

**ORBIT STRUCTURE OF A DISTINGUISHED INVARIANT,
STEIN DOMAIN IN THE COMPLEXIFICATION OF A
HERMITIAN SYMMETRIC SPACE**

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ABSTRACT. We carry out a detailed study of Ξ^+ , a distinguished G -invariant Stein domain in the complexification of an irreducible Hermitian symmetric space G/K . The domain Ξ^+ contains the crown domain Ξ and is naturally diffeomorphic to the anti-holomorphic tangent bundle of G/K . The unipotent parametrization of Ξ^+ introduced in [KrOp08] and [Kro08] suggests that Ξ^+ also admits the structure of a twisted bundle $G \times_K \mathcal{N}^+$, with fiber a nilpotent cone \mathcal{N}^+ . Here we give a complete proof of this fact and use it to describe the G -orbit structure of Ξ^+ via the K -orbit structure of \mathcal{N}^+ . In the tube case, we also single out a Stein, G -invariant domain contained in $\Xi^+ \setminus \Xi$ which is relevant in the classification of envelopes of holomorphy of invariant subdomains of Ξ^+ .

1. INTRODUCTION

Let G/K be a non-compact, irreducible, Riemannian symmetric space. Its Lie group complexification $G^{\mathbb{C}}/K^{\mathbb{C}}$ is a Stein manifold and left translations by elements of G are holomorphic transformations of $G^{\mathbb{C}}/K^{\mathbb{C}}$. In [AkGi90], Akhiezer and Gindikin introduced the crown domain Ξ in $G^{\mathbb{C}}/K^{\mathbb{C}}$, with the aim of determining a complex G -manifold whose analytic properties would reflect the harmonic analysis of G/K and the representation theory of G . Since then its complex analytic properties have been extensively studied by several authors.

In the Hermitian case, Krötz and Opdam recently introduced two Stein G -invariant domains Ξ^+ and Ξ^- in $G^{\mathbb{C}}/K^{\mathbb{C}}$, with $\Xi^+ \cap \Xi^- = \Xi$, which are maximal with respect to properness of the G -action on $G^{\mathbb{C}}/K^{\mathbb{C}}$. The relevance of Ξ and of the domains Ξ^+ and Ξ^- for the representation theory of G was underlined in Theorem 1.1 in [Kro08]. Here we carry out a detailed analysis of the G -orbit structure of the domain Ξ^+ . Since Ξ^+ and Ξ^- are G -equivariantly anti-biholomorphic, such analysis applies to Ξ^- as well.

Let G/K be an irreducible Hermitian symmetric space and let $G^{\mathbb{C}}/Q$ be its compact dual symmetric space, which is denoted by $\overline{G^{\mathbb{C}}/Q}$ when endowed with the opposite complex structure. The complexification $G^{\mathbb{C}}/K^{\mathbb{C}}$ admits an equivariant holomorphic embedding as the open dense $G^{\mathbb{C}}$ -orbit

$$G^{\mathbb{C}}/K^{\mathbb{C}} \cong G^{\mathbb{C}} \cdot x_0 \subset G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}$$

through $x_0 := (eQ, eQ) \in G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}$, with the $G^{\mathbb{C}}$ -action defined by

$$g \cdot (x, y) := (g \cdot x, \sigma(g) \cdot y).$$

Here σ denotes the conjugation of $G^{\mathbb{C}}$ with respect to G . Let $\pi_1 : G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q} \rightarrow G^{\mathbb{C}}/Q$ be the projection onto the first factor. The G -invariant domain Ξ^+ is defined by

$$\Xi^+ := (\pi_1)^{-1}(D) \cap G^{\mathbb{C}} \cdot x_0,$$

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where $D := G \cdot eQ$ is the Borel embedding of G/K in $G^{\mathbb{C}}/Q$. It contains the crown domain as the subset $D \times \bar{D}$ and the G -action on Ξ^+ is proper.

The above definition leads to a natural G -equivariant diffeomorphism between the anti-holomorphic tangent bundle of G/K and Ξ^+ , via the map

$$G \times_K \mathfrak{p}^{0,1} \rightarrow \Xi^+, \quad [g, Z] \mapsto g \exp Z \cdot x_0.$$

Also note that Ξ^+ and $\Xi^- := \pi_2^{-1}(\bar{D}) \cap G^{\mathbb{C}} \cdot x_0$ are G -equivariantly anti-biholomorphic, since the G -equivariant anti-biholomorphism

$$G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q} \rightarrow G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}, \quad (x, y) \rightarrow (y, x),$$

maps Ξ^+ onto Ξ^- .

An alternative construction of the domain Ξ^+ was given in [Kro08] and [KrOp08], via its unipotent parametrization. In the notation of Section 2, let $\lambda_1, \dots, \lambda_r$ be long strongly orthogonal real restricted roots, and let $E_j \in \mathfrak{g}^{\lambda_j}$, for $j = 1, \dots, r$, be root vectors normalized as in (5) and Definition 2.2. Consider the closed hyperoctant

$$\Lambda_r^{\pm} := \text{span}_{\mathbb{R}_{\geq 0}} \{E_1, \dots, E_r\}$$

and the subcone $\mathcal{N}^+ := \text{Ad}_K \Lambda_r^{\pm}$ of the nilpotent cone of \mathfrak{g} . Then

$$\Xi^+ = G \exp i \bigoplus_j (-1, \infty) E_j \cdot x_0 = G \exp i \Lambda_r^{\pm} \cdot x_0.$$

It was also suggested that the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \mapsto g \exp iX \cdot x_0$$

is a G -equivariant homeomorphism.

The first goal in this paper is to give a complete and selfcontained proof of this fact. The main difficulty is to show that the map ψ is open. This is not a priori obvious because at every point in the slice $\exp i \Lambda_r^{\pm} \cdot x_0 \subset \Xi^+$, lying on a singular G -orbit, the tangent spaces to the orbit and to the slice itself do not span the whole tangent space to Ξ^+ .

Consider the K -invariant fiber $P := \exp \mathfrak{p}^{0,1} \cdot x_0$ in the domain $\Xi^+ \cong G \times_K \mathfrak{p}^{0,1}$. We first use a topological argument (Lemma 5.2) to show that our goal is equivalent to show that the projection

$$\Lambda_r^{\pm} \rightarrow P/K, \quad X \mapsto G \exp iX \cdot x_0 \cap P,$$

is proper. Next, we check that such a projection is proper by using a novel decomposition inside $G^{\mathbb{C}}$ relating a unipotent element $\exp iX$, with $X \in \Lambda_r^{\pm}$, to an element in $\exp Z K^{\mathbb{C}}$, with $Z \in \mathfrak{p}^{0,1}$, lying on the same G -orbit (see Lemma 5.5 and Thm. 5.7). Possibly, a similar argument leads to a characterization of smooth twisted bundles in the context of proper G -actions on differentiable manifolds considered by R. S. Palais and C.-L. Terng in [PaTe87].

In view of the bundle structure defined by ψ , the G -orbit structure of Ξ^+ is completely determined by the Ad_K -orbit structure of the nilpotent cone \mathcal{N}^+ . In Section 6 we show that a fundamental domain for the action of the Weyl group $W_K(\Lambda_r^{\pm})$ on the hyperoctant Λ_r^{\pm} is a perfect slice for the K -action on the cone \mathcal{N}^+ and hence it determines a perfect slice for the G -action on Ξ^+ . Moreover, one has a one-to-one correspondence between the orbit strata of the $W_K(\Lambda_r^{\pm})$ -action on the closed hyperoctant Λ_r^{\pm} and the orbit strata of the G -action on Ξ^+ .

The second goal of the paper is to describe some G -invariant subdomains of Ξ^+ which are relevant for a classification of envelopes of holomorphy of G -invariant subdomains of Ξ^+ . It was observed in [GeIa08] that in the rank-one case, beside the crown Ξ , the domain Ξ^+ contains another distinguished G -invariant subdomain with the peculiarity that its boundary contains no principal G -orbits of $G^{\mathbb{C}}/K^{\mathbb{C}}$ (i.e. closed orbits of maximal dimension).

In the tube case $SL(2, \mathbb{R})/SO(2, \mathbb{R})$, such a subdomain S^+ arises from the compactly causal structure of a symmetric G -orbit in the semisimple boundary of Ξ and it is Stein. It turns out that every Stein, invariant, proper subdomain of Ξ^+ is either contained in Ξ or in S^+ . In the non-tube case $SU(n, 1)/U(n)$, such a subdomain Ω^+ is not Stein and contains no invariant Stein subdomains. It follows that every Stein, invariant, proper subdomain of Ξ^+ is contained in Ξ .

Here we prove that the domains S^+ and Ω^+ have higher rank analogues inside Ξ^+ . In a forthcoming paper we will show that, like in the rank-one case, every Stein invariant proper subdomain of Ξ^+ is contained either in Ξ or in S^+ , in the tube case, while it is contained in Ξ in the non-tube case. We will also characterize the envelopes of holomorphy of G -invariant domains in Ξ^+ .

The paper is organized as follows. In Section 2 we set up the notation and collect some basic facts about Hermitian symmetric spaces. In Section 3 we recall the definition of the domain Ξ^+ and of its unipotent model. In Section 4 we define the Weyl group $W_K(\Lambda_r^\pm)$ of the cone Λ_r^\pm and relate it to the Weyl group $W_K(\mathfrak{a})$. In Section 5 we prove that the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \mapsto g \exp iX \cdot x_0$$

is a G -equivariant homeomorphism. In Section 6 we give an alternative proof of the above fact for the symmetric spaces $SL(2, \mathbb{R})/SO(2, \mathbb{R})$ and $Sp(2, \mathbb{R})/U(2)$, by using global G -invariant functions on $G^\mathbb{C}/K^\mathbb{C}$. In Section 7 we study the G -orbit structure of Ξ^+ by means of the Ad_K -orbit structure of Λ_r^\pm . Finally, in Section 8 we determine some distinguished G -invariant domains in Ξ^+ .

2. PRELIMINARIES

Let G/K be an irreducible Hermitian symmetric space of the non-compact type. We may assume G to be a connected, non-compact, real simple Lie group contained in its simple, simply connected universal complexification $G^\mathbb{C}$, and K to be a maximal compact subgroup of G . Denote by \mathfrak{g} and \mathfrak{k} the Lie algebras of G and K respectively. Denote by θ both the Cartan involution of G with respect to K and the derived involution of \mathfrak{g} . Let $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ be the corresponding Cartan decomposition. Let \mathfrak{a} be a maximal abelian subspace in \mathfrak{p} . The *rank* of G/K is by definition $r = \dim \mathfrak{a}$. The adjoint action of \mathfrak{a} decomposes \mathfrak{g} as

$$\mathfrak{g} = \mathfrak{a} \oplus Z_{\mathfrak{k}}(\mathfrak{a}) \oplus \bigoplus_{\alpha \in \Delta(\mathfrak{g}, \mathfrak{a})} \mathfrak{g}^\alpha,$$

where $Z_{\mathfrak{k}}(\mathfrak{a})$ is the centralizer of \mathfrak{a} in \mathfrak{k} , the joint eigenspace $\mathfrak{g}^\alpha = \{X \in \mathfrak{g} \mid [H, X] = \alpha(H)X, H \in \mathfrak{a}\}$ is the α -restricted root space and $\Delta(\mathfrak{g}, \mathfrak{a})$ consists of those $\alpha \in \mathfrak{a}^*$ for which $\mathfrak{g}^\alpha \neq \{0\}$. A set of simple roots $\Pi_{\mathfrak{a}}$ in $\Delta(\mathfrak{g}, \mathfrak{a})$ uniquely determines a set of positive restricted roots $\Delta^+(\mathfrak{g}, \mathfrak{a})$ and an Iwasawa decomposition of \mathfrak{g}

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}, \quad \text{where } \mathfrak{n} = \bigoplus_{\alpha \in \Delta^+(\mathfrak{g}, \mathfrak{a})} \mathfrak{g}^\alpha.$$

The restricted root system of a Lie algebra \mathfrak{g} of Hermitian type is either of type C_r (if G/K is of tube type) or of type BC_r (if G/K is not of tube type) (cf. [Moo64]), i.e. there exists a basis $\{e_1, \dots, e_r\}$ of \mathfrak{a}^* for which

$$\Delta(\mathfrak{g}, \mathfrak{a}) = \{\pm 2e_j, 1 \leq j \leq r, \pm e_j \pm e_k, 1 \leq j \neq k \leq r\}, \quad \text{for type } C_r,$$

$$\Delta(\mathfrak{g}, \mathfrak{a}) = \{\pm e_j, \pm 2e_j, 1 \leq j \leq r, \pm e_j \pm e_k, 1 \leq j \neq k \leq r\}, \quad \text{for type } BC_r.$$

Since \mathfrak{g} admits a compact Cartan subalgebra $\mathfrak{t} \subset \mathfrak{k} \subset \mathfrak{g}$, there exists a set of r long strongly orthogonal restricted roots $\{\lambda_1, \dots, \lambda_r\}$ (such that $\lambda_j \pm \lambda_k \notin \Delta(\mathfrak{g}, \mathfrak{a})$, for

$j \neq k$), which are restrictions of *real* roots with respect to a maximally split θ -stable Cartan subalgebra \mathfrak{l} of \mathfrak{g} extending \mathfrak{a} . Choosing as simple roots

$$\Pi_{\mathfrak{a}} = \{e_1 - e_2, \dots, e_{r-1} - e_r, 2e_r\}, \quad \text{for type } C_r, \quad (1)$$

$$\Pi_{\mathfrak{a}} = \{e_1 - e_2, \dots, e_{r-1} - e_r, e_r\}, \quad \text{for type } BC_r. \quad (2)$$

one has

$$\lambda_1 = 2e_2, \dots, \lambda_r = 2e_r. \quad (3)$$

In both cases, the Weyl group $W_K(\mathfrak{a}) = N_K(\mathfrak{a})/Z_K(\mathfrak{a})$ is isomorphic to the group of signed permutations of $\{e_1, \dots, e_r\}$, and therefore of $\{\lambda_1, \dots, \lambda_r\}$. Denote by $W_K(\mathfrak{a})^+$ the subgroup of $W_K(\mathfrak{a})$ isomorphic to the the group of ordinary permutations of $\{e_1, \dots, e_r\}$ (it is the subgroup generated by the reflections in the first $r-1$ simple restricted roots). Let $\{A_1, \dots, A_r\}$ be the dual basis of $\{e_1, \dots, e_r\}$. The action of $W_K(\mathfrak{a})$ and of $W_K(\mathfrak{a})^+$ on \mathfrak{a} is by signed permutations and by ordinary permutations of $\{A_1, \dots, A_r\}$, respectively.

