# Algebraic conformal QFT

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- 1. Conformal fields in two dimensions
- 2. Algebraic quantum field theory
- 3. Chiral constructions
- 4. Superselection sectors
- 5. Chiral extensions
- 6. From chiral CFT to two dimensions

# 1. Conformal fields in two dimensions

Lect. 1

- Local fields
- Chiral fields
- Local description
- Fourier description
- Algebraic description

# Local fields

- Hilbert space:  $\Phi(x)$ ,  $\Phi^*(x)$  operator valued distributions on common invariant dense domain  $\mathcal{D} \subset \mathcal{H}$ .
- Locality: Commutation at spacelike separation.
- Covariance: Unitary covering representation of the conformal group  $x \mapsto g(x)$ :

$$U(g)\Phi(f)U(g)^* = \Phi(D(g)f \circ g^{-1})$$

• Vacuum: There is a unique U-invariant state  $\Omega \in \mathcal{D}$ . Ground state for the generator of the time translations, and cyclic in  $\mathcal{H}$  for all fields.

From these axioms, the correlation functions

$$(\Omega, \Phi_1(x_1)\cdots\Phi_N(x_n)\Omega)$$

are defined as distributions, and from the knowlegde of all correlation functions, one can recover the fields.

• The main question is: Which realizations of the axioms are there, and how can they be constructed?

These lectures: two spacetime dimensions.

### Local fields (ct'd)

Infinitesimal transformation laws (for scalar fields of scaling dimension d)

$$i[P_{\mu}, \Phi(x)] = \partial_{\mu}\Phi(x), \quad i[K_{\mu}, \Phi(x)] = [2x_{\mu}(x \cdot \partial) - (x^2)\partial_{\mu} + 2d x_{\mu}]\Phi(x)$$
$$i[D, \Phi(x)] = [(x \cdot \partial) + d]\Phi(x), \quad i[M_{\mu\nu}, \Phi(x)] = [x_{\mu}\partial_{\nu} - x_{\nu}\partial_{\mu}]\Phi(x).$$

To define finite conformal transformations  $g \in SO(2,2)$  in two-dimensional Minkowski spacetime (signature +--), one has to extend the latter to the Dirac manifold = projective null cone in four dimensions with signature ++ ---

$$\overline{\mathbb{R}^{1,1}} := \{ \zeta \in \mathbb{R}^{2,2} : \zeta \cdot \zeta = 0 \} / (\zeta \sim \lambda \zeta) \equiv (S_t^1 \times S_s^1) / \mathbb{Z}_2.$$

Minkowski spacetime  $\mathbb{R}^{1,1}$  is embedded as follows: Choose coordinates  $(\sin \tau, \cos \tau)$  for the timelike  $S_t^1$  and  $(\sin \xi, \cos \xi)$  for the spacelike  $S_s^1$ . Then

$$(x^0, x^1) = \frac{(\sin \tau, \sin \xi)}{\cos \tau + \cos \xi} \quad \Rightarrow \quad x^0 \pm x^1 = \tan \frac{\tau \pm \xi}{2}$$

Chiral coordinates  $z_{\pm} = \frac{1+ix_{\pm}}{1-ix_{\pm}} = e^{i(\tau \pm \xi)}$ :

 $\overline{\mathbb{R}^{1,1}} = S^1_+ \times S^1_-.$ 

### Local fields (ct'd)

The conformal group factorizes:  $SO(2,2)_0 = \text{M\"ob} \times \text{M\"ob}$ .

The Möbius groups  $M\ddot{o}b = SU(1,1)/\mathbb{Z}_2$  act by fractional linear transformations:

$$gz = \frac{\alpha z + \beta}{\bar{\beta}z + \bar{\alpha}}.$$

Local fields of scaling dimension  $d = h_+ + h_-$ , helicity  $s = h_+ - h_-$ :  $\hat{\Phi}(z_+, z_-) = (dz_+/dx_+)^{-h_+} (dz_-/dx_-)^{-h_-} \cdot \Phi(x_+, x_-)$ 

live on a covering space.

Infinitesimal generators 
$$L_0 = \frac{1}{2}(P+K)$$
,  $L_{\pm 1} = \frac{1}{2}(P-K) \pm iD$ :  

$$[L_m, L_n] = (m-n)L_{m+n}.$$

Positivity of energy  $P^0$  is by Lorentz invariance equivalent to positivity of both  $P_{\pm}$ , and by conformal invariance to positivity of both  $L_{\pm,0}$ .

Conformal transformation laws:

$$[L_m^+, \hat{\Phi}(z_+, z_-)] = z_+^m (z_+ \partial_{z_+} + (m+1)h_+) \hat{\Phi}(z_+, z_-).$$

# Chiral fields

Symmetric traceless rank r > 0 tensor field  $A^{\mu \cdots \nu}$  of scaling dimension  $d_A = r$ :

- are conserved ( $\Rightarrow$  generators of local symmetries: gauge, diffeo, ...).
- have only two independent components  $A_{\pm} = A_{0\cdots 00} \pm A_{0\cdots 01}$ .
- $-A_{\pm} = A_{\pm}(x_{\pm})$  depend only on one chiral coordinate.
- $-A_{\pm}$  commute with  $B_{\mp}$ .
- $-A_{\pm}(x_{\pm})$  commute with  $B_{\pm}(y_{\pm})$  when  $x_{\pm} \neq y_{\pm}$ .
- vacuum correlation functions factorize:  $\langle \prod A_+ \prod A_- \rangle = \langle \prod A_+ \rangle \langle \prod A_- \rangle$ .

 $\Rightarrow$  pair of decoupled subtheories of local chiral fields, transforming covariantly under one chiral copy of the Möbius group in a positive-energy representation on a cyclic subspace of the Hilbert space.

# Local description

Rank r = 1 gives rise to chiral currents of dimension h = 1. Most general form of local commutators:

$$[j^{a}(x), j^{b}(y)] = i \sum_{c} f^{ab}_{c} j^{c}(x) \,\delta(x-y) + i \frac{g^{ab}}{2\pi} \,\delta'(x-y).$$

- Jacobi identity:  $f_c^{ab}$  are the structure constants of a Lie algebra  $\mathfrak{g}$ , and  $g^{ab}$ , regarded as a metric on  $\mathfrak{g}$ , is invariant under the adjoint action.

- Hilbert space positivity:  $g^{ab}$  positive definite.  $\Rightarrow$
- $-\mathfrak{g}$  compact,  $g^{ab}$  multiple ("level") of Cartan-Killing, or
- $-\mathfrak{g}$  abelian, WLOG  $g^{ab} = 2\pi\delta^{ab}$ .

### Local description (ct'd)

The stress-energy tensor (SET) is an example of rank r = 2, with the additional property that its chiral moments are the generators of the conformal group:

$$P = \int T(x)dx, \quad D = \int xT(x)dx, \quad K = \int x^2T(x)dx.$$

These requirements fix the commutation relations among T:

$$[T(x), T(y)] = i(T(x) + T(y))\delta'(x - y) - \frac{ic}{24\pi}\delta'''(x - y),$$

where only the central charge c > 0 is a priori undetermined (model specific).

Commutation relations of T with primary fields:

$$[T(x), A(y)] = i \Big[ h_A \delta'(x - y) - \delta(x - y) \partial_y \Big] A(y)$$

and similar for 2D fields  $\Phi(y_+, y_-)$ .

For general covariant fields, there are additional contributions of fields of dimensions  $\leq h_A - 2$ .

### Summary of the local description:

- Chiral local fields appear in 2D conformal QFT by natural assumptions.
- Their commutator algebra is quite constrained by conformal symmetry.
- Correlation functions can be computed and analysed in terms of partial differential equations.
- Perfect setup to study concrete models.

