

Fusion categories from chiral CFT

- There are two main approaches to chiral (2D) CFT: **vertex operator algebras (VOAs)** and **conformal nets**. Under suitable **rationality** conditions they both give rise to modular fusion categories.
- A **vertex operator algebra (VOA)** is a **vector space** V together with a linear map (the **state-field correspondence**)
 $a \mapsto Y(a, z) = \sum_{n \in \mathbb{Z}} a_{(n)} z^{-n-1}$ from V into the set of formal Laurent series with coefficients $\text{End}(V)$.
- The map is assumed to satisfy certain natural (and physically motivated) conditions: **locality**; **conformal covariance**; **energy bounded from below**; **vacuum**. The “fields” $Y(a, z)$ are called **vertex operators**.

- A conformal net \mathcal{A} is an inclusion preserving map $S^1 \ni I \mapsto \mathcal{A}(I)$, where each $\mathcal{A}(I)$ is a **von Neumann algebra** acting on a fixed Hilbert space \mathcal{H} .
- The map is assumed to satisfy various natural assumptions: **locality**, **conformal covariance**, **positivity of the energy**, **vacuum**.

- Both VOAs and conformal nets have interesting **representation theories**.
- If V is **strongly rational** VOA then $\text{Rep}(V)$ is a modular fusion category (Huang 2008).
- If \mathcal{A} is a **completely rational** then $\text{Rep}(\mathcal{A})$ is a **unitary** modular fusion category (Kawahigashi, Longo Mueger (2001)).

- A **general connection between VOAs and conformal nets** has been recently considered by Carpi, Kawahigashi, Longo and Weiner (2018).
- One first need to consider only **unitary VOAs** (explicitly defined by Dong, Lin and CKLW).
- For sufficiently nice (simple) unitary VOAs called **strongly local** one can define a map $V \mapsto \mathcal{A}_V$ into the class of conformal nets.

- **Conjecture 1:** The map $V \mapsto \mathcal{A}_V$ gives a one-to-one correspondence between the class of simple unitary VOAs and the class of conformal nets.
- **Conjecture 2:** The map $V \mapsto \mathcal{A}_V$ gives a one-to-one correspondence between the class of strongly rational unitary VOAs and the class of completely rational conformal nets. Moreover, if V is completely rational we have a **tensor equivalence** $\text{Rep}(V) \simeq \text{Rep}(\mathcal{A}_V)$.

- Recently it has been suggested by Carpi, Weiner and Xu (in preparation) to consider a **strong integrability condition** on unitary VOA-modules of a strongly local V which allows to define a map $M \mapsto \pi_M$ from V -modules to representations of \mathcal{A}_V . In certain cases this gives an **isomorphism of linear C^* -categories** $\mathcal{F} : \text{Rep}^u(V) \rightarrow \text{Rep}(\mathcal{A}_V)$ where $\text{Rep}^u(V)$ is the linear C^* -category of unitary V -modules.
- Conjecture 3:** Assume that V is strongly rational and strongly local. Then $\text{Rep}^u(V)$ can be upgraded to a unitary modular tensor category such that the forgetful functor $\text{Rep}^u(V) \rightarrow \text{Rep}(V)$ is a braided tensor equivalence. Moreover, the functor $\mathcal{F} : \text{Rep}^u(V) \rightarrow \text{Rep}(\mathcal{A}_V)$ discussed above admits a unitary tensor structure.
- Further remarkable results in this direction have been recently obtained by **Bin Gui** and by **James Tener**.

From VOAs to unitary fusion categories

- Let \mathcal{C}^+ be a linear C^* -category, \mathcal{C} be a fusion category and $\mathcal{F} : \mathcal{C}^+ \rightarrow \mathcal{C}$ be a **linear equivalence**
- **Theorem (Carpi, Ciamprone, Pinzari):** If \mathcal{C} is tensor equivalent to a unitary fusion category \mathcal{D}^+ then \mathcal{C}^+ can be upgraded to a unitary fusion category in such a way that $\mathcal{F} : \mathcal{C}^+ \rightarrow \mathcal{C}$ becomes a tensor equivalence. This structure is unique up to unitary equivalence and makes \mathcal{C}^+ unitary tensor equivalent to \mathcal{D}^+ .
- As a corollary we find a proof of a conjecture by Cesar Galindo: **two tensor equivalent unitary fusion categories must be unitary tensor equivalent**. A different proof was found independently by David Reutter.