For $j = 1, \dots, r$, choose $E_j \in \mathfrak{g}^{\lambda_j}$ such that the $\mathfrak{sl}(2)$ -triple

$$\{E_j, \theta E_j, A_j := [\theta E_j, E_j]\} \quad (4)$$

is normalized as follows

$$[A_j, E_j] = 2E_j, \quad [A_j, \theta E_j] = -2\theta E_j. \quad (5)$$

Since the roots $\{\lambda_1, \dots, \lambda_r\}$ are strongly orthogonal and \mathfrak{g} admits a compact Cartan subalgebra, the vectors $\{A_1, \dots, A_r\}$ form an orthogonal basis of \mathfrak{a} (with respect to the restriction of the Killing form) and

$$[E_j, E_k] = [E_j, \theta E_k] = 0, \quad [A_j, E_k] = \lambda_k(A_j)E_k = 0, \quad \text{for } j \neq k. \quad (6)$$

In other words, the above $\mathfrak{sl}(2)$ -triples commute with each other.

Observe that relations (5) and (4) determine the vectors E_j only up to sign, while on the other hand the vectors A_j are independent of those signs. Next, we are going to show that, *once a complex structure J_0 of G/K is fixed, there is a unique choice of the vectors E_j , which is compatible with J_0* (see Definition 2.2 below).

Identify \mathfrak{p} with the tangent space to G/K at the base point eK . An invariant complex structure on G/K is uniquely determined by its restriction to \mathfrak{p} , and it is given by $J_0 := \text{ad}_{Z_0}|_{\mathfrak{p}}$, where Z_0 is an element in the one-dimensional center of \mathfrak{k} . Once a complex structure is fixed, one can show that J_0 and $-J_0$ are the only invariant complex structures on G/K .

Let $\mathfrak{t} \subset \mathfrak{k}$ be a compact Cartan subalgebra of \mathfrak{g} and let $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{t}^{\mathbb{C}})$ denote the root system of $\mathfrak{g}^{\mathbb{C}}$ under the adjoint action by $\mathfrak{t}^{\mathbb{C}}$. A root $\alpha \in \Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{t}^{\mathbb{C}})$ is said to be *compact* if the root space \mathfrak{g}^{α} lies in $\mathfrak{k}^{\mathbb{C}}$ and *non-compact* if it lies in $\mathfrak{p}^{\mathbb{C}}$. There is a choice of positive roots in $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{t}^{\mathbb{C}})$ for which the positive non-compact roots satisfy $\alpha(-iZ_0) = 1$ (see [KoWo65]).

Under the above choice, the holomorphic tangent space

$$\mathfrak{p}^{1,0} = \{W \in \mathfrak{p}^{\mathbb{C}} \mid J_0(W) = iW\}$$

is spanned by the root spaces of the non-compact positive roots.

Now, to the vectors $\{E_1, \dots, E_r\}$ one can associate a compact Cartan subalgebra of \mathfrak{g}

$$\mathfrak{t} = \mathfrak{s} \oplus \mathfrak{c},$$

where $\mathfrak{c} := \text{span}_{\mathbb{R}}\{T_1, \dots, T_r\}$, with $T_j := E_j + \theta E_j$, and \mathfrak{s} is a Cartan subalgebra of $Z_{\mathfrak{t}}(\mathfrak{a})$, and vectors in $\mathfrak{p}^{\mathbb{C}}$

$$W_j := \frac{1}{2}((E_j - \theta E_j) - iA_j), \quad W_{-j} = \overline{W_j}. \quad (7)$$

Lemma 2.1.

- (i) For $j = 1, \dots, r$ the triples $\{W_j, W_{-j}, T_j\}$ generate r commuting complex Lie subalgebras of $\mathfrak{g}^{\mathbb{C}}$, isomorphic to $\mathfrak{sl}(2, \mathbb{C})$.
- (ii) For $j = 1, \dots, r$, the vectors W_j span the root spaces $\mathfrak{g}^{\tilde{\lambda}_j}$, where $\tilde{\lambda}_1, \dots, \tilde{\lambda}_r$ are the strongly orthogonal, non-compact, imaginary roots in $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{t}^{\mathbb{C}})$, defined by

$$\begin{aligned}\tilde{\lambda}_j(T_j) &= 2i \\ \tilde{\lambda}_j(T_k) &= 0 \quad \text{if } j \neq k \\ \tilde{\lambda}_j|_{\mathfrak{s}} &= 0.\end{aligned}$$

Proof. (i) One can easily verify that for $j = 1, \dots, r$

$$[T_j, W_j] = 2iW_j, \quad [T_j, W_{-j}] = -2iW_{-j}, \quad [W_j, W_{-j}] = -iT_j, \quad (8)$$

and for $j \neq k$

$$[W_j, W_k] = [W_j, W_{-k}] = 0, \quad [T_j, W_k] = [T_j, W_{-k}] = 0. \quad (9)$$

(ii) Since $Z_{\mathfrak{t}}(\mathfrak{a})$ acts trivially on the *one-dimensional* restricted root spaces $\mathfrak{g}^{\pm\lambda_j}$, for every $S \in \mathfrak{s}$ one has

$$[S, W_j] = [S, W_{-j}] = 0, \quad j = 1, \dots, r.$$

This, together with relations (8) and (9), shows that the $W_{\pm j}$ span the root spaces $\mathfrak{g}^{\pm\tilde{\lambda}_j}$ for the adjoint action of $\mathfrak{t}^{\mathbb{C}}$ on $\mathfrak{g}^{\mathbb{C}}$. Moreover, the roots $\tilde{\lambda}_1, \dots, \tilde{\lambda}_r$ are strongly orthogonal in $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{t}^{\mathbb{C}})$, and they are imaginary (i.e. they assume purely imaginary values on \mathfrak{t}). Finally, they are non-compact roots, since the root vectors $W_{\pm j}$ lie in $\mathfrak{p}^{\mathbb{C}}$. \square

Definition 2.2. We say that the choice of the vectors E_j is compatible with the complex structure J_0 if one of the following equivalent sets of conditions is fulfilled

- (i) $\lambda_j(-iZ_0) = 1$,
 - (ii) $[-iZ_0, W_j] = W_j$,
 - (iii) $W_j \in \mathfrak{p}^{1,0}$,
- for all $j = 1, \dots, r$.

Remark 2.3. Observe that changing the sign of a vector E_j corresponds to changing the sign of $T_j = E_j + \theta E_j$ and likewise of the root $\tilde{\lambda}_j$. As a result, the vector

$$\frac{1}{2}((-E_j - (-\theta E_j)) - iA_j) \in \mathfrak{g}^{-\tilde{\lambda}_j}$$

no longer lies in $\mathfrak{p}^{1,0}$.

We conclude this discussion by expressing the ‘‘compatibility condition’’ of Definition 2.2 entirely in terms of the $\mathfrak{sl}(2)$ -triples $\{E_j, \theta E_j, A_j\}$. Observe that the central element $Z_0 \in Z(\mathfrak{k})$ lies in every compact Cartan subalgebra of \mathfrak{g} . In particular it lies in $\mathfrak{t} = \mathfrak{s} \oplus \mathfrak{c}$ and can be written as

$$Z_0 = S + \sum_{j=1}^r a_j T_j, \quad \text{for } S \in \mathfrak{s}, \quad a_j \in \mathbb{R}. \quad (10)$$

Lemma 2.4. The choice of the vectors E_j is compatible with the complex structure J_0 if one of the following equivalent conditions is fulfilled:

- (i) $Z_0 = S + \frac{1}{2} \sum T_j$,

(ii) *the action of ad_{Z_0} on \mathfrak{p} satisfies*

$$[Z_0, E_j - \theta E_j] = A_j, \quad [Z_0, A_j] = -(E_j - \theta E_j), \quad \text{for } j = 1, \dots, r.$$

In particular, it defines a complex structure on each $\mathfrak{p}_j := \text{span}_{\mathbb{R}}\{A_j, E_j - \theta E_j\}$.

Proof. Let W_j , for $j = 1, \dots, r$, be the vectors defined in (7) and Z_0 the vector in (10). One easily verifies that

$$[Z_0, W_j] = a_j(A_j + i(E_j - \theta E_j)).$$

Hence conditions (i) of Definition 2.2 hold, i.e.

$$[Z_0, W_j] = iW_j, \quad j = 1, \dots, r,$$

if and only if $a_j = \frac{1}{2}$, for all j , as wished.

For the equivalence of (i) and (ii), observe that the algebra $Z_{\mathfrak{t}}(\mathfrak{a})$ acts trivially on the one-dimensional restricted root spaces \mathfrak{g}^{λ_j} and $\mathfrak{g}^{-\lambda_j}$, and therefore on the $\mathfrak{sl}(2)$ -triples defined in (4). Then relations (5) and (6) yield

$$[Z_0, E_j - \theta E_j] = 2a_j A_j \quad \text{and} \quad [Z_0, 2a_j A_j] = -4a_j^2(E_j - \theta E_j),$$

showing that ad_{Z_0} stabilizes the subspaces \mathfrak{p}_j . Finally, one has that

$$[Z_0, E_j - \theta E_j] = A_j, \quad [Z_0, A_j] = -(E_j - \theta E_j)$$

if and only if $a_j = \frac{1}{2}$, for all $j = 1, \dots, r$. □

Remark 2.5. A geometric interpretation of Definition 2.2 and Lemma 2.4 is the following: the compatibility conditions on the vectors E_j guarantee that the r -dimensional polydisk associated to the r commuting $\mathfrak{sl}(2)$ triples in \mathfrak{g} is holomorphically embedded in the Hermitian symmetric space G/K .

More precisely, consider the lie algebra homomorphism $\mathfrak{sl}(2, \mathbb{R}) \rightarrow \mathfrak{g}$ mapping $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ to E_j and $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ to A_j . This induces an embedding of symmetric spaces $SL(2, \mathbb{R})/SO(2, \mathbb{R}) \rightarrow G/K$. Endow $SL(2, \mathbb{R})/SO(2, \mathbb{R})$ with the unique invariant complex structure defined by $\frac{1}{2} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$. Then such an embedding is holomorphic if and only if the sign of the vector E_j is compatible. Otherwise it is anti-holomorphic.

By the above discussion and Koranyi-Wolf's Theorem (see Thm. A.3.5 in [HiO197], p.256), one has the following characterization of Z_0 .

Proposition 2.6. *Fix the vectors E_j as in Definition 2.2. Then the following conditions are equivalent*

- (i) G/K is of tube type, i.e. $\Delta(\mathfrak{a}, \mathfrak{g})$ is reduced of type C_r ,
- (ii) $Z_0 = \frac{1}{2} \sum_j T_j$.

3. THE DOMAIN Ξ^+ .

Let G/K be an irreducible Hermitian symmetric space of the non-compact type. Let J_0 be the complex structure of \mathfrak{p} , and let $\mathfrak{p}^{1,0}$ and $\mathfrak{p}^{0,1}$ be the $\pm i$ -eigenspaces of J_0 in $\mathfrak{p}^{\mathbb{C}}$. Set $P := \exp \mathfrak{p}^{0,1}$ and $Q := K^{\mathbb{C}}P$. Then Q is a maximal parabolic subgroup of $G^{\mathbb{C}}$, the quotient $G^{\mathbb{C}}/Q$ is the compact dual symmetric space of G/K and the G -equivariant map

$$G/K \rightarrow G^{\mathbb{C}}/Q, \quad g \rightarrow g \cdot eQ$$

defines an open holomorphic embedding of G/K as the G -orbit $D := G \cdot eQ$.

Denote by σ the antiholomorphic involution of $G^{\mathbb{C}}$ defining G . Then $\sigma(P) = \exp \mathfrak{p}^{1,0}$ and $\sigma(Q) = K^{\mathbb{C}}\sigma(P)$ is the opposite parabolic subgroup, which satisfies $Q \cap \sigma(Q) = K^{\mathbb{C}}$. Denote by $\overline{G^{\mathbb{C}}/Q}$ the compact dual symmetric space endowed with the opposite complex structure, i.e. the complex structure which makes the G -equivariant map

$$\overline{G^{\mathbb{C}}/Q} \rightarrow G^{\mathbb{C}}/\sigma(Q), \quad gQ \rightarrow \sigma(gQ) = \sigma(g)\sigma(Q)$$

a biholomorphism. Let $G^{\mathbb{C}}$ act on $G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}$ by

$$g \cdot (x, y) := (g \cdot x, \sigma(g) \cdot y),$$

and set $x_0 := (eQ, eQ)$. Then the map

$$G^{\mathbb{C}}/K^{\mathbb{C}} \rightarrow G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}, \quad g \mapsto g \cdot x_0$$

defines an open dense $G^{\mathbb{C}}$ -equivariant holomorphic embedding of $G^{\mathbb{C}}/K^{\mathbb{C}}$ into the product $G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}$, as the orbit through x_0 . Let $\pi_1 : G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q} \rightarrow G^{\mathbb{C}}/Q$ denote the projection onto the first factor. The domain Ξ^+ is defined as follows

$$\Xi^+ := \pi_1^{-1}(D) \cap G^{\mathbb{C}} \cdot x_0.$$

As Ξ^+ is a subdomain of $G^{\mathbb{C}} \cdot x_0$, it can be regarded as an open G -invariant domain in $G^{\mathbb{C}}/K^{\mathbb{C}}$.