## Fourier description

Chiral fields as distributions on the circle, via Cayley transformation  $z = \frac{1+ix}{1-ix}$ :

$$\hat{A}(z) := \left(\frac{dx}{dz}\right)^{h_A} A(x) =: \frac{1}{2\pi i^{h_A}} \sum_n z^{-h_A - n} A_n,$$

 $(A_n = 2^{1-h_A} \int (1 - ix)^{h_A - 1 - n} (1 + ix)^{h_A - 1 + n} A(x) dx)$ 

Commutation relations, eg,

$$[j_{m}^{a}, j_{n}^{b}] = i f_{c}^{ab} j_{m+n}^{c} + g^{ab} m \delta_{m+n,0}$$

for currents (Kac-Moody algebra),

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{c}{12}m(m^2 - 1)\delta_{m+n,0}$$

for the SET (Virasoro algebra).

### Fourier description (ct'd)

For primary fields:

$$[L_m, \hat{A}(z)] = z^m (z\partial_z + (m+1)h_A)\hat{A}(z).$$

This is the variation of  $\hat{A}(z) \rightarrow (\gamma'(z))^{h_A} \cdot \hat{A}(\gamma(z))$  under an infinitesimal diffeomorphism  $\gamma(z) = z + \varepsilon z^{m+1}$ , i.e., the Virasoro algebra acts like infinitesimal diffeomorphisms on the primary fields.

Central charge c > 0: there can be no diffeomorphism invariant state. The vacuum state is only Möbius invariant.

In terms of Fourier modes:

$$[L_m, A_n] = ((h_A - 1)m - n)A_{m+n}.$$

 $\Rightarrow A_n$  are ladder operators for the conformal Hamiltonian  $L_0$ .

### Summary of the Fourier description:

- Symmetries are emphasized.
- Lie algebra methods are available.
- Spectrum of  $L_0$ .
- Highest weight representations.
- Classifications, eg,

$$c \ge 1$$
 or  $c = 1 - \frac{6}{m(m+1)}$   $(m = 3, 4, \cdots),$ 

and of primary dimensions if c < 1:

$$h = \frac{[(m+1)p - mq]^2 - 1}{4m(m+1)} \quad (p = 1, \cdots, m-1; q = 1, \cdots, m).$$

• Drawback: local commutativity is quite obscured in this picture.

## Algebraic description

**Example:** The algebra of a single U(1) current

$$[j(x),j(y)]=i\,\delta'(x-y)$$

is just a CCR algebra. Written in Weyl form:

$$W(f)W(g) = e^{-i\sigma(f,g)/2} \cdot W(f+g)$$

where  $W(f) = e^{ij(f)} \equiv e^{i\int j(x)f(x)}$ , and the symplectic form is

$$\sigma(f,g) = \frac{1}{2} \int (f'g - fg') \, dx = \int f'g \, dx.$$

- This form of writing allows to use methods from operator algebras.
- Example: superselection sectors as inequivalent representations:  $\rightarrow \rightarrow$

### Algebraic description (ct'd)

The conserved charge  $Q = \int j(x) dx$  commutes with j(y), and hence with the algebra generated by the field. Therefore  $\pi(Q) = q \cdot \mathbf{1}$  in every irreducible representation. In the vacuum representation, q = 0 because  $(\Omega, j(x)\Omega) = 0$ .

Consider the map (for a Schwartz function  $\lambda$ )

$$\rho_{\lambda}(j(x)) = j(x) + \lambda(x)\mathbf{1}.$$

Automorphism of the CCR algebra, hence  $\pi_{\lambda} = \pi_0 \circ \rho_{\lambda}$  is a representation.

• Question: is this representation equivalent to the vacuum representation?

Charge Q as an indicator: we have  $\pi_{\lambda}(Q) = q_{\lambda} \cdot \mathbf{1}$  with  $q_{\lambda} = \int \lambda(x) dx$ . If this integral is nonzero, the representation cannot be unitarily equivalent.

To see the equivalence when  $q_{\lambda} = 0$ , the Weyl formulation is convenient: Write  $\lambda = -h'$  (possible because  $q_{\lambda} = 0$ ). Then

$$\rho_{\lambda}(W(f)) = e^{i \int \lambda(x) f(x) dx} \cdot W(f) = e^{-i\sigma(h,f)} \cdot W(f) = W(h)W(f)W(h)^*.$$

### Algebraic description (ct'd)

- It follows that  $\pi_{\lambda} = \operatorname{Ad}_{\pi_0(W(h))}$  is unitarily equivalent to  $\pi_0$ . Similarly,  $\pi_{\lambda_1} \sim \pi_{\lambda_2}$  iff  $q_{\lambda_1} = q_{\lambda_2}$ .
- Question: Are the representations  $\pi_{\lambda}$  diffeomorphism covariant?

Diffeomorphisms act via symplectic maps  $(T_{\gamma}f)(x) = f(\gamma^{-1}(x))$ :  $\alpha_{\gamma}(W(f)) = W(T_{\gamma}f).$ 

Theory of CCR: A symplectic automorphism is implemented in the GNS representation of a state

$$\omega(W(f)) = e^{-\|f\|^2/2}$$

iff  $|T_{\gamma}| - 1$  is Hilbert-Schmidt in the Hilbert space completion of the symplectic space, defined by the norm  $||f||^2$ .

This condition is sufficiently explicit to be verified for the vacuum state, where  $||f||^2 = \int_0^\infty k \, dk \, |\hat{f}(k)|^2$ .

•  $\Rightarrow$  The vacuum representation of the chiral U(1) current is diffeomorphism covariant on the real line (also on the circle). (Möbius covariance was already known because the vacuum state is Möbius invariant.)

### Algebraic description (ct'd)

Next, consider  $\pi_{\lambda}$ . A computation gives

$$\pi_{\lambda} \circ \alpha_{\gamma}(W(f)) = e^{i \int (\lambda_{\gamma}(x) - \lambda(x)) f(x) dx} \cdot U_0(\gamma) \pi_{\lambda}(W(f)) U_0(\gamma)^*$$

where  $\lambda_{\gamma}(x) = \gamma'(x)\lambda(\gamma(x))$  such that  $\int \lambda(x)T_{\gamma}f(x) = \int \lambda_{\gamma}(x)f(x)$ , and  $U_0(\gamma)$  is an implementer of  $\gamma$  in the vacuum representation.

Because  $q_{\lambda_{\gamma}} = q_{\lambda}$ , the phase can be produced by the adjoint action of a unitary Weyl operator  $W(h_{\lambda,\gamma})$  on W(f), as before.  $\Rightarrow$ 

The diffeomorphisms of  $\mathbb{R}$  are implemented by  $U_{\lambda}(\gamma) = U_0(\gamma)\pi_{\lambda}(W(h_{\lambda,\gamma}))$  in the charged representations  $\pi_{\lambda}$ , and so are the diffeomorphisms of  $S^1$ :

$$\pi_{\lambda} \circ \alpha_{\gamma}(\cdot) = U_{\lambda}(\gamma)\pi_{\lambda}(\cdot)U_{\lambda}(\gamma)^{*}.$$

By additivity of spectrum under composition (with the inverse of  $\rho_{\lambda}$ ): the translations have positive generator for all q.

•  $\Rightarrow$  A one-parameter family of inequivalent diffeomorphism ( $\Rightarrow$  Möbius) covariant positiveenergy representations. Described by automorphisms  $\rho_{\lambda}$ , and distinguished up to unitary equivalence by a charge  $q_{\lambda}$ .

### Summary of the algebraic description:

- Admits powerful methods from operator algebras.
- Superselection sectors = inequivalent representations of the same algebraic structure (generalized charges).
- Locality remains transparent (through support of test functions).