- Let \mathfrak{g} be a **complex simple Lie algebra**, let k be a positive integer and let $V_{\mathfrak{g}_k}$ be the corresponding simple **level k affine VOA**. It is known that $V_{\mathfrak{g}_k}$ is a unitary strongly rational VOA and that every $V_{\mathfrak{g}_k}$ -module is unitarizable.
- By a result of Finkelberg (1996) based on the work Kazhdan and Lusztig we know that $\text{Rep}(V_{\mathfrak{g}_k})$ is tensor equivalent to the “semisimplified” category $\widetilde{\text{Rep}}(G_q)$ associated to the representations of the **quantum group G_q** , with G the simply connected compact Lie group associated to \mathfrak{g} and $q = e^{\frac{i\pi}{d(k+h^\vee)}}$, $h^\vee =$ dual Coxeter number, $d = 1$ if \mathfrak{g} is ADE, $d = 2$ if \mathfrak{g} is BCF and $d = 3$ if \mathfrak{g} is G_2 .
- It was shown by Wenzl and Xu (1998) that $\widetilde{\text{Rep}}(G_q)$ is tensor equivalent to a unitary fusion category.

- As a consequence we get that $\text{Rep}^u(V_{g_k})$ admits an essentially unique structure of unitary fusion category.
- The existence part of this result has been recently also proved by Gui and Tener in a series of papers appeared in the arXiv between 2017 and 2019, by a completely different method based on Connes fusions for bimodules and a deep analysis of the analytic properties of the smeared intertwiners operators for VOA modules.
- Our method works also for many other VOAs such as e.g. [lattice VOAs](#), [holomorphic orbifolds](#),

The Zhu algebra as a weak quasi-Hopf algebra

- Let V be strongly rational. In 1998 Zhu introduced a finite-dimensional semisimple algebra $A(V)$ gave a linear equivalence $\mathcal{F}_V : \text{Rep}(V) \rightarrow \text{Rep}(A(V))$.
- If $D_V([M]) := \dim(\mathcal{F}_V(M))$ defines a weak dimension function then, it follows from the previously described Tannakian results that $A(V)$ can be upgraded to a weak-quasi Hopf algebra and $\mathcal{F}_V : \text{Rep}(V) \rightarrow \text{Rep}(A(V))$ to an equivalence of fusion categories.
- D_V is not always a weak dimension function. A counterexample is given e.g. by the Ising VOA ($c = \frac{1}{2}$ Virasoro). However, D_V is a weak dimension function in many interesting cases e.g. if V is a unitary affine VOA.

Classification of type A ribbon fusion categories

- As another application of the theory of weak quasi-Hopf algebra we give classification of **pseudo-unitary type A fusion categories**.
- The starting point is the work of **Kazhdan and Wenzl (1993)** on the classification of type A tensor categories.
- As a consequence of our results we have in particular the following:
Let \mathcal{C} be a modular fusion category with modular matrices S, T coinciding with the **Kac-Peterson matrices for the $\mathfrak{sl}(n)$ affine Lie algebra at positive integer level k** . Then \mathcal{C} is ribbon equivalent to $\text{Rep}(V_{\mathfrak{sl}(n)_k})$

- **Consequence 1.** Conjecture 3 is true for unitary affine VOAs of type A. In fact we have a unitary ribbon equivalence $\mathcal{F} : \text{Rep}^u(V_{\mathfrak{sl}(n)_k}) \rightarrow \text{Rep}(\mathcal{A}_{V_{\mathfrak{sl}(n)_k}})$. The same result has been independently obtained by Bin Gui by different methods (direct analytic proof instead of classification). His proof also **works for many other Lie types**.
- **Consequence 2.** We have a proof of Finkelberg equivalence in the type A case.

GRAZIE!