Recall that the anti-holomorphic tangent bundle of G/K is G -equivariantly diffeomorphic to the twisted bundle $G \times_K \mathfrak{p}^{0,1}$. The following fact holds true.

Lemma 3.1. *The domain Ξ^+ is diffeomorphic to the anti-holomorphic tangent bundle of G/K via the map*

$$\phi: G \times_K \mathfrak{p}^{0,1} \rightarrow \Xi^+, \quad (g, Z) \rightarrow g \exp Z \cdot x_0.$$

Proof. Let L be Lie group, let H be closed subgroup of L and let X be an L -manifold. Assume there exists a differentiable L -equivariant map $f: X \rightarrow L/H$. Then the fiber $F := f^{-1}(eH)$ is an embedded H -manifold and it is a standard fact that the map

$$L \times_H F \rightarrow X, \quad [g, x] \mapsto g \cdot x$$

is an L -equivariant diffeomorphism (see, e.g. [DuKo00], p. 102).

Since the isotropy subgroup of eQ in $G^{\mathbb{C}}/Q$ is $Q = K^{\mathbb{C}}P = PK^{\mathbb{C}}$ and the isotropy subgroup of x_0 in $G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}$ is $K^{\mathbb{C}}$, the fiber $F := \pi_1^{-1}(eQ)$ is given by $P \cdot x_0$. As a consequence the map $\mathfrak{p}^{0,1} \rightarrow F$, defined by $Z \rightarrow \exp Z \cdot x_0$, is a biholomorphism. Now the statement follows from the above remark. \square

It should be pointed out that the above map is just a diffeomorphism and not a biholomorphism, for the simple reason that the symmetric space G/K is a complex submanifold of its antiholomorphic tangent bundle (embedded as the zero section), while it is a totally real submanifold of Ξ^+ .

Also note that Ξ^+ and $\Xi^- := \pi_2^{-1}(\overline{D}) \cap G^{\mathbb{C}} \cdot x_0$ are G -equivariantly anti-biholomorphic, since the G -equivariant anti-biholomorphism

$$G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q} \rightarrow G^{\mathbb{C}}/Q \times \overline{G^{\mathbb{C}}/Q}, \quad (x, y) \rightarrow (y, x),$$

maps Ξ^+ onto Ξ^- . Also note that the restriction of such a map to $G^{\mathbb{C}} \cdot x_0 \cong G^{\mathbb{C}}/K^{\mathbb{C}}$ coincides with the anti-holomorphic G -equivariant involution induced by σ .

An alternative construction of the domain Ξ^+ was given in [Kro08], p.286, and [KrOp08], Sect.8, via the unipotent parametrization. More precisely, in the notation of Section 2, choose vectors $E_j \in \mathfrak{g}^{\lambda_j}$, for $j = 1, \dots, r$, compatible with the complex structure J_0 of G/K (see Definition 2.2). Define

$$\Lambda_r := \text{span}_{\mathbb{R}}\{E_1, \dots, E_r\} \quad \text{and} \quad \Lambda_r^{\pm} := \text{span}_{\mathbb{R}_{\geq 0}}\{E_1, \dots, E_r\}. \quad (11)$$

Then

$$\Xi^+ = G \exp i \bigoplus_{j=1}^r (-1, \infty) E_j \cdot x_0 = G \exp i \Lambda_r^+ \cdot x_0.$$

After defining the subcone $\mathcal{N}^+ := \text{Ad}_K \Lambda_r^+$ of the nilpotent cone of \mathfrak{g} , it was suggested that the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \mapsto g \exp iX \cdot x_0$$

is a G -equivariant homeomorphism. We give a complete proof of this fact in Section 5.

4. THE WEYL GROUP $W_K(\Lambda_r)$

Resume the notation of Section 2. For $j = 1, \dots, r$, choose vectors $E_j \in \mathfrak{g}^{\lambda_j}$ compatible with the complex structure J_0 of G/K (see Definition 2.2), and define Λ_r and Λ_r^{\pm} as in (11).

Consider the Adjoint action of K on \mathfrak{g} and define

$$Z_K(\Lambda_r) := \{k \in K : \text{Ad}_k X = X, X \in \Lambda_r\}, \quad N_K(\Lambda_r) := \{k \in K : \text{Ad}_k \Lambda_r = \Lambda_r\}, \\ W_K(\Lambda_r) := N_K(\Lambda_r)/Z_K(\Lambda_r).$$

Lemma 4.1.

- (i) $Z_K(\Lambda_r) = Z_K(\mathfrak{a})$.
- (ii) $N_K(\Lambda_r)$ is a subgroup of $N_K(\mathfrak{a})$, implying that $W_K(\Lambda_r)$ is a subgroup of $W_K(\mathfrak{a})$.
- (iii) As a subgroup of $W_K(\mathfrak{a})$, the group $W_K(\Lambda_r)$ coincides with $W_K(\mathfrak{a})^+$, acting on \mathfrak{a} by permutations of $\{A_1, \dots, A_r\}$. Moreover, $W_K(\Lambda_r)$ acts on Λ_r by permutations of $\{E_1, \dots, E_r\}$.

Proof. (i) Let $k \in Z_K(\Lambda_r)$ and $X \in \Lambda_r$ be arbitrary elements. Then $\text{Ad}_k X = X$ implies $\text{Ad}_k \theta X = \theta X$ and $\text{Ad}_k[\theta X, X] = [\theta X, X]$. Since \mathfrak{a} is generated by the vectors $A_j = [\theta E_j, E_j]$, the inclusion $Z_K(\Lambda_r) \subset Z_K(\mathfrak{a})$ holds true.

In order to show the opposite one, observe that every restricted root space is invariant under the Adjoint action of $Z_K(\mathfrak{a})$ on \mathfrak{g} . Since the Adjoint action of K is isometric with respect to the inner product $B_{\theta}(X, Y) := B(X, \theta Y)$, for $X, Y \in \mathfrak{g}$, and the root spaces $\mathfrak{g}^{\pm \lambda_j}$ are one-dimensional, one has that $\text{Ad}_k(E_j) = \pm E_j$, for $j = 1, \dots, r$. We claim that $\text{Ad}_k E_j = E_j$, for every $k \in Z_K(\mathfrak{a})$ and $j = 1, \dots, r$. Let $W_j = \frac{1}{2}((E_j - \theta E_j) - iA_j)$ be the vector defined in (7). Recall that

$$[-iZ_0, W_j] = \pm W_j,$$

depending on whether the choice of E_j is compatible with the complex structure determined by Z_0 (see Definition 2.2). If k is an arbitrary element in $Z_K(\mathfrak{a})$, by applying Ad_k to both terms in the above equation, we obtain

$$[-iZ_0, \text{Ad}_k W_j] = \pm \text{Ad}_k W_j,$$

where

$$\text{Ad}_k W_j = \frac{1}{2}(\text{Ad}_k(E_j - \theta E_j) - i \text{Ad}_k A_j) = \frac{1}{2}((\text{Ad}_k E_j - \theta(\text{Ad}_k E_j)) - i A_j).$$

Then Remark 2.3 now implies that indeed for $j = 1, \dots, r$

$$\text{Ad}_k(E_j) = E_j, \quad \text{for } k \in Z_K(\mathfrak{a}).$$

(ii) Let $X \in \Lambda_r$ and $k \in N_K(\Lambda_r)$ be arbitrary elements. Then $\text{Ad}_k X = Y$, for some $Y \in \Lambda_r$, and likewise $\text{Ad}_k \theta X = \theta Y$ and $\text{Ad}_k[\theta X, X] = [\theta Y, Y]$. Since \mathfrak{a} is generated by the vectors $A_j = [\theta E_j, E_j]$, there is an inclusion $N_K(\Lambda_r) \subset N_K(\mathfrak{a})$. Since $Z_K(\mathfrak{a}) = Z_K(\Lambda_r)$, there is an induced inclusion of finite groups $W_K(\Lambda_r) \hookrightarrow W_K(\mathfrak{a})$.

(iii) We already showed that $W_K(\Lambda_r) \subset W_K(\mathfrak{a})$. Next we show that $W_K(\Lambda_r)$ contains the subgroup $W_K(\mathfrak{a})^+$. Recall that the subgroup $W_K(\mathfrak{a})^+$ acts on \mathfrak{a} by permutations of A_1, \dots, A_r and on \mathfrak{a}^* by permutations of the basis vectors e_1, \dots, e_r defined in Section 2. As a result, the corresponding elements in K permute the root spaces $\mathfrak{g}^{\lambda_1}, \dots, \mathfrak{g}^{\lambda_r}$ and thus normalize Λ_r . This proves the inclusion

$$W_K(\mathfrak{a})^+ \subset W_K(\Lambda_r).$$

In order to prove equality, assume by contradiction that there exists $k \in N_K(\Lambda_r)$ lying in $W_K(\mathfrak{a}) \setminus W_K(\mathfrak{a})^+$. Since $W_K(\mathfrak{a})$ acts on \mathfrak{a} by signed permutations of A_1, \dots, A_r , this means that there exist indices $j, h \in \{1, \dots, r\}$ for which $\text{Ad}_k(A_j) = -A_h$. By applying Ad_k to both terms of the relation $[A_j, E_j] = 2E_j$, we obtain

$$[A_h, \text{Ad}_k E_j] = -2 \text{Ad}_k E_j.$$

We also have $[A_l, \text{Ad}_k E_j] = 0$, for all $l \neq h$: indeed, we can write

$$[A_l, \text{Ad}_k E_j] = \text{Ad}_k[\text{Ad}_{k^{-1}} A_l, E_k]$$

and, since k normalizes \mathfrak{a} , we have that $\text{Ad}_{k^{-1}} A_l \in \{\pm A_m\}$, for some $m \neq j$. Thus

$$\text{Ad}_k[\text{Ad}_{k^{-1}} A_l, E_j] = \text{Ad}_k[\pm A_m, E_j] = 0,$$

as claimed. It follows that $\text{Ad}_k E_j \in \mathfrak{g}^{-\lambda_h}$, contradicting the assumption that k normalizes Λ_r . So $W_K(\mathfrak{a})^+ = W_K(\Lambda_r)$, as claimed.

To prove that $W_K(\Lambda_r)$ acts on Λ_r by permutations of E_1, \dots, E_r , assume by contradiction that there exists $k \in N_K(\Lambda_r)$ and indices $h, j \in \{1, \dots, r\}$ such that

$$\text{Ad}_k E_j = -E_h,$$

and consequently

$$\text{Ad}_k \theta E_j = -\theta E_h, \quad \text{Ad}_k A_j = A_h.$$

From the compatibility condition

$$[-iZ_0, W_j] = W_j$$

one obtains then

$$[-iZ_0, \text{Ad}_k W_j] = \text{Ad}_k W_j$$

where

$$\text{Ad}_k W_j = \frac{1}{2}(\text{Ad}_k(E_j - \theta E_j) - i \text{Ad}_k A_j) = \frac{1}{2}(-(E_h - \theta E_h) - i A_h).$$

But this contradicts Remark 2.3. In conclusion,

$$\text{Ad}_k E_j = E_h,$$

and $W_K(\Lambda_r)$ acts on Λ_r^+ by permutations of E_1, \dots, E_r , as claimed. \square

Corollary 4.2. *As a consequence of the previous lemma, the group $W_K(\Lambda_r)$ preserves the subset Λ_r^+ . Hence*

$$W_K(\Lambda_r) := N_K(\Lambda_r)/Z_K(\Lambda_r) = N_K(\Lambda_r^+)/Z_K(\Lambda_r^+).$$

5. THE DOMAIN Ξ^+ AS A NILPOTENT CONE BUNDLE

Consider the nilpotent cone in \mathfrak{g} given by $\mathcal{N}^+ := \{\text{Ad}_k X : k \in K \text{ and } X \in \Lambda_r^+\}$. In [KrOp08] and [Kro08], Rem.4.12, it was suggested that the domain Ξ^+ is homeomorphic to the twisted product $G \times_K \mathcal{N}^+$. For the sake of completeness we give a proof of this fact.

5.1. Some topological lemmas. We first need a number of lemmas, which are of topological nature. Our setting is as follows. Let G be a connected Lie group acting properly on a Hausdorff topological space Z , and let K be a compact subgroup of G . Let N be a Hausdorff topological K -space. Assume that there exists a K -equivariant continuous map $j : N \rightarrow Z$ such that the continuous map

$$\psi : G \times_K N \rightarrow Z, [g, x] \rightarrow g \cdot j(x)$$

is bijective. Denote by Σ a closed subset of N such that $K \cdot \Sigma = N$. We are going to discuss necessary and sufficient conditions for ψ to be a homeomorphism.

Lemma 5.1. *The following three conditions are equivalent*

- (i) *The map $\tilde{\psi} : G \times \Sigma \rightarrow Z, (g, x) \rightarrow g \cdot j(x)$ is proper,*
- (ii) *The map $\hat{\psi} : G \times N \rightarrow Z, (g, x) \rightarrow g \cdot j(x)$ is proper,*
- (iii) *The map $\psi : G \times_K N \rightarrow Z, [g, x] \rightarrow g \cdot j(x)$ is proper.*

If any of the above conditions is satisfied, then ψ is a homeomorphism, the map $j : N \rightarrow j(N)$ is a homeomorphism, and $j(N)$ is closed in Z .