In particular, to accomodate a charge q in the CCR model, one may choose the function  $\lambda$  to have compact support in some interval I. This implies that  $\rho_{\lambda}$  acts trivially on W(f) is  $\operatorname{supp} f$  lies outside I.

In this sense,  $\rho_{\lambda}$  (or the representation  $\pi_{\lambda} = \pi_0 \circ \rho_{\lambda}$ ) is "localized in I".

# 2. Algebraic quantum field theory

- Haag-Kastler axioms
- Chiral AQFT
- Modular Theory
- Diffeomorphisms
- Extension problems
- Back to two dimensions

# Haag-Kastler axioms

A QFT is specified by a net of local algebras. This is an assignment

 $O \mapsto A(O)$ 

of a  $C^*$  algebra A(O) to every region  $O \subset \mathbb{R}^{1,D-1},$  subject to:

- Isotony:  $A(O_1) \subset A(O_2)$  if  $O_1 \subset O_2$ .
- Locality: A(O<sub>1</sub>) commutes with A(O<sub>2</sub>) if O<sub>1</sub> is spacelike from O<sub>2</sub>.
  (The statement is meaningful because A(O<sub>1</sub>) and A(O<sub>2</sub>) are subalgebras of A(R<sup>1,D-1</sup>).)
- $\bullet$  Covariance: There is an action of the Poincaré group by automorphisms on  $A(\mathbb{R}^{1,D-1})$  such that

$$\alpha_g(A(O)) = A(gO).$$

• Vacuum: There is a unique Poincaré invariant state on  $A(\mathbb{R}^{1,D-1})$ , such that the time translations have positive generator in the associated GNS representation.

Haag-Kastler axioms (ct'd)

Comments:

- Think of A(O) as the algebra generated by unitary operators  $e^{i\Phi(f)}$  for hermitean fields and real test functions, with support in O. (With caveats!)
- Sufficient to specify A(O) for the open doublecones in  $\mathbb{R}^{1,D-1}$ , and define  $A(\mathbb{R}^{1,D-1})$  is as an inductive limit. Then A(X) for general regions is the smallest subalgebra of  $A(\mathbb{R}^{1,D-1})$  that contains all A(O),  $O \subset X$ .
- Easily adapted to chiral conformal QFT in terms of algebras A(I) for the open intervals  $I \subset \mathbb{R}$ .
- Complication: to formulate Möbius covariance, one needs the proper intervals on the circle, which do not form a directed set  $\Rightarrow$  different definition of  $A(S^1)$ , the net is rather a "precosheaf".

Consequence: the vacuum representation is not faithful.

• Example: The Weyl formulation of the chiral free U(1) current. A(I) = CCR subalgebra generated by Weyl operators with test functions  $supp f \subset I$ .



General results (Brunetti-Guido-Longo, and many others):

- Reeh-Schlieder property: The vacuum is cyclic and separating for every algebra A(I) (I a proper interval).
- Local normality: Every positive-energy representation is locally normal, ie, the restriction to a local algebra A(I) is unitarily equivalent to the vacuum representation. One may therefore take the vacuum representation as a "reference", and take the weak closure of the local algebras, so as to arrive WLOG at a net of von Neumann algebras on the vacuum Hilbert space.
- Additivity: If  $I_1$  and  $I_2$  are open intervals such that  $I = I_1 \cup I_2$  is another open interval, then  $A(I) = A(I_1) \lor A(I_2)$ .
- Strong additivity (i.e., the same holds also if  $I_1$  and  $I_2$  arise by removing an interior point from I) is not a consequence of the axioms, but can be established in many models.

### Chiral AQFT (ct'd)

- Bisognano-Wichmann property: the vacuum state restricted to the interval algebra  $A(\mathbb{R}_+)$  is a KMS state of inverse temperature  $\beta = 2\pi$  w.r.t. the dilation subgroup of Möb. The same is true, by conformal covariance, for every other interval algebra and the corresponding unique conjugate one-parameter subgroup of the Möbius group which preserves I.
- Haag duality: A(I') = A(I)'. This is stronger than locality (" $\subset$ ").
- Split property: If  $\operatorname{Tr} e^{-\beta L_0} < \infty$  for all  $\beta > 0$ , then for any two intervals  $I_1$  and  $I_2$  that do not overlap or touch in a point, there is a normal state on  $A(I_1) \lor A(I_2)$  such that  $\varphi(a_1a_2) = \varphi(a_1)\varphi(a_2)$ . In other words: The algebra  $A(I_1) \lor A(I_2)$  is isomorphic to the tensor product  $A(I_1) \otimes A(I_2)$ . The trace class condition here is not automatic; it can be violated in theories "with too many fields".
- Type: Every interval algebra is a type  $III_1$  factor (hyperfinite if split property holds).

These results allow to use powerful methods from Modular Theory:  $\longrightarrow$ 

# Modular Theory

Given a von Neumann algebra M and a cyclic and separating vector  $\Omega$ , there is a unique oneparameter group of automorphisms  $\sigma_t$  (the modular group) such that the state  $\omega = (\Omega, \cdot \Omega)$  on M is a KMS state of temperature 1 wrt  $\sigma_{-t}$ . The modular group is unitarily implemented with generator  $\log \Delta$ , where

$$S = J \cdot \Delta^{\frac{1}{2}}$$

is the polar decomposition of the closable antilinear map

 $S: m\Omega \mapsto m^*\Omega.$ 

Moreover, the anti-unitary involution J implements the conjugation j(M) = M'.

These data are intrinsic (and functorial) to the pair  $(M, \Omega)$ . In particular, in ACFT, one can recover the dilation subgroups  $U(\Lambda_I(-2\pi t)) = \Delta_{(A(I),\Omega)}^{it}$  and the CPT operators  $\Theta_I = J_{(A(I),\Omega)}$  from the local algebras and the vacuum vector.

Further applications of MT later.

# Diffeomorphisms

The stress-energy tensor is the generator of diffeomorphisms. Hence, existence of a SET is formulated as diffeomorphism covariance in ACFT:

There is a projective unitary representation of the orientation preserving diffeomorphism group of the circle in the vacuum Hilbert space such that

 $U(\gamma)A(I)U(\gamma^{-1}) = A(\gamma I),$ 

and  $U(\gamma)$  acts trivially on A(I') if  $\gamma$  is localized in I, i.e.,  $\gamma(x) = x$  for all  $x \notin I$ .

Haag duality then implies that  $U(\gamma) \in A(I)$  if  $\gamma$  is localized in I.

The implementers of the localized diffeomorphisms therefore generate a covariant net of subalgebras

$$A_0(I) \subset A(I).$$

Think of this as the subtheory generated by the stress-energy tensor. For the U(1) current (CCR), the SET has c = 1.

## Extension problems

This scenario gives rise to a classification problem: Fixing  $A_0$  (ie, the central charge of the chiral SET), which extensions

$$A_0(I) \subset A(I)$$

exist (conformal field theories which have the same SET)? More generally, one may wish to classify pairs of covariant nets

$$A(I) \subset B(I)$$

with trivial relative commutant ("chiral extension", the same SET), where either A is given (extensions) or B is given (subtheories).

More on this later.

## Back to two dimensions

A pair of chiral conformal QFTs arises in a 2D conformal QFT as subtheories of the generators of local symmetries, eg, diffeomorphisms in the case of the SET, gauge symmetries in the case of currents.

• Question: What is the field content of the 2D QFT beyond the chiral fields, or: which 2D conformal fields do these local symmetries act on?

This is another extension problem, similar to the previous one:

Doublecones in  $\overline{\mathbb{R}^{1,1}} \supset \mathbb{R}^{1,1}$  are Cartesian products  $O = I \otimes J$  of two chiral intervals. Then

$$A_+(I) \otimes A_-(J) \subset B(O)$$

where the pair of subtheories are embedded as a tensor product into the 2D algebra, because the vacuum correlations of fields from both subtheories factorize due to the cluster property.

# 3. Chiral constructions

Lect. 2

There is a large variety of standard constructions of new models from old ones.

- Nonabelian current algebras.
- Groups and subgroups.
- Tensor products.
- Relative commutants ("cosets").
- Fixpoints ("orbifolds").
- Algebraic constructions.

Nonabelian current algebras

We wish to construct the local net for currents satisfying

$$[j^{a}(x), j^{b}(y)] = i \sum_{c} f^{ab}_{c} j^{c}(x) \,\delta(x-y) + i \frac{g^{ab}}{2\pi} \,\delta'(x-y).$$

Start from an auxiliary CAR algebra (free fermions), which possesses a local gauge symmetry, and construct the local observables as unitary operators implementing this symmetry.

Let  $\mathcal{H}$  be a complex Hilbert space with an antiunitary involution  $\Gamma$ .  $\operatorname{Cliff}(\mathcal{H}, \Gamma)$  is the unique  $C^*$  algebra generated by  $f \mapsto B(f)$  linear  $(f \in \mathcal{H})$ , subject to

$$B(f)^* = B(\Gamma f), \qquad B(f)B(g) + B(g)B(f) = (\Gamma f, g) \cdot \mathbf{1}.$$

**Example:**  $\mathcal{H} = L^2(\mathbb{R}, \mathbb{C}^n \oplus \mathbb{C}^n)$  with  $\Gamma(f \oplus g) = \overline{g} \oplus \overline{f}$ . The Clifford algebra describes n complex free Fermi fields on the real line, by writing

$$B(f \oplus g) = \int dx \left(\sum_{i} \psi_i(x) f_i(x) + \psi_i^*(x) g_i(x)\right)$$

(in the sense of operator-valued distrib's), ie,  $\{\psi_i(x), \psi_j^*(y)\} = \delta_{ij}\delta(x-y)$  and  $\{\psi, \psi\} = 0$ .

Nonabelian current algebras (ct'd)

For a projection P on  $\mathcal{H}$  such that

$$\Gamma P \Gamma = \mathbf{1} - P,$$

a quasifree state on the Clifford algebra is given by

$$\omega_P(B(f)B(g)) := (\Gamma f, Pg).$$

In its GNS representation  $\pi_P$ , one has  $\pi_P(B(f)) = 0 = \pi_P(B(\Gamma f))$  if Pf = 0. One puts  $a(f) := \pi_P(B(\Gamma f))$  and  $a(f)^* := \pi_P(B(f))$  for  $f \in P\mathcal{H}$ , and obtains the CAR

$$\{a(f), a(g)\} = 0, \qquad \{a(f), a^*(g)\} = (f, g) \cdot \mathbf{1} \qquad \text{for} \quad f \in P\mathcal{H}.$$

They define the CAR algebra  $CAR(P\mathcal{H}) := \pi_P(Cliff(\mathcal{H}, \Gamma)).$ 

The vacuum state for the Fermi field is obtained by choosing P = projection to positive energy. It has the integral kernel  $\Delta(x - y) = \frac{-i}{2\pi(x - y - i\varepsilon)}$ .

#### Nonabelian current algebras (ct'd)

A unitary operator u on  $\mathcal{H}$  that commutes with  $\Gamma$  induces an automorphism  $\alpha_u$  of the Clifford algebra, and

$$\omega_P \circ \alpha_u = \omega_{u^*Pu}.$$

Representations  $\pi_P$  and  $\pi_{P'}$  are unitarily equivalent iff the difference P - P' is Hilbert-Schmidt. Hence  $\alpha_u$  is implemented in the representation  $\pi_P$  if [u, P] is Hilbert-Schmidt.

Gauge transformations are given by the unitary operators  $(u(f \oplus g))(x) = U(x)f(x) \oplus U(x)^*g(x)$ . The implementability condition is

$$\int dx \, dy \, \mathrm{Tr} \, |U(x) - U(y)|^2 |\Delta(x - y)|^2 < \infty.$$

Obviously, global gauge transformations (U = const) are implemented. Convergence requires that  $U(x) \rightarrow U_{\pm}$  as  $x \rightarrow \pm \infty$  with  $U_{+} = U_{-}$ . One may therefore regard implementable gauge transformations U as elements of the loop group LSU(n).

### Nonabelian current algebras (ct'd)

In particular, gauge transformations  $U \in L_I SU(n)$  which are trivial outside an interval I, are implementable. The implementing operators  $W_U$  are only determined up to a phase, which can be chosen such that

$$W_U W_V = e^{i\omega(f,g)/2} \cdot W_{UV}$$

is equivalent (Carey-Ruijsenaars) to the level k = 1 Kac-Moody algebra

$$[j(f), j(g)] = ij([f,g]) - \frac{i}{4\pi n} \oint dz \, g_{\mathrm{CK}}(f'(z), g(z)),$$

where  $W_U = e^{ij(f)} = e^{i \oint dz \hat{j^a}(z) f_a(z)}$  for  $U(z) = e^{i f_a(z)\tau^a}$ .

The corresponding local net is given by  $A(I) = \text{von Neumann algebra generated by } W_U$  for  $U \in L_I SU(n)$  (ie  $\operatorname{supp} U \subset I$ ). Its vacuum representation is the cyclic subrepresentation of the Fock vacuum for the auxiliary Fermi fields.

### Nonabelian current algebras (ct'd)

This net possesses automorphisms acting on  $W_U$  for  $U(z) = e^{if_a(z)\tau^a}$  as

$$\rho_h(W_U) = e^{-i\varphi_{h,U}} \cdot W_{hUh^{-1}}, \quad \varphi_{h,U} = \frac{1}{4\pi n} \oint dz \, g_{\mathrm{CK}}(\partial_z h h^{-1}, h f h^{-1})$$

where  $h: S^1 \to SU(n)/\mathbb{Z}_n$  ( $\mathbb{Z}_n$  = centre of SU(n)), hence representations  $\pi_h := \pi_0 \circ \rho_h$ .

If h lifts to a loop  $V : S^1 \to SU(n)$  of compact support,  $\rho_h$  is implemented by  $W_V$ .  $\Rightarrow \pi_{h_1} \sim \pi_{h_2}$  whenever  $h_1 h_2^{-1}$  lifts to a loop with compact support.

In particular,  $\pi_h$  is diffeomorphism covariant if  $(h \circ \gamma)h^{-1}$  lifts to a loop with compact support (for "small" diffeo's  $\gamma$ ); this is the case whenever h is constant outside an interval I.

 $\Rightarrow$  The unitary equivalence classes of diffeomorphism covariant representations are labelled by the elements of the centre  $\mathbb{Z}_n$  of SU(n).

These representations have positive energy (same argument as before).

# Groups and subgroups

$$H \subset G \quad \Rightarrow \quad LH \subset LG.$$

#### Example:

Level k > 1 representations  $(g^{ab} = (k/2n) \cdot g^{ab}_{CK}$  for SU(n)) are obtained by embedding G into  $G \times \cdots \times G$  "diagonally", ie, via  $g \mapsto (g, \ldots, g)$ , in particular

$$SU(n) \subset SU(n) \times \cdots \times SU(n) \subset SU(kn).$$

The level k net is generated by  $W_U$  for diagonally embedded loops U. In terms of fields:

$$J^{a}(x) = ((j^{a}(x) \otimes \mathbf{1} \otimes \dots) + \dots + (\dots \otimes \mathbf{1} \otimes j^{a}(x))).$$

# Tensor products

The tensor product of two chiral nets is defined as

$$A(I) = A_1(I) \otimes A_2(I).$$

Clearly, the implementors of diffeomorphisms also arise by tensoring. This means that the stress-energy tensor is additive:

$$T(x) = T_1(x) \otimes \mathbf{1} + \mathbf{1} \otimes T_2(x),$$

and so is the central charge:

 $c = c_1 + c_2$ .