Proof. We first show that (i) is equivalent to (ii). Consider the commutative diagram

$$\begin{array}{ccc} G \times \Sigma & & \\ \downarrow & \searrow \tilde{\psi} & \\ G \times N & \xrightarrow{\hat{\psi}} & Z, \end{array}$$

where the vertical arrow is the inclusion map. Being Σ closed in N , such a map is proper. Therefore, if $\hat{\psi}$ is proper, so is $\tilde{\psi}$. Conversely, assume that $\tilde{\psi}$ is proper and let C be a compact subset of Z . We claim that the closed subset $\hat{\psi}^{-1}(C)$ coincides with $K \cdot \tilde{\psi}^{-1}(C)$, where the K -action on $G \times N$ is given by $k \cdot (g, x) := (gk^{-1}, k \cdot x)$. In order to see that $\hat{\psi}^{-1}(C) \subset K \cdot \tilde{\psi}^{-1}(C)$, let (g, x) be an element in $\hat{\psi}^{-1}(C)$ and choose $k \in K$ and $x' \in \Sigma$ such that $x = k \cdot x'$. Then $gk \cdot j(x') = g \cdot j(x) \in C$, implying that $(gk, x') \in \tilde{\psi}^{-1}(C)$. Thus $(g, x) = k \cdot (gk, x')$ belongs to $K \cdot \tilde{\psi}^{-1}(C)$. Being the opposite inclusion straightforward, the claim follows.

Since $\tilde{\psi}^{-1}(C)$ is compact by assumption, it follows that $\hat{\psi}^{-1}(C) = K \cdot \tilde{\psi}^{-1}(C)$ is compact (cf. [Bou89], Cor. 1, p. 251). This concludes the proof of the first equivalence.

In order to show that (ii) is equivalent to (iii), consider the commutative diagram

$$\begin{array}{ccc}
G \times N & & \\
\pi \downarrow & \searrow \widehat{\psi} & \\
G \times_K N & \xrightarrow{\psi} & Z,
\end{array}$$

where π is the natural quotient with respect to the twisted K -action. Being K compact, such a map is proper (cf. [Bou89], Prop. 2, p. 252). Therefore, if ψ is proper, so is $\widehat{\psi}$. Conversely, assume that $\widehat{\psi}$ is proper and let C be a compact subset of Z . Then the inverse image $\psi^{-1}(C)$ coincides with $\pi(\widehat{\psi}^{-1}(C))$. Thus it is compact, showing that ψ is proper and concluding the proof of the lemma. \square

Note that assuming $j : \Sigma \rightarrow Z$ proper does not imply $G \times \Sigma \rightarrow Z$ proper. For instance, let $G = \mathbb{R}$ act on \mathbb{R}^2 by $t \cdot (x, y) = (t + x, y)$, set $N = \Sigma := \{s \in \mathbb{R} : s \leq 0 \text{ or } s > 1\}$ and define $j : \Sigma \rightarrow \mathbb{R}^2$ by $j(s) := (0, s)$, for $s \in (-\infty, 0]$, and $j(s) := (\ln(s-1), s-1)$, for $s \in (1, +\infty)$. Then $\psi : \mathbb{R} \times \Sigma \rightarrow \mathbb{R}^2$ is continuous and bijective but it is not a homeomorphism. In this example $\Sigma \cong j(\Sigma)$ is a non-connected, closed submanifold (with boundary) of Z . In higher dimension, e.g. $\dim_{\mathbb{R}} Z = 3$ one can construct a similar example with Σ a contractible, closed submanifold (with boundary) of Z .

Now we also assume that Z has the structure of a G -equivariant fiber bundle, i.e. that there exists a closed topological K -subspace P of Z such that the map

$$G \times_K P \rightarrow Z, \quad [g, p] \rightarrow g \cdot p$$

is a homeomorphism. Let $\pi : P \rightarrow P/K$ be the canonical projection.

Lemma 5.2. *If the map $q : \Sigma \rightarrow P/K$, given by $x \rightarrow \pi(G \cdot j(x) \cap P)$ is proper, then $\psi : G \times_K N \rightarrow Z$, $[g, x] \rightarrow g \cdot j(x)$ is a homeomorphism.*

Proof. By Lemma 5.1, it is sufficient to show that the map $\widetilde{\psi} : G \times \Sigma \rightarrow Z$ is proper. Let $\{(g_n, x_n)\}_n$ be a sequence in $G \times \Sigma$, with $g_n \cdot j(x_n) \rightarrow z_0$. Choose $\{(h_n, p_n)\}_n$ in $G \times P$ such that $g_n \cdot j(x_n) = h_n \cdot p_n$. Being the canonical projection $G \times P \rightarrow G \times_K P$ proper (cf. [Bou89], Prop. 2, p. 252), the map $G \times P \rightarrow Z$, given by $(g, z) \rightarrow g \cdot z$, is proper. Thus, by passing to a subsequence if necessary, we may assume that $(h_n, p_n) \rightarrow (h_0, p_0)$. In particular, $q(x_n) := \pi(G \cdot j(x_n) \cap P) = \pi(p_n) \rightarrow \pi(p_0)$. Since the map q is proper by assumption, by passing to a subsequence if necessary, one has that $x_n \rightarrow x_0$, for some $x_0 \in \Sigma$. Thus $j(x_n) \rightarrow j(x_0)$. By the properness of the G -action, the map $G \times Z \rightarrow Z \times Z$, given by $(g, z) \rightarrow (z, g \cdot z)$, is proper as well. Therefore, the sequence $\{(g_n, x_n)\}_n$ converges to (g_0, x_0) , for some g_0 in G . As a result the map $\widetilde{\psi} : G \times \Sigma \rightarrow Z$ is proper, and the statement follows from Lemma 5.1. \square

As a matter of fact, the converse of the above lemma holds true as well. Indeed if $\psi : G \times_K N \rightarrow Z$, $[g, x] \rightarrow g \cdot j(x)$ is a homeomorphism, then Z/G is homeomorphic to N/K , as well as to P/K , being Z homeomorphic to $G \times_K P$. Therefore one has a commutative diagram

$$\begin{array}{ccccc}
\Sigma & \longrightarrow & G \times_K N & \longrightarrow & Z \\
& \searrow & \downarrow & & \downarrow \\
& & N/K & \longrightarrow & P/K,
\end{array}$$

where the map $N/K \rightarrow P/K$ is a homeomorphism. Being Σ closed in N , the restriction $\Sigma \rightarrow N/K$ of the natural projection $G \times_K N \rightarrow N/K$ is proper. Hence

the map $q : \Sigma \rightarrow P/K$, $x \rightarrow \pi(G \cdot j(x) \cap P)$, given in the above diagram as the composition of proper maps, is proper, as claimed.

Also note that, being Z connected by assumption, if ψ is a homeomorphism and K is connected, then N is necessarily connected. Indeed, in this case the principal bundle $G \times N \rightarrow G \times_K N$ has connected base and fibers. Thus the total space $G \times N$ is connected, implying that N is connected.

For later use we also give the following corollary.

Corollary 5.3. *Assume there exists a continuous, G -invariant function $f : Z \rightarrow \mathbb{R}$ such that $f \circ j|_{\Sigma} : \Sigma \rightarrow \mathbb{R}$ is proper. Then ψ is a homeomorphism.*

Proof. By Lemma 5.1, it is sufficient to show that the map

$$\tilde{\psi} : G \times \Sigma \rightarrow Z, (g, x) \rightarrow g \cdot j(x)$$

is proper. Let $\{(g_n, x_n)\}_n$ be a sequence in $G \times \Sigma$ such that $\{g_n \cdot j(x_n)\}_n$ converges to an element z_0 in Z . We need to show that, by replacing it with a subsequence if necessary, the sequence $\{(g_n, x_n)\}_n$ converges in $G \times \Sigma$. Let U be a compact neighborhood of $f(z_0)$ in \mathbb{R} . By assumption, the set $V := (f \circ j|_{\Sigma})^{-1}(U)$ is a compact subset of Σ . By the continuity and the G -invariance of f one has $f(j(x_n)) = f(g_n \cdot j(x_n)) \rightarrow f(z_0)$. Therefore $x_n \in V$ for n large enough. Thus, by passing to a subsequence if necessary, $\{x_n\}_n$ converges to an element x_0 of Σ and $j(x_n) \rightarrow j(x_0)$. Finally, by the properness of the G -action, the map $G \times Z \rightarrow Z \times Z$, given by $(g, z) \rightarrow (z, g \cdot z)$, is proper. Hence, by passing to a subsequence if necessary, $\{(g_n, x_n)\}_n$ converges to (g_0, x_0) , for some g_0 in G . This concludes the proof. \square

Remark 5.4. The function $f \circ j|_{\Sigma}$ is proper if and only if $f \circ j$ is proper. Being Σ closed in N , one implication is clear. For the converse, let C be a compact subset of \mathbb{R} . Then

$$(f \circ j)^{-1}(C) = K \cdot (f \circ j|_{\Sigma})^{-1}(C),$$

which is compact if $(f \circ j|_{\Sigma})^{-1}(C)$ is compact (cf. [Bou89], Cor. I, p. 251).

5.2. A slice in the anti-holomorphic tangent bundle. Let G/K be an irreducible Hermitian symmetric space. Resuming the notation of Section 2, denote by \mathfrak{a}^+ the open positive Weyl chamber in \mathfrak{a} and by $\overline{\mathfrak{a}^+}$ its topological closure, given by

$$\mathfrak{a}^+ := \left\{ \sum_{j=1}^r x_j A_j : x_1 > \cdots > x_r > 0 \right\}, \quad \overline{\mathfrak{a}^+} = \left\{ \sum_{j=1}^r x_j A_j : x_1 \geq \cdots \geq x_r \geq 0 \right\}.$$

Define

$$\mathfrak{a}^{\perp} := \left\{ \sum_{j=1}^r x_j A_j : x_j \geq 0, j = 1, \dots, r \right\}.$$

The set $\overline{\mathfrak{a}^+}$ is a perfect slice for the Adjoint action of K on \mathfrak{p} , and

$$\mathfrak{a}^{\perp} = W_K(\mathfrak{a}^+) \cdot \overline{\mathfrak{a}^+}.$$

Similarly, denote by $(\Lambda_r^{\perp})^+$ the open positive Weyl chamber in Λ_r^{\perp} , and by $\overline{(\Lambda_r^{\perp})^+}$ its topological closure, given by

$$(\Lambda_r^{\perp})^+ := \left\{ \sum_{j=1}^r x_j E_j : x_1 > \cdots > x_r > 0 \right\}, \quad \overline{(\Lambda_r^{\perp})^+} = \left\{ \sum_{j=1}^r x_j E_j, : x_1 \geq \cdots \geq x_r \geq 0 \right\}.$$

By Lemma 4.1 and Corollary 4.2, one has

$$\Lambda_r^{\perp} = W_K(\Lambda_r^{\perp}) \cdot \overline{(\Lambda_r^{\perp})^+}.$$

Consider the K -equivariant map

$$\Psi : \mathfrak{g} \rightarrow \mathfrak{p}, \quad X \mapsto [Z_0, X - \theta X] = J_0(X - \theta X), \quad (12)$$

where $Z_0 \in Z(\mathfrak{k})$ is the element defining the complex structure $J_0 = \text{ad}_{Z_0}$. Note that its restriction

$$\Psi|_{\Lambda_r} : \Lambda_r \rightarrow \mathfrak{a}$$

is a linear isomorphism.

Consider also the homeomorphism

$$\Phi : \Lambda_r^\perp \rightarrow \mathfrak{a}^\perp, \quad \sum x_j E_j \rightarrow \frac{1}{2} \sum \log(1 + x_j) A_j,$$

and the K -equivariant isomorphism

$$\tau : \mathfrak{p} \rightarrow \mathfrak{p}^{0,1}, \quad Y \rightarrow -\frac{1}{2}(Y + iJ_0Y). \quad (13)$$

The isomorphism τ maps \mathfrak{a} , a slice for the Ad_K -action on \mathfrak{p} , onto a slice for the Ad_K -action on $\mathfrak{p}^{0,1}$, and induces a homeomorphism between the respective fundamental domains $\overline{\mathfrak{a}^+} \subset \mathfrak{a}$ and $\tau(\overline{\mathfrak{a}^+})$ in $\mathfrak{p}^{0,1}$.

The next lemma is crucial for the main result of this section. It states that in Ξ^+ the nilpotent slice $\exp i\Lambda_r^\perp \cdot x_0$ can be mapped *continuously* onto a slice in $\exp \mathfrak{p}^{0,1} \cdot x_0$, by elements of the abelian group $A = \exp \mathfrak{a}$.