Representations of the tensor product theory are tensor products of representations of  $A_i$ .

# Fixpoints ("orbifold construction")

If the net  ${\cal A}$  has some compact symmetry group that preserves all local algebras, one can pass to the fixpoint net

$$A^G(I) = A(I)^G.$$

If the vacuum state is invariant under G, then the vacuum representation is reducible for the fixpoint net. More precisely, the irreducible subrepresentations are in 1:1 correspondence with the unitary irreps of G, and arise with finite multiplicities equal to the dimension of the irreps.

### Examples:

- U(1) current (CCR), even subtheory.
- Kac-Moody models, fixpoints under global G.
- Tensor products of identical theories, fixpoints under permutations.

Relative commutants ("coset construction")

If a net A is contained in a net B, one may consider the net of relative commutants:

$$A^c(I) = B(I) \cap A(I)'.$$

- If A<sup>c</sup>(I) = C · 1 (then A and B have the same SET), we call A ⊂ B a "chiral extension" (≡ "conformal inclusion" if A and B arise from Lie groups)
  Examples: SU(2)<sub>10</sub> ⊂ SO(5)<sub>1</sub>, SU(2)<sub>28</sub> ⊂ (G<sub>2</sub>)<sub>1</sub>.
- Otherwise:  $A^c(I)$  defines the coset net. Then  $A \otimes A^c \subset B$  is a chiral extension. For the SET this means  $T_B = T_A \oplus T_{A^c}$ ,  $c_B = c_A + c_{A^c}$ .

#### Examples:

- Level k Kac-Moody  $\subset$  k-fold tensor product of level 1 (has a huge coset)
- $-SU(2)_{k+1} \subset SU(2)_k \otimes SU(2)_1 \Rightarrow \text{coset} = \text{Virasoro with } c = 1 \frac{6}{(k+2)(k+3)}.$

- Level-rank duality:  $SU(n)_k \otimes SU(k)_n \subset SU(kn)$  (are each other's cosets)

In general, a chiral extension  $A \otimes C \subset B$  defines chiral extensions  $A \subset C^c$ ,  $C \subset A^c$  such that  $C^c \otimes A^c \subset B$  are each other's cosets.

Algebraic constructions (no direct analogue in terms of fields)

- "Mirror extensions". See below.
- "Half-sided modular factorizations". (Guido-Longo-Wiesbrock): By the Bisognano-Wichmann property, the modular group associated with the pair  $(A(I), \Omega)$  coincides with the dilation subgroup  $\subset$  Möb preserving I. Splitting the circle into three intervals in clockwise order, the inclusions  $A(I_i) \subset A(I_{i+1 \mod 3})' = A(I'_{i+1 \mod 3})$  are half-sided modular (hsm), ie, the modular groups  $\sigma_t^{(i)}$  of each  $A(I'_{i+1 \mod 3})$  are contractions of  $A(I_i)$  for positive values of the parameter.

Conversely, each quadruple of three commuting algebras  $M_i$  and a joint cyclic and separating vector, such that  $M_i \subset M'_{i+1 \mod 3}$  are hsm, defines a local net as follows:

The three modular groups satisfy relations (Borchers) which generate the Möbius group. Applying the Möbius group to one of the three algebras, one obtains a Möbius covariant local net  $I \mapsto A(I)$  in the vacuum representation, in which  $M_i$  are the local algebras for three intervals as before. Positivity of the energy follows from the half-sided modular property.

# 4. Superselection sectors

- Representations and endomorphisms
- Intertwiners
- Conjugates
- Statistics
- Modular tensor category

# Representations and endomorphisms

Superselection (DHR) sectors are inequivalent positive-energy representations of the algebra of observables, ie of the net  $I \rightarrow A(I)$ .

They may arise by the branching of the vacuum representation of a larger net ("field algebra") into inequivalent rep'ns of the smaller net ("observables"): The "charged" fields create charged states, while the "neutral" observables cannot change the charge. This mechanism is the generic origin of superselections sectors in four spacetime dimensions, with charge = representation of a compact gauge group (Doplicher-Roberts).

- In low dimensional QFT, not all sectors are generated in this way.
- A general mechanism for the origin of sectors is not known.
- All DHR sectors of a CFT on the circle can be "detected" by inspection of the inclusion

$$A(I_1 \cup I_3) \subset A(I_2 \cup I_4)'$$

for any splitting of the circle into four intervals (see below). Namely, the larger algebra contains neutral elements which carry some charge in  $I_1$  and an opposite charge in  $I_3$ .

• More general theory: Net cohomology (Roberts, Ruzzi).

#### Representations and endomorphisms (ct'd)

A covariant representation of the net is a covariant and compatible collections of representations  $\pi_I$  of A(I) on  $\mathcal{H}_{\pi}$ .

A(I) factor  $\Rightarrow \pi_I$  is normal. Type  $III \Rightarrow$  locally equivalent to  $\pi_0 = \mathrm{id}$ :  $\pi_I = \mathrm{Ad}_{V_I}$ .

Choose  $I_0$ , and define for all I:

$$\rho_I(a) := Ad_{V_{I'_0}} \circ \pi_I(a).$$

• By construction,  $\rho_I$  is trivial for  $I \subset I'_0$ :

$$\rho_I(a) = a \quad \text{for} \quad a \in A(I),$$

• and by Haag duality,  $\rho_I$  is an endomorphism for  $I \supset I_0$ :

 $\rho_I(A(I)) \subset A(I).$ 

In particular,  $\rho$  is a localized endomorphism of the net on  $\mathbb{R} \subset S^1$ . Examples: automorphisms  $\rho_{\lambda}$  of CCR,  $\rho_h$  of current algebras.

## Intertwiners

(Doplicher-Haag-Roberts):

Intertwiners:  $t: \rho_1 \to \rho_2 \quad \Leftrightarrow \quad t\rho_1(a) = \rho_2(a)t \quad \Leftrightarrow \quad t \in \operatorname{Hom}(\rho_1, \rho_2).$ 

By Haag duality, intertwiners are local operators. Unitary intertwiners are "charge transporters", changing the interval of localization.

By locality, one obtains the structure of a  $C^*$  tensor category. The objects are representations (endomorphisms), the arrows are the intertwiners.

- Subrepresentations correspond to subobjects:  $\sigma \prec \rho$  iff there is  $t : \sigma \rightarrow \rho$ ,  $t^*t = 1$ , hence  $tt^*$  a projection in  $\operatorname{Hom}(\rho, \rho)$ .
- The product of objects is the composition of endomorphisms. This defines a product of representations ("fusion", much simpler than in the field-theoretic setup)!

# Conjugates

If  $\rho$  is an automorphism, such as the automorphisms of CCR and current algebras above), then its inverse is also a covariant representation.

Otherwise ( $\rho$  irreducible):  $\bar{\rho}$  irreducible is conjugate to  $\rho$  if  $id \prec \rho\bar{\rho}$ . In other words: the vacuum representation is a subrepresentation of the composition of  $\pi$  with  $\bar{\pi}$ .

If it exists, it is unique up to unitary equivalence.

- generalizes the inverse of automorphisms.
- gives rise to "left inverse" maps  $\phi_{\rho} \circ \rho = id$  of  $\rho$ , and conditional expectations  $\mu_{\rho} : A(I) \rightarrow \rho(A(I))$ .

Useful formula (Longo):

 $\bar{\rho}=j\circ\rho\circ j$ 

where  $\rho$  is localized in  $I \subset \mathbb{R}_+$ , j is the CPT transformation ( $\rightarrow$  modular theory) with  $j(A(\mathbb{R}_{\pm})) = A(\mathbb{R}_{\mp})$ , so that  $\bar{\rho}$  is localized in  $-I \subset \mathbb{R}_-$ .

# **Statistics**

(DHR, Fredenhagen-KHR-Schroer): If  $\rho_i$  are localized in disjoint intervals  $\subset \mathbb{R}$ , then  $\rho_1 \rho_2 = \rho_2 \rho_1$ . Since every representation is unitarily equivalent to an endomorphism localized in any interval of choice, in general there are distinguished unitary intertwiners ("statistics operators")  $\varepsilon_{\rho_1,\rho_2}: \rho_1 \rho_2 \to \rho_2 \rho_1$  whenever  $I_1, I_2 \subset \mathbb{R}$ .

Statistics operators satisfy "coherence relations" with intertwiners. They give rise to representations of the braid group  $B_{\infty}$ , and thus turn the sector category into a braided category.

A sector invariant:

- $\rho$  irreducible: "statistics parameter"  $\lambda_{\rho} := \mu(\varepsilon_{\rho,\rho}) \in \mathbb{C}$ .
- $(\lambda_{\rho} \neq 0, \kappa_{\rho} := \lambda_{\rho}/|\lambda_{\rho}|)$  Spin-Statistics Theorem (Guido-Longo):  $U_{\rho}(2\pi) = \kappa_{\rho} \cdot 1$ .
- $(d_{\rho} := 1/|\lambda_{\rho}|)$  Index Theorem (Longo):  $d_{\rho}^2 = [A(I) : \rho_I(A(I))]$  (finite iff conjugate exists).
- The statistical dimension  $d_{\rho} \in [1, \infty]$  is additive (under direct sums) and multiplicative (under compositions).  $d_{\rho} = 1$  for automorphisms. In general not an integer.

## Modular tensor category

Sectors = unitary equivalence classes of irreducible representations. Choose  $\rho_i$  = representatives of sector [i].

Further invariants:

- "Fusion rules"  $N_{ij}^k := \dim \operatorname{Hom}(\rho_i \rho_j, \rho_k).$
- (KHR, FRS) "Statistics characters"  $Y_{ij} = Y_{ji} := d_i d_j \cdot \mu_i \mu_j (\varepsilon_{\rho_i, \rho_j} \varepsilon_{\rho_j, \rho_i}) \in \mathbb{C}$ , satisfying

$$Y_{i\ell}Y_{j\ell} = \sum_k N_{ij}^k Y_{k\ell}.$$

(Related to "charge transport once around the circle").

- If  $(Y_{ij})$  is a nondegenerate matrix ("non-degenerate braiding"), then one can construct a matrix representation of  $SL(2,\mathbb{Z})$  out of these invariants.
- This property turns the sector category into a modular tensor category.

### Modular tensor category (ct'd)

(Kawahigashi-Longo-Müger): A net A is "completely rational" if it is strongly additive and split, and for any splitting of the circle into four intervals

$$\mu_A := [A(I_2 \cup I_4)' : A(I_1 \cup I_3)] < \infty.$$

(Established in many models, but there are also counter examples.) Then

• A has only finitely many sectors, all of them of finite dimension  $d_i$ , and

$$\mu_A = \sum d_i^2.$$

(See above: detection of all sectors via the four-interval subfactor.)

• The matrix Y is invertible, hence the category of superselection sectors is a modular tensor category.

Deep miracle:  $S = \text{representative of} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \in SL(2, \mathbb{Z}) \text{ relates } \operatorname{Tr} U_i(e^{-\beta L_0}) \text{ to } \operatorname{Tr} U_j(e^{-(4\pi^2/\beta)L_0})$ (low  $\leftrightarrow$  high temperature). The statistical dimension  $d_\rho$  controls the behaviour of  $\operatorname{Tr} U_i(e^{-\beta L_0})$ as  $\beta \to 0$ :  $d_{\rho_i} = \lim \operatorname{Tr} U_i(e^{-\beta L_0})/\operatorname{Tr} U_0(e^{-\beta L_0})$ .

# 5. Chiral extensions

Lect. 3

### • Extensions

- Subfactors
- Invariants
- Classifications
- Mirror extensions

## **Extensions**

For a given local net  $I \mapsto A(I)$  on the circle, we wish to characterize nets  $I \mapsto B(I)$  which contain A ("adding more fields"):

$$A(I) \subset B(I), \qquad A(I)' \cap B(I) = \mathbb{C} \cdot \mathbf{1},$$

such that B is relatively local, ie,  $B(I_1)$  commutes with  $A(I_2)$  if  $I_1$  and  $I_2$  are disjoint. (B possibly nonlocal.)

We assume that  $A(I) \subset B(I)$  is irreducible (trivial relative commutant). If B is local, this means that the coset net is trivial (chiral extension), and A and B have the same SET.

We also assume that  $A(I) \subset B(I)$  has finite index (see below, "finitely many charged fields"). This is automatic if A is completely rational (Izumi-Longo-Popa).

For the operator algebraic theory of chiral extensions, some preparation is needed:  $\longrightarrow$ 

# Subfactors

Let M and N type III factors. If  $N \subset M$ , the index [M : N] is the inverse of the largest constant  $\kappa \ge 0$  for which there is a conditional expectation  $\mu : M \to N$  bounded from below:

 $\mu(m^*m) \ge \kappa \cdot m^*m.$ 

The index is multiplicative in  $N \subset M \subset L$ .

A homomorphism  $\sigma: N \to M$  gives rise to a subfactor  $\sigma(N) \subset M$ . Conversely, every subfactor is given by a homomorphism, eg, the injection  $\iota: N \to M$  (= identical map  $n \mapsto n$ ).

For homomorphisms  $\sigma:N\to M,$  one defines intertwiners

 $M \ni t : \sigma_1 \to \sigma_2 \quad \Leftrightarrow \quad t \in \operatorname{Hom}(\sigma_1, \sigma_2) \quad \Leftrightarrow \quad t\sigma_1(n) = \sigma_2(n)t \quad \forall n \in N.$ 

- $\sigma : N \to M$  is irreducible iff  $\operatorname{Hom}(\sigma, \sigma) = \mathbb{C} \cdot \mathbf{1}$  iff  $\sigma(N)' \cap M = \mathbb{C} \cdot \mathbf{1}$ .
- σ<sub>1</sub> ~ σ<sub>2</sub> iff there is a unitary intertwiner t : σ<sub>1</sub> → σ<sub>2</sub>. With this, one can define equivalence classes (sectors) [σ] ∈ Sect(N, M).
- σ<sub>1</sub> ≺ σ<sub>2</sub> iff there is an isometric intertwiner t : σ<sub>1</sub> → σ<sub>2</sub>. With this, one can define the direct sum of sectors, and decomposition of a sector into irreducibles.
   For σ<sub>1</sub> irreducible, dim Hom(σ<sub>1</sub>, σ<sub>2</sub>) = multiplicity of σ<sub>1</sub> ≺ σ<sub>2</sub>.

### <u>Invariants</u>

- The dimension  $d_{\sigma} := [M : \sigma(N)]^{\frac{1}{2}} \in [1, \infty]$  is a sector invariant. The dimension is additive (under direct sums) and multiplicative (under composition). Quantized below 4 (Jones).
- For  $d_{\sigma} < \infty$ ,  $\bar{\sigma} : M \to N$  is conjugate to  $\sigma : N \to M$  if there is a pair of isometric intertwiners  $N \ni w : \mathrm{id}_N \to \bar{\sigma}\sigma$ ,  $M \ni v : \mathrm{id}_M \to \sigma\bar{\sigma}$ , satisfying relations

$$\sigma(w)^* v = d_{\sigma}^{-1} \cdot \mathbf{1}_M, \qquad \bar{\sigma}(v)^* w = d_{\sigma}^{-1} \cdot \mathbf{1}_N.$$

• For 
$$N \subset M$$
 and  $\iota : N \to M$  the injection, call  
 $- \gamma = \iota \circ \overline{\iota} : M \to M$  with  $\operatorname{id}_M \prec \gamma$  the canonical endomorphism  
 $- \theta = \overline{\iota} \circ \iota : N \to N$  with  $\operatorname{id}_N \prec \theta$  the (dual canonical endo).