Lemma 5.5. *For every X in Λ_r^\perp one has*

$$\exp(iX) = \exp \Phi(X) \exp \left(-\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \right) \exp i\chi(X),$$

where $\chi : \Lambda_r^\perp \rightarrow \mathfrak{k}$ is defined by $\sum x_j E_j \rightarrow \sum \sinh^{-1} \left(\frac{x_j}{2\sqrt{1+x_j}} \right) (E_j + \theta E_j)$. Thus

$$\exp(iX) \cdot x_0 = \exp \Phi(X) \exp \left(-\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \right) \cdot x_0.$$

Proof. Write $X = \sum x_j E_j$ as a sum of nilpotent elements in the embedded $\mathfrak{sl}(2)$ -triples. By Lemma 2.4 (ii), the complex structure J_0 of G/K induces the invariant complex structure defined by $\frac{1}{2} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ on each of the rank-one symmetric spaces associated to the $\mathfrak{sl}(2)$ -triples. This fact, together with the commutativity of the $\mathfrak{sl}(2)$ -triples in \mathfrak{g} and of the corresponding groups in $G^\mathbb{C}$, reduces the proof to the case of $G = SL(2, \mathbb{R})$. In this case, the equality to be proved reads as

$$\exp i \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \in \exp \Phi \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \exp -\frac{1}{2} \left(\begin{pmatrix} x & 0 \\ 0 & -x \end{pmatrix} + i \begin{pmatrix} 0 & -x \\ -x & 0 \end{pmatrix} \right) \text{SO}(2, \mathbb{C}).$$

In other words, we are left to check the following matrix identity

$$\begin{pmatrix} 1 & ix \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \sqrt{1+x} & 0 \\ 0 & \sqrt{1+x}^{-1} \end{pmatrix} \begin{pmatrix} 1 - \frac{x}{2} & i\frac{x}{2} \\ i\frac{x}{2} & 1 + \frac{x}{2} \end{pmatrix} M,$$

where $M \in \exp i\mathfrak{so}(2, \mathbb{R}) \subset \text{SO}(2, \mathbb{C})$ is the matrix given by

$$M = \exp i \sinh^{-1} \left(\frac{x}{2\sqrt{1+x}} \right) \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \frac{1}{\sqrt{1+x}} \begin{pmatrix} 1 + \frac{x}{2} & i\frac{x}{2} \\ -i\frac{x}{2} & 1 + \frac{x}{2} \end{pmatrix}.$$

□

Lemma 5.6.

(i) Let X be an element in $\overline{(\Lambda_r^+)^+}$. Then

$$Z_K(X) = Z_K(\Psi(X)) = Z_K(\Phi(X)).$$

(ii) Let X and X' be elements in $\overline{(\Lambda_r^+)^+}$ such that

$$\Psi(X') = \text{Ad}_k \Psi(X), \quad \text{for some } k \in K.$$

Then $X' = X$ and $k \in Z_K(X)$.

Proof. (i) We begin by proving that $Z_K(X) = Z_K(\Psi(X))$. Since the map $\Psi(X) = [Z_0, X - \theta X]$ defined in (12) is K -equivariant, there is an inclusion

$$Z_K(X) \subset Z_K(\Psi(X)).$$

We prove the opposite one by showing that an element $k \in Z_K(\Psi(X))$ centralizes both $X - \theta X$ and $X + \theta X$. From

$$[Z_0, X - \theta X] = \text{Ad}_k[Z_0, X - \theta X] = [Z_0, \text{Ad}_k(X - \theta X)]$$

and the fact that ad_{Z_0} is bijective on \mathfrak{p} (it is a complex structure), we obtain that $k \in Z_K(X - \theta X)$. Before showing that $k \in Z_K(X + \theta X)$, we make a small digression.

Given a subset Δ of $\Delta(\mathfrak{g}, \mathfrak{a})^+$, the associated orbit stratum in the closure of the Weyl chamber $\overline{\mathfrak{a}^+}$ is by definition

$$\overline{\mathfrak{a}^+}_\Delta := \{ A \in \mathfrak{a}^+ : \beta(A) = 0 \text{ if } \beta \in \Delta, \beta(A) > 0 \text{ if } \beta \in \Delta(\mathfrak{g}, \mathfrak{a})^+ \setminus \Delta \}.$$

Let H be an element in \mathfrak{a} . Since $G^{\mathbb{C}}$ is simply connected, the centralizer $Z_{G^{\mathbb{C}}}(H)$ of H in $G^{\mathbb{C}}$ is a connected group (see [Hum95], p.33) with Lie algebra

$$Z_{\mathfrak{g}^{\mathbb{C}}}(H) = Z_{\mathfrak{k}^{\mathbb{C}}}(\mathfrak{a}) \oplus \mathfrak{a}^{\mathbb{C}} \oplus \bigoplus_{\substack{\alpha \in \Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{a}^{\mathbb{C}}) \\ \alpha(H)=0}} \mathfrak{g}^{\alpha}. \quad (14)$$

Moreover, since $\sigma(H) = H$ and $\theta(H) = -H$, the group $Z_{G^{\mathbb{C}}}(H)$ is both σ and θ -stable. As a result, if two elements H_1 and H_2 of $\overline{\mathfrak{a}^+}$ lie in the same orbit stratum, then $Z_{G^{\mathbb{C}}}(H_1) = Z_{G^{\mathbb{C}}}(H_2)$ and likewise $Z_K(H_1) = Z_K(H_2)$.

Write $X = \sum x_j E_j$ and $\Psi(X) = \sum x_j A_j$. Since the elements $\sum x_j A_j$ and $\sum \sqrt{\frac{x_j}{2}} A_j$ lie in the same orbit stratum of $\overline{\mathfrak{a}^+}$, one has $Z_K(\Psi(X)) = Z_K(\sum \sqrt{\frac{x_j}{2}} A_j)$. Moreover, since

$$\sum \sqrt{\frac{x_j}{2}} (E_j - \theta E_j) = [-Z_0, \sum \sqrt{\frac{x_j}{2}} A_j],$$

one also has $Z_K(\Psi(X)) \subset Z_K(\sum \sqrt{\frac{x_j}{2}} (E_j - \theta E_j))$. Then the equality

$$Z_K(\Psi(X)) = Z_K(X + \theta X)$$

follows from

$$\begin{aligned} \text{Ad}_k(X + \theta X) &= \\ \text{Ad}_k\left(\sum x_j (E_j + \theta E_j)\right) &= \text{Ad}_k\left[\sum \sqrt{\frac{x_j}{2}} A_j, \sum \sqrt{\frac{x_j}{2}} (E_j - \theta E_j)\right] = \\ [\text{Ad}_k\left(\sum \sqrt{\frac{x_j}{2}} A_j\right), \text{Ad}_k\left(\sum \sqrt{\frac{x_j}{2}} (E_j - \theta E_j)\right)] &= \left[\sum \sqrt{\frac{x_j}{2}} A_j, \sum \sqrt{\frac{x_j}{2}} (E_j - \theta E_j)\right] = \\ \sum x_j (E_j + \theta E_j) &= X + \theta X. \end{aligned}$$

Since $X = \frac{1}{2}(X - \theta X) + \frac{1}{2}(X + \theta X)$, we conclude that

$$Z_K(X) = Z_K(\Psi(X)).$$

Next we show that

$$Z_K(\Psi(X)) = Z_K(\Phi(X)).$$

From the definition of the maps Ψ , Φ and of the roots defining \mathfrak{a}^+ (cf. Sect.2) it is clear that $\Psi(X)$ and $\Phi(X)$ lie in the same orbit stratum of $\overline{\mathfrak{a}^+}$. Then the desired equality follows from the above considerations.

(ii) By definition of $\overline{(\Lambda_r^\perp)^+}$, the elements $\Psi(X)$ and $\Psi(X')$ lie in $\overline{\mathfrak{a}^+}$, which is a perfect slice for the Ad_K -action on \mathfrak{p} . Then $\Psi(X') = \Psi(X)$ and $k \in Z_K(\Psi(X)) = Z_K(X)$. Since the map $\Psi: \Lambda_r \rightarrow \mathfrak{a}$ is injective, it follows that $X' = X$. \square

Proposition 5.7. *Let G/K be an irreducible Hermitian symmetric space. Then the map*

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \rightarrow g \exp(iX) \cdot x_0$$

is a G -equivariant homeomorphism.

Proof. The map ψ is G -equivariant by construction. By Lemma 3.1 and Lemma 5.5, it is surjective. Recall that by Corollary 4.2, one has $\mathcal{N}^+ = \text{Ad}_K \overline{(\Lambda_r^\perp)^+}$. Hence, in order to prove that ψ is injective it is sufficient to show that the identity

$$g \exp iX \cdot x_0 = \exp iX' \cdot x_0, \quad (15)$$

for some $g \in G$ and $X, X' \in \overline{(\Lambda_r^\perp)^+}$, implies

$$g \in K, \quad \text{and} \quad X' = \text{Ad}_g X.$$

By Lemma 5.5, equation (15) is equivalent to

$$\begin{aligned} g \exp \Phi(X) \exp \left(-\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \right) \cdot x_0 = \\ \exp \Phi(X') \exp \left(-\frac{1}{2}(\Psi(X') + iJ_0\Psi(X')) \right) \cdot x_0. \end{aligned}$$

By Lemma 3.1 it follows that

$$[g \exp \Phi(X), -\frac{1}{2}(\Psi(X) + iJ_0\Psi(X))] = [\exp \Phi(X'), -\frac{1}{2}(\Psi(X') + iJ_0\Psi(X'))]$$

in $G \times_k \mathfrak{p}^{0,1}$, i.e. there exists $k \in K$ such that

$$\exp \Phi(X') = g \exp \Phi(X) k^{-1} \quad \text{and} \quad \Psi(X') = \text{Ad}_k \Psi(X). \quad (16)$$

From the second equality in (16) and Lemma 5.6, one obtains the relations

$$X = X', \quad \text{and} \quad k \in Z_K(\Psi(X)) = Z_K(\Phi(X)) = Z_K(X),$$

which plugged in the first equality of (16) yield $g = k$. In conclusion, we have obtained

$$g \in Z_K(X), \quad X' = X = \text{Ad}_g X,$$

as desired.

Next we are going to show that ψ is a homeomorphism. Since by Lemma 3.1 the map $G \times_K P \rightarrow \Xi^+$, given by $[g, z] \rightarrow g \exp Z \cdot z_0$, is a G -equivariant diffeomorphism, Lemma 5.2 implies that it is sufficient to show that the following map is proper

$$q: \Lambda_r^\perp \rightarrow (\exp \mathfrak{p}^{0,1} \cdot x_0)/K, \quad X \rightarrow \pi(G \exp iX \cdot x_0 \cap \exp \mathfrak{p}^{0,1} \cdot x_0),$$

where $\pi: \exp \mathfrak{p}^{0,1} \cdot x_0 \rightarrow (\exp \mathfrak{p}^{0,1} \cdot x_0)/K$ denotes the canonical projection.

So let $\{X_n\}_n$ be a sequence diverging in Λ_r^\perp . Then $\{-\frac{1}{2}(\Psi(X_n) + iJ_0\Psi(X_n))\}_n$ diverges in $\mathfrak{p}^{0,1}$. Thus the sequence $\{\exp -\frac{1}{2}(\Psi(X_n) + iJ_0\Psi(X_n)) \cdot x_0\}_n$ diverges in $\exp \mathfrak{p}^{0,1} \cdot x_0$ and, by Lemma 5.5, every element $\exp -\frac{1}{2}(\Psi(X_n) + iJ_0\Psi(X_n)) \cdot x_0$ lies in $G \exp iX_n \cdot x_0 \cap \exp \mathfrak{p}^{0,1} \cdot x_0$. Since the projection π is proper, the sequence $\{\pi(G \exp iX_n \cdot x_0 \cap \exp \mathfrak{p}^{0,1} \cdot x_0) = \pi(\exp(-\frac{1}{2}(\Psi(X) + iJ_0\Psi(X)) \cdot x_0))\}_n$ diverges in $\exp \mathfrak{p}^{0,1} \cdot x_0/K$. Thus the map q is proper, as wished. \square

From the above proposition we obtain the following consequences.

Corollary 5.8. *The restriction of the map (12)*

$$\Psi: \mathcal{N}^+ \rightarrow \mathfrak{p}, \quad \Psi(X) = [Z_0, X - \theta X] = J_0(X - \theta X)$$

is a K -equivariant homeomorphism. Likewise, the maps

$$\mathcal{N}^+ \rightarrow \mathfrak{p}, \quad X \rightarrow X - \theta X$$

and

$$\Psi^{0,1}: \mathcal{N}^+ \rightarrow \mathfrak{p}^{0,1}, \quad X \rightarrow \frac{1}{2}(\Psi(X) + iJ_0\Psi(X))$$

are K -equivariant homeomorphisms.

Proof. The map Ψ is K -equivariant, since both ad_{Z_0} and the Cartan involution θ commute with the Adjoint action of K . It is also surjective, since its image contains the closure of the Weyl chamber $\overline{\mathfrak{a}^+}$. In order to show that Ψ is injective, it is enough to consider pairs $X, \text{Ad}_k(X')$, for some $X, X' \in \overline{(\Lambda_r^+)^+}$ and $k \in K$. Assume that $\Psi(X) = \Psi(\text{Ad}_k(X'))$. Then by Lemma 5.6, one obtains

$$X = X', \quad k \in Z_K(\Psi(X)) = Z_K(X).$$

Hence $X = \text{Ad}_k(X')$, as wished.

It remains to show that Ψ is proper. This follows from the fact that $\Psi(X) \neq 0$, if $X \neq 0$, and $\Psi(tX) = t\Psi(X)$, for all real t . This implies that the image of any divergent sequence in \mathcal{N}^+ under Ψ is a divergent sequence in \mathfrak{p} .

The second part of the statement follows directly from the fact that both $J_0: \mathfrak{p} \rightarrow \mathfrak{p}$ and the map $\mathfrak{p} \rightarrow \mathfrak{p}^{0,1}$, given by $Y \rightarrow \frac{1}{2}(Y + iJ_0(Y))$, are K -equivariant linear isomorphisms. \square

We conclude this section with another corollary of Proposition 5.7, which will be needed later on.

Corollary 5.9. *Let U be an open subset of Λ_r^+ . Then $\text{Ad}_K(U)$ is open in the nilcone \mathcal{N}^+ .*

Proof. As a consequence of Proposition 5.7, the map $\mathcal{N}^+ \rightarrow \exp i\mathcal{N}^+ \cdot x_0 \subset \Xi^+$, given by $X \rightarrow \exp iX \cdot x_0$, is a homeomorphism onto its (closed) image. Moreover, it follows that

$$\exp i\text{Ad}_K U \cdot x_0 = G \exp iU \cdot x_0 \cap \exp i\mathcal{N}^+ \cdot x_0.$$

Thus, in order to prove the statement, it is sufficient to show that $G \exp iU \cdot x_0$ is open in Ξ^+ .