• Q-system (Longo): Let  $w : id_N \to \theta$  and  $v : id_M \to \gamma$  a pair of isometries as before. Then  $N \ni w$  and  $N \ni x = \overline{\iota}(v) : \theta \to \theta^2$  satisfy

$$x^*w = x^*\theta(w) = d_{\theta}^{-\frac{1}{2}} \cdot 1_N, \quad xx = \theta(x)x, \quad xx^* = \theta(x^*)x.$$

The triple  $(\theta, w, x)$  (data only in N) is a complete invariant for  $N \subset M$ .

### Invariants (ct'd)

• Frobenius reciprocity: For finite index homo's  $\eta: N \to P$ ,  $\zeta: P \to M$ ,  $\sigma: N \to M$ 

 $\dim \operatorname{Hom}(\zeta \circ \eta, \sigma) = \dim \operatorname{Hom}(\eta, \overline{\zeta} \circ \sigma) = \dim \operatorname{Hom}(\zeta, \sigma \circ \overline{\eta}).$ 

- Principal graph (Bratteli diagram): Consider
  - $\quad \mathcal{X}_{MM} \subset \operatorname{Sect}(M, M) = \text{irreducible subsectors } \eta \prec (\sigma \bar{\sigma})^n : M \to M$
  - $\quad \mathcal{X}_{NM} \subset \operatorname{Sect}(N, M) = \operatorname{irreducible \ subsectors} \zeta \prec (\sigma \bar{\sigma})^n \sigma : N \to M.$

Two-partite graph with the number of edges given by  $\dim \operatorname{Hom}(\zeta, \eta \sigma) = \dim \operatorname{Hom}(\eta, \zeta \overline{\sigma}).$ 

- Dual principal graph: the same with  $\sigma \leftrightarrow \bar{\sigma}$ .
- Induced graph: For  $\iota:N\to M$  and  $\sigma:N\to N,$  consider
  - $\quad \mathcal{X}^0 \subset \operatorname{Sect}(N,M) = \operatorname{irreducible \ subsectors \ } \eta \prec \iota(\sigma\bar{\sigma})^n: N \to M$
  - $\quad \mathcal{X}^1 \subset \operatorname{Sect}(N,M) = \operatorname{irreducible \ subsectors \ } \zeta \prec \iota(\sigma\bar{\sigma})^n\sigma: N \to M.$

Two-partite graph with the number of edges given by  $\dim \operatorname{Hom}(\zeta, \eta \sigma) = \dim \operatorname{Hom}(\eta, \zeta \overline{\sigma}).$ 

• By Frobenius Theorem: The incidence matrices of the graphs have norm  $||I|| = d_{\sigma}$ (because  $\eta \sigma = \bigoplus I_{\eta,\zeta} \cdot \zeta \Rightarrow I_{\eta,\zeta} d_{\zeta} = d_{\eta\sigma} = d_{\sigma} \cdot d_{\eta}$  and similarly  $I_{\zeta,\eta}^t d_{\eta} = d_{\zeta\bar{\sigma}} = d_{\sigma} \cdot d_{\zeta}$ ).

#### Extensions (resumed)

For a fixed  $I_0$ , let  $\iota : A(I_0) \to B(I_0)$  be the inclusion homomorphism, and  $\overline{\iota} : B(I_0) \to A(I_0)$ its conjugate,  $\gamma : B(I_0) \to B(I_0)$  and  $\theta : A(I_0) \to A(I_0)$  the canonical and dual canonical endo's.

- (Longo-KHR) By relative locality,  $\theta$  extends to a localized endomorphism of the net A.
- The reducible superselection sector  $[\theta]$  of A is a first invariant for the extension  $A \subset B$ .
- $\pi_0 \circ \theta$  is the vacuum representation of B, as a rep'n of the subtheory A: thus  $\theta$  specifies the Hilbert space of B, and the "charges" of the fields in B.
- The "DHR triple"  $(\theta, w, x)$  (= Q-system, but  $\theta$  regarded as localized endomorphism of A, and w, x as global intertwiners) is a complete invariant for the extended net  $A \subset B$ .
- The net B(I) can be reconstructed from these data. It contains "charged fields"  $\psi_i \in B$  for each subsector  $\rho_i$  of  $\theta$ , such that  $\psi_i a = \rho_i(a)\psi_i$ . The multiplication and conjugation of charged fields is determined by the data  $(\theta, w, x)$ .
- B is local iff  $\varepsilon_{\theta,\theta} x = x$ .

## **Classifications**

Consider the induced graphs ( $\iota\sigma\sigma\sigma\sigma\dots$ ) for  $\iota: A \to B$  and  $\sigma$  a localized endomorphism of A. Its incidence matrix has norm  $d_{\sigma}$ . If  $d_{\sigma} < 2$ , this must be an A or D or E graph.

• This is useful for classification of chiral extensions of Virasoro nets with  $c = 1 - \frac{6}{(m+1)(m+2)}$ , because these have two independent DHR sectors of dimension  $2\cos\frac{\pi}{m+1}, 2\cos\frac{\pi}{m+2} < 2 \Rightarrow$  classification in terms of pairs of A-D-E graphs with Coxeter numbers m, m + 1.

Recall that  $[\theta]$  is also a DHR sector. Thus, if  $\theta$  is known (and the fusion rules of A), these graphs can be computed via Frobenius reciprocity: dim Hom $(\iota \rho_1, \iota \rho_2) = \dim Hom(\theta \rho_1, \rho_2)$  gives info about the number of subsectors of  $\iota \rho$ , their multiplicities, and their distinctness.

• Need to know the admissible dual canonical endo's  $\theta$ !

This requires more detailed analysis, exploiting the locality properties:  $\longrightarrow$ 

#### Classifications (ct'd)

 $DHR(A) \subset End(A)$  the DHR localized endomorphisms (positive-energy representations) of the net A.

• Induction (Roberts, Longo-KHR, Xu): two functorial prescriptions  $\alpha^{\pm}$  : DHR(A)  $\rightarrow$  End(B) such that  $\alpha_{\rho}^{\pm}\iota = \iota\rho$  (ie,  $\alpha_{\rho}$  equals  $\rho$  on elements of A):

$$\alpha_{\rho}^{\pm} = \bar{\iota}^{-1} \circ \operatorname{Ad}_{\varepsilon^{\pm}(\rho,\theta)} \circ \rho \circ \bar{\iota}.$$

- Restriction:  $\sigma_{\beta} = \bar{\iota}\beta\iota \in DHR(A)$  for  $\beta \in DHR(B)$  ( $\Rightarrow$  branching of superselection sectors).
- $\alpha$ - $\sigma$ -reciprocity (Xu, Böckenhauer-Evans):

 $\dim \operatorname{Hom}(\alpha_{\rho}^{\pm}\gamma,\beta) = \dim \operatorname{Hom}(\rho,\sigma_{\beta}) = \dim \operatorname{Hom}(\alpha_{\rho}^{\pm},\beta)$ 

for  $\rho \in \text{DHR}(A)$  and  $\beta \in \text{DHR}(B)$  (if B is local). The first equality is just Frobenius reciprocity. Thus,  $\text{id}_B \prec \gamma$  is the only irreducible sub-endo of  $\gamma$ , that can be contained in any  $\alpha_{\rho}^{\pm} \in \text{End}(B)$  or in any  $\beta \in \text{DHR}(B)$ .