For this note that $\Psi(U)$ is an open subset in the union $W_K(\mathfrak{a})^+ \cdot \overline{\mathfrak{a}^+}$ of closures of Weyl chambers of \mathfrak{a} . Thus $\text{Ad}_K \Psi(U)$ is open in \mathfrak{p} and consequently the set

$$\left\{ \text{Ad}_K \left(-\frac{1}{2}(\Psi(U) + iJ_0\Psi(X)) \right) : X \in U \right\}$$

is open in $\mathfrak{p}^{0,1}$. Since the bundle map $G \times_K \mathfrak{p}^{0,1} \rightarrow \Xi^+$, given by $[g, Z] \rightarrow g \exp Z \cdot x_0$, a diffeomorphism, the set

$$V := \{ G \exp \left(-\frac{1}{2}(\Psi(U) + iJ_0\Psi(X)) \right) \cdot x_0 : X \in U \}$$

is open as well in Ξ^+ . Finally, by Lemma 5.5 the set $G \exp iU \cdot x_0$ coincides with V . Hence it is open, as wished. \square

6. AN EXAMPLE.

In this section, we give a different proof of Proposition 5.7 in the case of $G = Sp(2, \mathbb{R})$ and $G = Sp(1, \mathbb{R}) \cong SL(2, \mathbb{R})$. This proof uses Corollary 5.3 and a global G -invariant function $f : \Xi^+ \rightarrow \mathbb{R}$, with the property that the map

$$\Lambda_{\frac{1}{2}} \rightarrow \mathbb{R}, \quad X \rightarrow f(\exp iX \cdot x_0)$$

is proper. As a matter of fact, the function f is the restriction of a G -invariant function defined on all of $G^{\mathbb{C}}/K^{\mathbb{C}}$.

Consider the real symplectic group

$$G = Sp(r, \mathbb{R}) = \left\{ Z = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in M^{2r \times 2r}(\mathbb{R}) : {}^t Z J Z = J \right\}, \quad J := \begin{pmatrix} 0 & I_r \\ -I_r & 0 \end{pmatrix}$$

and its complexification $G^{\mathbb{C}} = Sp(r, \mathbb{C})$. By Witt's theorem, $G^{\mathbb{C}}$ acts transitively on the Grassmannian of J -isotropic complex r -planes in \mathbb{C}^{2r}

$$Y = \{ \mathbf{x} \text{ complex } r\text{-plane in } \mathbb{C}^{2r} : J|\mathbf{x} \times \mathbf{x} = 0 \}.$$

By considering all possible bases of \mathbf{x} , given as r -tuples of column vectors in \mathbb{C}^{2r} , we view Y as the quotient of

$$\widehat{Y} := \left\{ \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} : R_1, R_2 \in M^{r \times r}(\mathbb{C}), \text{rank} \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} = r, ({}^t R_1 \quad {}^t R_2) J \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} = 0 \right\}$$

by the right action of $GL(r, \mathbb{C})$ defined by

$$M \cdot \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} := \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} M^{-1}, \quad M \in GL(r, \mathbb{C}).$$

Note that $G^{\mathbb{C}}$ acts on \widehat{Y} by left multiplication and that the canonical projection

$$\widehat{Y} \rightarrow Y, \quad \begin{pmatrix} R_1 \\ R_2 \end{pmatrix} \rightarrow \begin{bmatrix} R_1 \\ R_2 \end{bmatrix}$$

is $G^{\mathbb{C}}$ -equivariant.

Fix the base point $\mathbf{x}_+ = \begin{bmatrix} iI_r \\ I_r \end{bmatrix} \in Y$. Then $G \cdot \mathbf{x}_+ \cong G/K$, where

$$K = \left\{ \begin{pmatrix} A & B \\ -B & A \end{pmatrix} : A + iB \in U(n) \right\}.$$

Let $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ be the associated Cartan decomposition of \mathfrak{g} , where

$$\mathfrak{k} = \left\{ \begin{pmatrix} A & B \\ -B & A \end{pmatrix} : {}^t A = -A, {}^t B = B \right\}, \quad \mathfrak{p} = \left\{ \begin{pmatrix} A & B \\ B & -A \end{pmatrix} : {}^t A = A, {}^t B = B \right\}.$$

The complex structure of \mathfrak{p} is given by $J_0 := \text{ad}_{Z_0}$, where $Z_0 = \frac{1}{2} \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}$.

Under the action of J_0 , the complexification $\mathfrak{p}^{\mathbb{C}}$ of \mathfrak{p} decomposes as the direct sum of the $\pm i$ -eigenspaces $\mathfrak{p}^{1,0} \oplus \mathfrak{p}^{0,1}$, namely

$$\mathfrak{p}^{1,0} = \left\{ \begin{pmatrix} Z & iZ \\ iZ & -Z \end{pmatrix} : {}^t Z = Z \right\}, \quad \mathfrak{p}^{0,1} = \left\{ \begin{pmatrix} Z & -iZ \\ -iZ & -Z \end{pmatrix} : {}^t Z = Z \right\}.$$

The flag manifold

$$Y = G^{\mathbb{C}} \cdot \mathbf{x}_+ \cong G^{\mathbb{C}}/Q, \quad \text{where } Q = K^{\mathbb{C}} \exp \mathfrak{p}^{0,1},$$

is the compact dual symmetric space of G/K , and the complexification $G^{\mathbb{C}}/K^{\mathbb{C}}$ of G/K can be realized as a dense open orbit in the product $Y \times \bar{Y}$

$$G^{\mathbb{C}}/K^{\mathbb{C}} \cong G^{\mathbb{C}} \cdot x_0 = \left\{ \left(\begin{bmatrix} R_1 \\ R_2 \end{bmatrix}, \begin{bmatrix} S_1 \\ S_2 \end{bmatrix} \right) \in Y \times \bar{Y} : \begin{vmatrix} R_1 & \bar{S}_1 \\ R_2 & \bar{S}_2 \end{vmatrix} \neq 0 \right\},$$

where $x_0 = (\mathbf{x}_+, \mathbf{x}_+)$ (see [FW05], p. 68).

Define two real G -invariant functions on $G^{\mathbb{C}}/K^{\mathbb{C}}$ as follows

$$f_1 \left(\begin{bmatrix} R_1 \\ R_2 \end{bmatrix}, \begin{bmatrix} S_1 \\ S_2 \end{bmatrix} \right) = \left\| \frac{\left| \begin{pmatrix} {}^t R_1 & {}^t R_2 \end{pmatrix} J \begin{pmatrix} S_1 \\ S_2 \end{pmatrix} \right|}{\left| \begin{matrix} R_1 & \bar{S}_1 \\ R_2 & \bar{S}_2 \end{matrix} \right|} \right\|^2$$

$$f_2 \left(\begin{bmatrix} R_1 \\ R_2 \end{bmatrix}, \begin{bmatrix} S_1 \\ S_2 \end{bmatrix} \right) = \frac{\left| \begin{pmatrix} {}^t R_1 & {}^t R_2 \end{pmatrix} J \begin{pmatrix} \bar{R}_1 \\ \bar{R}_2 \end{pmatrix} \right| \left| \begin{pmatrix} {}^t S_1 & {}^t S_2 \end{pmatrix} J \begin{pmatrix} \bar{S}_1 \\ \bar{S}_2 \end{pmatrix} \right|}{\left\| \begin{matrix} R_1 & \bar{S}_1 \\ R_2 & \bar{S}_2 \end{matrix} \right\|^2}.$$

A simple computation shows that for

$$X = \begin{pmatrix} 0 & \cdots & 0 & x_1 & \cdots & 0 \\ \vdots & & \vdots & \vdots & \ddots & \vdots \\ 0 & \cdots & 0 & 0 & \cdots & x_r \\ 0 & \cdots & 0 & 0 & \cdots & 0 \\ \vdots & & \vdots & \vdots & & \vdots \\ 0 & \cdots & 0 & 0 & \cdots & 0 \end{pmatrix} \in \Lambda_r,$$

one has

$$f_1(\exp iX \cdot x_0) = (1 - x_1^2) \cdots (1 - x_r^2) \quad \text{and} \quad f_2(\exp iX \cdot x_0) = x_1^2 \cdots x_r^2.$$

For $r = 2$, define the G -invariant function $f := 1 - f_1 + f_2$ on $G^{\mathbb{C}}/K^{\mathbb{C}}$. Then, by restricting it to $\exp i\Lambda_2 \cdot x_0$, one obtains a map

$$\Lambda_2 \rightarrow \mathbb{R}, \quad X = x_1 E_1 + x_2 E_2 \rightarrow f(\exp iX \cdot x_0) = x_1^2 + x_2^2.$$

which is an exhaustion function on Λ_r^+ . This fact, together with Corollary 5.3, yields a different proof of Proposition 5.7 for $G = Sp(2, \mathbb{R})$.

A similar proof works for $G = SL(2, \mathbb{R}) = Sp(1, \mathbb{R})$, using the global G -invariant function f_2 .

It would be interesting to obtain a similar global G -invariant function on $G^{\mathbb{C}}/K^{\mathbb{C}}$ in the higher rank case and in general for all Hermitian symmetric spaces. In the case of $Sp(r, \mathbb{R})$, for $r \geq 3$, we know no global G -invariant functions whose restrictions to $\exp(i\Lambda_r) \cdot x_0$ define other linearly independent symmetric functions in the ring $\mathbb{R}[x_1^2, \dots, x_r^2]$. Note that, as a consequence of Proposition 5.7, every symmetric function in $\mathbb{R}[x_1^2, \dots, x_r^2]$ extends continuously and G -equivariantly at least to $\Xi^+ \cup \Xi^-$.

7. ORBIT STRUCTURE OF Ξ^+ .

By the results of the previous section, the map

$$\psi: G \times_K \mathcal{N}^+ \rightarrow \Xi^+, \quad [g, X] \rightarrow g \exp iX \cdot x_0$$

is a G -equivariant homeomorphism. Hence, every G -orbit in Ξ^+ meets $\exp i\mathcal{N}^+ \cdot x_0$ in a K -orbit and the G -orbit structure of Ξ^+ is completely determined by the K -orbit structure of the nilpotent cone $\mathcal{N}^+ = \text{Ad}_K \Lambda_r^+$. In this section we give further details.

Corollary 7.1. *Let X be an element in Λ_r^\pm , and let $\exp iX \cdot x_0$ be the corresponding point in Ξ^+ . Then*

$$G_{\exp iX \cdot x_0} = Z_K(X) = Z_K([\theta X, X]).$$

Proof. Since $\exp iX \cdot x_0 = \psi([e, X])$, by Proposition 5.7 one has

$$G_{\exp iX \cdot x_0} = G_{[e, X]} = Z_K(X),$$

which proves the first equality.

To prove the second equality, write $X = \sum x_j E_j$, with $x_j \geq 0$, for all j . It is clear that

$$\Psi(X) := \sum_j x_j A_j \quad \text{and} \quad [\theta X, X] = \sum_j x_j^2 A_j$$

belong to the same orbit stratum in \mathfrak{a}^\pm . In particular, $Z_K(\Psi(X)) = Z_K([\theta X, X])$. Since $Z_K(X) = Z_K(\Psi(X))$ (by (i) of Lemma 5.6), the rest of the statement follows. \square

The abelian subspace \mathfrak{a} is a slice for the Adjoint action of K on \mathfrak{p} . The generic elements in \mathfrak{a} are those lying on maximal dimensional Ad_K -orbits, i.e.

$$\mathfrak{a}_{gen} = \{H \in \mathfrak{a} : Z_K(H) = Z_K(\mathfrak{a})\}.$$

At Lie algebra level, one has

$$Z_{\mathfrak{k}}(H) = \mathfrak{a} \oplus Z_{\mathfrak{k}}(\mathfrak{a}) \oplus \bigoplus_{\alpha(H)=0} \mathfrak{g}[\alpha]_{\mathfrak{k}},$$

where $\mathfrak{g}[\alpha]_{\mathfrak{k}}$ is the \mathfrak{k} -component of the θ -stable subspace $\mathfrak{g}[\alpha] = \mathfrak{g}^\alpha \oplus \mathfrak{g}^{-\alpha}$ of \mathfrak{g} . The fact that $\Delta(\mathfrak{g}, \mathfrak{a})$ is either of type C_r or BC_r , implies that

$$\mathfrak{a}_{gen} = \left\{ \sum_j a_j A_j : a_j \neq 0 \text{ and } a_j \neq \pm a_l, \text{ for } j, l = 1, \dots, r \text{ and } j \neq l \right\}. \quad (17)$$

Since Λ_r^\pm is a slice for the Ad_K -action on \mathcal{N}^+ , we define generic elements in Λ_r^\pm in a similar way.

Definition 7.2. *An element $X \in \Lambda_r^\pm$ is generic if $Z_K(X) = Z_K(\Lambda_r^\pm)$. The set of generic elements in Λ_r^\pm is denoted by $(\Lambda_r^\pm)_{gen}$.*

Lemma 7.3. *An element X in Λ_r^\pm is generic if and only if $\Psi(X) = [Z_0, X - \theta X]$ (resp. $[\theta X, X]$) is generic in \mathfrak{a} . In particular the set $(\Lambda_r^\pm)_{gen}$ is given by*

$$(\Lambda_r^\pm)_{gen} = \left\{ \sum_j x_j E_j : x_j \neq 0 \text{ and } x_j \neq x_l, \text{ for } j = 1, \dots, r \text{ and } j \neq l \right\},$$

and is dense in Λ_r^\pm .

Proof. Write $X = \sum_j x_j E_j$, with $x_j \geq 0$, for all j . We already observed that $\Psi(X)$ and $[\theta X, X]$ lie in the same orbit stratum in \mathfrak{a} . Moreover, $Z_K(X) = Z_K(\Psi(X))$, by (i) of Lemma 5.6, and $Z_K(\Lambda_r) = Z_K(\Lambda_r^\pm) = Z_K(\mathfrak{a})$, by Lemma 4.1. From (17) it follows that X is generic if and only if $x_j \neq 0$ and $x_j \neq x_l$, for $j, l = 1, \dots, r$ and $j \neq l$, as claimed \square

Lemma 7.4. *Let $X \in \Lambda_r^\pm$ and $k \in K$ be elements such that $\text{Ad}_k X \in \Lambda_r$. Then*

(i) $\text{Ad}_k X$ lies in Λ_r^\pm , implying that $\mathcal{N}^+ \cap \Lambda_r = \Lambda_r^\pm$,

(ii) *there exists $n \in N_K(\Lambda_r)$ such that $\text{Ad}_k X = \text{Ad}_n X$.*

In other words, the intersection $\text{Ad}_K X \cap \Lambda_r$, of the Ad_K -orbit of X with Λ_r , is given by the $W_K(\Lambda_r)$ -orbit of X in Λ_r^\pm .

Proof. (i) We first consider the case when k is an element of $N_K(\mathfrak{a})$ and we set $n := k$. Then Ad_n acts on \mathfrak{a} by signed permutations of the A_j .

Claim. If for some indices $i, h \in \{1, \dots, r\}$ one has $\text{Ad}_n(A_i) = A_h$, then $\text{Ad}_n(E_i) \in \mathfrak{g}^{\lambda_h}$; if $\text{Ad}_n(A_i) = -A_h$, then $\text{Ad}_n(E_i) \in \mathfrak{g}^{-\lambda_h}$.

Proof of the claim. From $[A_i, E_i] = 2E_i$, by applying Ad_n to both terms of the equation we obtain

$$[\text{Ad}_n A_i, \text{Ad}_n E_i] = [A_h, \text{Ad}_n E_i] = 2\text{Ad}_n E_i.$$

Then, in order to show that $\text{Ad}_n E_i \in \mathfrak{g}^{\lambda_h}$, we need to show that $[A_l, \text{Ad}_n E_i] = 0$, for all $l \neq h$. Write

$$[A_l, \text{Ad}_n E_i] = \text{Ad}_n[\text{Ad}_{n^{-1}} A_l, E_i]$$

and observe that $\text{Ad}_{n^{-1}} A_l \in \{\pm A_m\}$, for some $m \neq i$. Then

$$\text{Ad}_n[\text{Ad}_{n^{-1}} A_l, E_i] = \text{Ad}_n[\pm A_m, E_i] = 0,$$

as desired. A similar argument shows the second statement, and concludes the proof of the claim.

Write $X = \sum x_j E_j$, with $x_j \geq 0$, and $\text{Ad}_n X = \sum y_j E_j$, with $y_j \in \mathbb{R}$. Then $\Psi(X) = \sum x_j A_j$ and, since Ψ is Ad_K -equivariant, one has

$$\text{Ad}_n(\Psi(X)) = \sum x_j \text{Ad}_n A_j = \Psi(\text{Ad}_n X) = \sum y_j A_j.$$

Thus, given $i \in \{1, \dots, r\}$, one has $y_h = x_i \geq 0$, if $\text{Ad}_n A_i = A_h$, and $y_h = -x_i \leq 0$, if $\text{Ad}_n A_i = -A_h$. In order to show that $\text{Ad}_n X = \sum y_j E_j$ lies in Λ_r^\pm , we prove that $x_i = 0$ whenever $\text{Ad}_n A_i = -A_h$.

Assume by contradiction that this is not the case. By the above claim, each $\text{Ad}_n E_j$ lies in one of the root spaces of the direct sum $\Lambda_r \oplus \theta\Lambda_r = \bigoplus_j \mathfrak{g}^{\lambda_j} \oplus \mathfrak{g}^{-\lambda_j}$. Moreover, $\text{Ad}_n X = \sum x_j \text{Ad}_n E_j$ has a non-zero component in $\mathfrak{g}^{-\lambda_h}$. This contradicts the fact that $\text{Ad}_n X$ lies in Λ_r and concludes the case when $k = n$ is an element of $N_K(\mathfrak{a})$.

Next, the general case. Both elements $\Psi(X)$ and $\Psi(\text{Ad}_k X) = \text{Ad}_k(\Psi(X))$ belong to \mathfrak{a} and, by [Kna04], Lemma 7.38, p.459, there exists an element $n \in N_K(\mathfrak{a})$ such that

$$\text{Ad}_k(\Psi(X)) = \text{Ad}_n(\Psi(X)).$$

Thus $n^{-1}k$ lies in $Z_K(\Psi(X))$ and also in $Z_K(X)$, by (i) of Lemma 5.6. Therefore

$$\text{Ad}_k X = \text{Ad}_n X.$$

Since we already showed that $\text{Ad}_n X$ belongs to Λ_r^\pm , the proof of (i) is now complete.

(ii) We first consider the case of a generic element X in Λ_r^\pm . By Lemma 7.3, both $\Psi(X) = \sum x_j A_j$ and $\text{Ad}_k(\Psi(X))$ are generic in \mathfrak{a} , implying that $k \in N_K(\mathfrak{a})$. We need to show that $k \in N_K(\Lambda_r)$.

Assume by contradiction that this is not the case. Then, by (iii) of Lemma 4.1, there exist i and h in $\{1, \dots, r\}$ such that $\text{Ad}_k A_i = -A_h$. By the claim contained in the proof of part (i), each $\text{Ad}_k E_j$ lies in one of the root spaces of $\Lambda_r \oplus \theta\Lambda_r$ and $\text{Ad}_k E_i \in \mathfrak{g}^{-\lambda_h}$. Since Lemma 7.3 implies that all x_j are strictly positive, $\text{Ad}_k X = \sum x_j \text{Ad}_k E_j$ has a non-zero component in $\mathfrak{g}^{-\lambda_h}$. This contradicts the fact that $\text{Ad}_k X$ lies in Λ_r . Therefore $k \in N_K(\Lambda_r)$, as wished.

Now let X be an arbitrary element in Λ_r^\pm . By (i) we know that $\text{Ad}_k X \in \Lambda_r^\pm$. Choose fundamental systems of open neighborhoods $\{U_X^m\}_{m \in \mathbb{N}}$ and $\{U_{\text{Ad}_k X}^m\}_{m \in \mathbb{N}}$ of X and $\text{Ad}_k X$ in Λ_r^\pm , respectively. By Corollary 5.9, the sets $\text{Ad}_K U_X^m$ and $\text{Ad}_K U_{\text{Ad}_k X}^m$

are open in \mathcal{N}^+ . By considering intersections if necessary, we may assume that $\text{Ad}_K U_X^m = \text{Ad}_K U_{\text{Ad}_k X}^m$, for all $m \in \mathbb{N}$.

For each $m \in \mathbb{N}$ choose an element X_m in $(\Lambda_r^\perp)^{gen} \cap U_X^m$. Then there exists $k_m \in K$ such that $\text{Ad}_{k_m} X_m \in U_{\text{Ad}_k X}^m$. By construction $X_m \rightarrow X$ and $\text{Ad}_{k_m} X_m \rightarrow \text{Ad}_k X$. Moreover, by the first part of the proof of (ii), there exists elements $n_m \in N_K(\Lambda_r)$ such that $\text{Ad}_{k_m} X_m = \text{Ad}_{n_m} X_m$. Being $N_K(\Lambda_r)$ compact, we may assume that $n_m \rightarrow n \in N_K(\Lambda_r)$. Thus

$$\text{Ad}_k X = \lim_m \text{Ad}_{k_m} X_m = \lim_m \text{Ad}_{n_m} X_m = \text{Ad}_n X,$$

with $n \in N_K(\Lambda_r)$, as wished. \square

By Lemma 4.1 the closure $(\overline{\Lambda_r^\perp})^+$ of the open chamber

$$(\Lambda_r^\perp)^+ := \{x_1 E_1 + \cdots + x_r E_r : x_1 > x_2 > \cdots > x_r > 0\}$$

is a perfect slice for the $W_K(\Lambda_r)$ -action on Λ_r^\perp .

Corollary 7.5.

- (i) The closure $(\overline{\Lambda_r^\perp})^+$ of the open chamber $(\Lambda_r^\perp)^+$ is a perfect slice for the Ad_K -action on \mathcal{N}^+ .
- (ii) For $X \in \Lambda_r^\perp$ one has

$$G \exp iX \cdot x_0 \bigcap \exp i\Lambda_r^\perp \cdot x_0 = \exp i(W_K(\Lambda_r) \cdot X) \cdot x_0$$

- (iii) There are homeomorphisms of orbit spaces

$$\Xi^+ / G \cong \Lambda_r^\perp / W_K(\Lambda_r) \cong (\overline{\Lambda_r^\perp})^+.$$

Proof. Part (i) follows from (ii) of Lemma 7.4. For parts (ii) and (iii), Lemma 7.4 implies that every G -orbit in $G \times_K \mathcal{N}^+$ intersects $\Lambda_r^\perp \cong \{[e, X] \in G \times_K \mathcal{N}^+ : X \in \Lambda_r^\perp\}$ in a $W_K(\Lambda_r)$ orbit. Since by Proposition 5.7, the map $G \times_K \mathcal{N}^+ \rightarrow \Xi^+$, given by $[g, X] \rightarrow g \exp iX$, is a G -equivariant homeomorphism, the statements follow. \square

Remark. Observe that inside Ξ^+ there is a proper inclusion

$$\exp i\Lambda_r^\perp \cdot x_0 \subset \Xi^+ \cap \exp i\Lambda_r \cdot x_0,$$

and that

$$\{X \in \Lambda_r : \exp iX \cdot x_0 \in \Xi^+\} = \bigoplus_{j=1}^r (-1, \infty) E_j$$

(cf. [Kro08], p. 286). In fact, there exist elements $X \in \Lambda_r^\perp$, $Y \in \Lambda_r \setminus \Lambda_r^\perp$ and $g \in G \setminus K$ such that

$$g \exp iX \cdot x_0 = \exp iY \cdot x_0.$$

For example, for $G/K = SL(2, \mathbb{R})/SO(2, \mathbb{R})$, take $-1 < x < 1$ and $b := \sqrt{1-x^2}$. Then $\begin{pmatrix} 0 & b \\ -1/b & 0 \end{pmatrix} \in G$ and $\begin{pmatrix} -ix/b & 1/b \\ -1/b & -ix/b \end{pmatrix} \in SO(2, \mathbb{C})$; moreover the following relation holds

$$\begin{pmatrix} 0 & b \\ -1/b & 0 \end{pmatrix} \begin{pmatrix} 1 & ix \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -ix \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -ix/b & 1/b \\ -1/b & -ix/b \end{pmatrix}.$$

This shows that the elements

$$\exp i \begin{pmatrix} 0 & -x \\ 0 & 0 \end{pmatrix} \cdot x_0 \quad \text{and} \quad \exp i \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \cdot x_0$$

lie on the same G -orbit in Ξ^+ , even though not on the same K -orbit.

On the subdomains

$$(-1, \infty)E_1 \oplus \cdots \oplus (-1, 1)E_{\bar{j}} \oplus \cdots \oplus (-1, \infty)E_r,$$

which are defined for $\bar{j} \in \{1, \dots, r\}$, one has additional symmetries which identify different elements on the same G -orbit in Ξ^+ . Namely, for $-1 < x_{\bar{j}} < 1$, let $g_{\bar{j}}$ be the image of the element

$$\begin{pmatrix} 0 & \sqrt{1-x_{\bar{j}}^2} \\ -1/\sqrt{1-x_{\bar{j}}^2} & 0 \end{pmatrix}$$

in the $SL(2, \mathbb{R})$ -subgroup of G generated by the $\mathfrak{sl}(2)$ -triple $\{E_{\bar{j}}, \theta E_{\bar{j}}, A_{\bar{j}}\}$. Then $g_{\bar{j}} \exp i(x_1 E_1 + \cdots + x_{\bar{j}} E_{\bar{j}} + \cdots + x_r E_r) \cdot x_0 = \exp i(x_1 E_1 + \cdots - x_{\bar{j}} E_{\bar{j}} + \cdots + x_r E_r) \cdot x_0$. Thus inside the \bar{j}^{th} subdomain of Λ_r defined as above, the elements X and $r_{\bar{j}}(X)$, with $r_{\bar{j}}$ the reflection with respect to the \bar{j}^{th} coordinate plane, are mapped into each other by $g_{\bar{j}}$. Therefore they lie on the same G -orbit, even though not on the same K -orbit.

8. THE DOMAIN Ξ^+ AND ITS DISTINGUISHED STEIN SUBDOMAINS.

Let G/K be a rank-one Hermitian symmetric space. In [Gela08] it was shown that, beside the crown Ξ , the domain Ξ^+ contains another distinguished G -invariant subdomain with the peculiarity that its boundary contains no principal orbits of $G^{\mathbb{C}}/K^{\mathbb{C}}$ (i.e. closed G -orbits of maximal dimension).

In the tube case $SL(2, \mathbb{R})/SO(2, \mathbb{R})$, such a subdomain S^+ arises from the compactly causal structure of a symmetric G -orbit in the semisimple boundary $\partial_s \Xi$ of the crown and it is Stein. It also turns out that every Stein, invariant, proper subdomain of Ξ^+ is either contained in Ξ or in S^+ . In the non-tube case $SU(n, 1)/U(n)$, for $n > 1$, such a subdomain Ω^+ arises from the compactly causal structure of the orbit of a proper subgroup of G in $\partial_s \Xi$. The domain Ω^+ is not Stein and contains no invariant Stein subdomains. In this case, every Stein, invariant, proper subdomain of Ξ^+ is contained in Ξ .