#### Classifications (ct'd)

- Common subendo's of  $\alpha_{\rho}^+$  and  $\alpha_{\sigma}^-$  belong to DHR(B).
- (Xu, Böckenhauer-Evans-Kawahigashi) The matrix  $Z_{\rho,\sigma} := \dim \operatorname{Hom}(\alpha_{\rho}^+, \alpha_{\sigma}^-)$  is a modular invariant wrt to the  $SL(2, \mathbb{Z})$  of the modular tensor category of A.
- If B is local, the "zero column" of Z ( $\rho_0 = id = vacuum sector$ ) gives the multiplicities of  $\sigma \prec \theta$ , ie  $\theta = \bigoplus Z_{0,\sigma} \cdot \sigma$ .

A classification of modular invariant matrices Z with nonnegative integer entries (eg, Cappelli-Itzykson-Zuber) gives a list of possible dual canonical endomorphisms  $\theta$ .

- $\Rightarrow$  Complete classification of local extensions of Virasoro nets with c < 1 (Kawahigashi-Longo):  $(A_m, A_{m+1})$ ,  $(A_{4n-4}, D_{2n})$ ,  $(D_{2n}, A_{4n-2})$ ,  $(A_{10}, E_6)$ ,  $(E_6, A_{12})$ ,  $(A_{28}, E_8)$ ,  $(E_8, A_{30})$ . Only  $D_{\text{even}}$  and  $E_{\text{even}}$  occur.
- $(A_{28}, E_8)$  at  $c = \frac{144}{145}$  cannot be produced by known field-theoretic methods.

## Mirror extensions

Suppose that two nets A and C arise as each other's cosets (relative commutants) within some net B:

$$A(I) \otimes C(I) \subset B(I).$$

Then the dual canonical endomorphism associated with  $\iota:A\otimes C\to B$  is of the form

$$\theta = \bigoplus_i \rho_{A,i} \otimes \rho_{C,i}$$

with a bijection between the superselection sectors  $[\rho_A]$  of A and  $[\rho_C]$  of C (KHR). This bijection is actually part of an isomorphism (with opposite braiding) between the superselection categories (Müger?).

This implies that every DHR triple  $(\theta_A, w_A, x_A)$  of A is isomorphic to a DHR triple  $(\theta_C, w_C, x_C)$  of C, and there is a bijection between local extensions of A and local extensions of C (Xu).

- By this, one can construct local extensions of a coset theory  $A^c$ , in terms of those of A.
- Among others, the one "new" example of the KL classification at  $c = \frac{144}{145}$  arises in this way.

#### Mirror extensions (ct'd)

The exceptional Virasoro extensions arise in pairs:

- $(E_6, A_{12})$  at  $c = \frac{25}{26}$ , and  $(A_{10}, E_6)$  at  $c = \frac{21}{22}$ ;
- $(E_8, A_{30})$  at  $c = \frac{154}{155}$ , and  $(A_{28}, E_8)$  at  $c = \frac{144}{145}$ .

The first entry in each pair arises via subgroups and cosets, while the second arises as a mirror:



The inclusions for the second pair look the same, with  $SU(2)_{10} \subset SO(5)_1$  replaced by  $SU(2)_{28} \subset (G_2)_1$ .

6. From chiral CFT to two dimensions

Remember the previous question:

What is the field content of a 2D QFT beyond the chiral fields? Extension problem:

 $A_+(I) \otimes A_-(J) \subset B_{2\mathrm{D}}(O).$ 

- $\bullet$  Classification for c<1
- Direct construction
- Boundary CFT
- Removing the boundary

Classification for c < 1

- 2D local extensions of  $Vir(c) \otimes Vir(c)$  for c < 1 can be classified in terms of " $\iota\sigma$ -graphs" (Kawahigashi-Longo), as in the chiral case.
- The classification of maximal extensions is again in terms of pairs of A-D-E graphs (allowing  $D_{\text{odd}}$  and  $E_{\text{odd}}$ ).
- For the uniqueness (for a given pair of graphs), a nontrivial cohomological problem must be solved.
- Each maximal extension has a finite number of intermediate nonmaximal extensions.

## **Direct construction**

A local extension  $A \otimes A \subset B_{2D}$  is characterized by its DHR triple

 $(\Theta, W\!, X)$ 

where  $\Theta \in DHR(A \otimes A) = DHR(A) \otimes DHR(A)$ , and W, X isometric intertwiners subject to relations (as above).

• (Longo-KHR) There is a "universal" solution (hence a local 2D ACFT) with

$$\Theta = \bigoplus \rho \otimes \bar{\rho}.$$

In particular, every chiral sector arises from this 2D extension. (Remember the question about the "origin of sectors" in low-dim QFT!)

• (KHR) For every nonlocal chiral extension  $A \subset B$  there is a solution (hence a local 2D ACFT) with

$$\Theta = \bigoplus Z_{\rho,\sigma} \cdot \rho \otimes \bar{\sigma},$$

where  $Z_{\rho,\sigma} := \dim \operatorname{Hom}(\alpha_{\rho}^+, \alpha_{\sigma}^-)$  (constructed using  $\alpha$ -induction).

Alternative construction "via boundary CFT".  $\rightarrow \rightarrow$ 

# Boundary CFT

Given A a local chiral net (completely rational), and  $A \subset B$  a chiral extension (relatively local but possibly nonlocal).

Define

$$B_+(O) := B(K)' \cap B(L).$$

This defines a Haag dual Möbius covariant local net on the halfspace x > 0, containing

 $A(I) \lor A(J) \subset B_+(O).$ 

Its "restriction" to the boundary (= time axis) gives back the nonlocal chiral net B, namely

$$B(L) = B_+(W_L).$$



Explains why local n-point correlations behave like nonlocal chiral 2n-point correlations (Cardy):

$$f(t_1 + x_1, t_1 - x_1, \dots, t_n + x_m, t_n - x_n).$$

Namely, bulk fields are products of charged chiral fields in I and oppositely charged fields in J.

### Removing the boundary

- Pick a doublecone  $O_0 = I_0 \times J_0$  in the halfspace.
- Exploit the split isomorphism  $A(I_0 \cup J_0) \sim A(I_0) \otimes A(J_0)$  to find a state  $\xi$  on  $A(I_0) \lor A(J_0)$ such that  $\xi(a_I a_J) = \omega(a_I)\omega(a_J)$ .
- Extend the state to a state  $\hat{\xi}$  on  $B_+(O_0)$  by the unique conditional expectation.
- Construct the GNS Hilbert space  $\mathcal{H}$  for  $\hat{\xi}$ .
- Use the modular groups for the subalgebras  $A(I_1)$  ( $I_1 \subset I_0$ ) and  $A(J_1)$  ( $J_1 \subset J_0$ ) to generate a unitary representation of Möb × Möb on  $\mathcal{H}$ .
- Define a net  $B_{2D}$  on  $\mathcal{H}$  by transporting  $B_{2D}(O_0) := B_+(O_0)$  with Möb  $\times$  Möb.
- The 2D vacuum state  $\omega_{2D} = (\Omega_{\hat{\xi}}, \cdot \Omega_{\hat{\xi}})$  on  $B_{2D}$  can also be obtained as a limit of the chiral vacuum state  $\omega \circ \beta_a$ , where  $a \in \text{M\"ob} \otimes \text{M\"ob}$  are the spacelike shifts  $x \mapsto x + a$ , as  $a \to \infty$ .

This construction gives the same result as the  $\alpha$ -induction construction, but appears physically more transparent. Interesting question: why does one need the "boundary as a catalyser"?

Generating the boundary CFT with the trivial extension B = A, one gets the "universal" 2D theory with  $\Theta = \bigoplus \rho \otimes \overline{\rho}$  ("Cardy case").