The purpose of this section is to prove that the domains S^+ and Ω^+ have higher rank analogues, which are contained in Ξ^+ . Since the proofs rely on the rank-one reduction, we recall the rank-one case in detail.

8.1. The rank-one case. We begin with the *tube-case* $G/K = SL(2, \mathbb{R})/SO(2, \mathbb{R})$. Fix the $\mathfrak{sl}(2, \mathbb{R})$ -triple

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad \theta E = \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix}, \quad A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (18)$$

normalized as in (5), and the complex structure $J_0 = \text{ad}_{Z_0}$ determined by the element $Z_0 = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \in Z(\mathfrak{k})$. In [Kro08] and [KrOp08] the crown Ξ and the domain Ξ^+ were described as follows

$$\begin{aligned} \Xi &= G \exp i(-1, 1)E \cdot x_0 = G \exp i[0, 1)E \cdot x_0, \\ \Xi^+ &= G \exp i(-1, \infty)E \cdot x_0 = G \exp i[0, \infty)E \cdot x_0, \end{aligned}$$

where $x_0 = (eQ, eQ)$ (see Section 3). Set $\mathfrak{a} = \mathbb{R}A$ and define

$$g_1 := \exp\left(i\frac{\pi}{2}\frac{A}{2}\right) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1+i & 0 \\ 0 & 1-i \end{pmatrix} \in \exp i\mathfrak{a}, \quad (19)$$

where $\frac{1}{2}A$ is the dual root of α in \mathfrak{a} . Since $\alpha(\frac{\pi}{2}\frac{A}{2}) = \frac{\pi}{2}$, the point $x_1 := g_1 \cdot x_0$ lies on the semisimple boundary of Ξ . The orbit $G \cdot x_1$ is diffeomorphic to the symmetric space of Cayley type $G/H = SL(2, \mathbb{R})/SO(1, 1)$ (both compactly and non-compactly causal), with involution $\tau = \text{Ad}_{g_1^2}\theta$ (see [GeIa08], Lemma 4.3). The associated symmetric algebra is given by

$$\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{q}, \quad \mathfrak{h} = \mathbb{R} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \mathfrak{q} = \mathbb{R} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + \mathbb{R} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

The abelian subspace \mathfrak{a} lies in $\mathfrak{q} \cap \mathfrak{p}$, and the triple $\{E, \theta E, A\}$ satisfies the further condition $\theta E = -\tau E$. Set $T := E + \theta E$. Then

$$Z_0 = \frac{1}{2}T$$

and $\mathfrak{c} = \mathbb{R}T$ is a compact Cartan subspace in $\mathfrak{q} \cap \mathfrak{k}$. Since G/H is a compactly causal symmetric space of *rank-one*, there exist precisely two proper, open, convex, Ad_H -invariant, elliptic cones W^\pm in \mathfrak{q} , intersecting \mathfrak{c} in the open halflines $\pm(0, \infty)T$, and satisfying $\overline{W_{min}^\pm} = \overline{\text{conv}(\text{Ad}_H(\mathbb{R}^+ Z_0))}$. Define

$$S^+ := G \exp iW^+ \cdot x_1 = G \exp i(0, \infty)T \cdot x_1.$$

Since the isotropy subgroup of x_1 in $G^\mathbb{C}$ is given by $H^\mathbb{C} := g_1 K^\mathbb{C} g_1^{-1}$, the map

$$G^\mathbb{C}/H^\mathbb{C} \rightarrow G^\mathbb{C}/K^\mathbb{C}, \quad gH^\mathbb{C} \rightarrow gg_1 K^\mathbb{C},$$

is a $G^\mathbb{C}$ -equivariant biholomorphism. Moreover $G \exp iW^+ H^\mathbb{C}/H^\mathbb{C}$ is a Stein domain in $G^\mathbb{C}/H^\mathbb{C}$ ([Nee99], Thm. 3.5, p. 205). Consequently S^+ is a Stein, G -invariant domain in $G^\mathbb{C}/K^\mathbb{C}$ with the orbit $G \cdot x_1$ in its boundary.

In the next lemma we show that Ξ^+ contains both the crown Ξ and the domain S^+ . An analogous computation was carried out in [KrOp08], Sect. 3.2, for the crown domain using the hyperbolic model $SO_0(1, 2, \mathbb{C})/SO(2, \mathbb{C})$.

Lemma 8.1. *Set $k_0 = \exp \frac{\pi}{4}T$.*

(i) *For $t \in (-\pi/4, \pi/4)$ define $a_1(t) = \exp \frac{1}{\sqrt{\cos 2t}}A$. One has*

$$\exp itA \cdot x_0 = k_0 a_1(t) \exp i \sin 2tE \cdot x_0. \quad (20)$$

In particular $\exp itA \cdot x_0 \in G \exp i \sin 2tE \cdot x_0$ and

$$\Xi = G \exp i[0, 1)E \cdot x_0.$$

(ii) *For $t \in (0, \infty)$ define $a_2(t) = \exp \frac{1}{\sqrt{\sinh 2t}}A$. One has*

$$\exp itT g_1 \cdot x_0 = k_0 a_2(t) \exp i \cosh 2tE \cdot x_0. \quad (21)$$

In particular $\exp itT g_1 \cdot x_0 \in G \exp i \cosh 2tE \cdot x_0$ and

$$S^+ = G \exp i(1, \infty)E \cdot x_0.$$

Proof. Part (i) follows by showing that

$$\exp itA = k_0 a_1(t) \exp i \sin 2tE k,$$

for some $k \in SO(2, \mathbb{C})$. The proof is a simple matrix computation with

$$\exp itA = \begin{pmatrix} e^{it} & 0 \\ 0 & e^{-it} \end{pmatrix}, \quad k_0 = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}, \quad a_1(t) = \begin{pmatrix} \frac{1}{\sqrt{\cos 2t}} & 0 \\ 0 & \sqrt{\cos 2t} \end{pmatrix}$$

$$\exp i \sin 2tE = \begin{pmatrix} 1 & i \sin 2t \\ 0 & 1 \end{pmatrix}, \quad k = \frac{1}{\sqrt{2 \cos 2t}} \begin{pmatrix} e^{-it} & -e^{it} \\ e^{it} & e^{-it} \end{pmatrix}.$$

The second equality follows directly from equation (20) and the definition of Ξ .

Similarly, part (ii) follows by showing that

$$k = g_1^{-1} (\exp itT)^{-1} k_0 a_2(t) \exp i \cosh 2tE$$

is an element of $SO(2, \mathbb{C})$. The proof is a simple matrix computation with

$$g_1^{-1} = \begin{pmatrix} \frac{1-i}{\sqrt{2}} & 0 \\ 0 & \frac{1+i}{\sqrt{2}} \end{pmatrix}, \quad (\exp itT)^{-1} = \begin{pmatrix} \cosh t & -i \sinh t \\ i \sinh t & \cosh t \end{pmatrix}, \quad k_0 = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$a_2(t) = \begin{pmatrix} \frac{1}{\sqrt{\sinh 2t}} & 0 \\ 0 & \sqrt{\sinh 2t} \end{pmatrix}, \quad \exp i \cosh 2tE = \begin{pmatrix} 1 & i \cosh 2t \\ 0 & 1 \end{pmatrix}.$$

The final part of the statement follows from equation (21) and the definition of S^+ . \square

In Example 6.3 in [GeIa08] it is shown that the orbit $G \cdot w$ of the point $w := \exp iE \cdot x_0$ is a real hypersurface in Ξ^+ , lying in the common boundary of Ξ and S^+ inside Ξ^+ and having $G \cdot x_1$ in its closure. This fact together with Lemma 8.1 yields the following description of Ξ^+ .

Proposition 8.2. *The domain Ξ^+ in $SL(2, \mathbb{C})/SO(2, \mathbb{C})$ is given by*

$$\Xi^+ = G \exp i[0, \infty)E \cdot x_0 = \Xi \cup G \cdot w \cup S^+,$$

where $G \cdot w$ is a hypersurface orbit lying in the common boundary of Ξ and S^+ .

In the *non-tube case* $SU(n, 1)/U(n)$, for $n > 1$, an analogue of Proposition 8.2 holds true. Define $x_1 = g_1 \cdot x_0$, where $g_1 = \exp(i\frac{\pi}{2}\frac{A}{2})$ and $\alpha(A) = 1$. Since $\alpha(\frac{\pi}{2}\frac{A}{2}) = \frac{\pi}{4}$ and $2\alpha(\frac{\pi}{2}\frac{A}{2}) = \frac{\pi}{2}$, the point x_1 lies on the semisimple boundary of the crown. In Example 6.3 in [GeIa08], one can see that the orbit $G \cdot x_1$ is a homogeneous space of dimension $\dim_{\mathbb{R}} G \cdot x_1 = 2(2n - 1)$ and that it is not a G -symmetric space. The group $\widehat{G} := Z_G(g_1^4)$ is a proper subgroup of G and the orbit $\widehat{G} \cdot x_1 \subset G \cdot x_1$ is a symmetric space diffeomorphic to $SU(1, 1)/SO(1, 1) \cong SL(2, \mathbb{R})/SO(1, 1)$, embedded in $G^{\mathbb{C}}/K^{\mathbb{C}}$ as a totally real submanifold. The isotropy subgroups of x_1 in G and in \widehat{G} coincide and the slice representation at x_1 is equivalent to the isotropy representation of $\widehat{G} \cdot x_1$. This can be seen most clearly at Lie algebra level. Consider the restricted root decomposition of $\mathfrak{g} = \mathfrak{su}(n, 1)$

$$\mathfrak{g} = Z_{\mathfrak{k}}(\mathfrak{a}) \oplus \mathfrak{a} \oplus \mathfrak{g}^{\alpha} \oplus \mathfrak{g}^{-\alpha} \oplus \mathfrak{g}^{2\alpha} \oplus \mathfrak{g}^{-2\alpha},$$

and denote by $\mathfrak{su}(1, 1)_{2\alpha}$ the 3-dimensional Lie subalgebra spanned by the vectors $A \in \mathfrak{a}$, $E \in \mathfrak{g}^{2\alpha}$ and $\theta E \in \mathfrak{g}^{-2\alpha}$, normalized as in (5). Then the Lie algebra of \widehat{G} and the isotropy subalgebra at x_1 are given by

$$\widehat{\mathfrak{g}} = Z_{\mathfrak{k}}(\mathfrak{a}) \oplus \mathfrak{su}(1, 1)_{2\alpha} \quad \text{and} \quad \mathfrak{g}_{x_1} = \widehat{\mathfrak{g}}_{x_1} = Z_{\mathfrak{k}}(\mathfrak{a}) \oplus \mathbb{R}(E - \theta E),$$

respectively. The tangent space to the orbit $G \cdot x_1$

$$T_{x_1}(G \cdot x_1) \cong \mathfrak{g}^{\alpha} \oplus \mathfrak{g}^{-\alpha} \oplus \mathbb{R}\mathfrak{a} \oplus \mathbb{R}(E + \theta E)$$

contains the $\text{Ad}_{G_{x_1}}$ -invariant subspace

$$T_{x_1}(\widehat{G} \cdot x_1) \cong \mathbb{R}\mathfrak{a} \oplus \mathbb{R}(E + \theta E),$$

which is isomorphic to the tangent space of the Cayley type symmetric space $SL(2, \mathbb{R})/SO(1, 1)$ endowed with the isotropy action. Moreover multiplication by i defines an equivariant isomorphism onto the slice representation at x_1 . Recall that by Lemma 2.4 the element $Z_0 \in Z(\mathfrak{k})$ defining the complex structure of G/K can be written as $Z_0 = S + T_0$, where $S \in Z_K(\mathfrak{a})$ and $T_0 = \frac{1}{2}(E + \theta E)$. Denote by W^+

the maximal proper, open, convex, $\text{Ad}_{G_{x_1}}$ -invariant, elliptic cone in $T_{x_1}(\widehat{G} \cdot x_1)$, satisfying $\overline{W^+} = \overline{\text{conv}(\text{Ad}_{G_{x_1}}(\mathbb{R}^+ T_0))}$. Then

$$\Omega^+ = G \exp iW^+ \cdot x_1 = G(\exp i(0, \infty)T_0) g_1 \cdot x_0$$

is an open G -invariant domain in $G^{\mathbb{C}}/K^{\mathbb{C}}$.

In Example 4.7 and Example 6.3 in [GeIa08] it was shown that the orbit $G \cdot w$ of the point $w := \exp iE \cdot x_0$, is a real hypersurface in Ξ^+ , lying in the common boundary of Ξ and Ω^+ and having $G \cdot x_1$ in its closure.

Proposition 8.3. *The domain Ξ^+ in $SL(n+1, \mathbb{C})/GL(n, \mathbb{C})$ is given by*

$$\Xi^+ = G \exp i[0, \infty)E \cdot x_0 = \Xi \cup G \cdot w \cup \Omega^+,$$

where $G \cdot w$ is a hypersurface orbit lying in the common boundary of Ξ and Ω^+ .

Like the domain S^+ in the $SL(2, \mathbb{R})$ -case, the domain Ω^+ has the peculiarity that its boundary $\partial\Omega^+$ consists of non-principal G -orbits in $G^{\mathbb{C}}/K^{\mathbb{C}}$. But unlike S^+ , the domain Ω^+ is not Stein and contains no G -invariant Stein subdomains (see [GeIa08], Ex. 6.3).

8.2. The higher rank case. Let G/K be a Hermitian symmetric space of rank $r > 1$. Denote by $\{\omega_1, \dots, \omega_r\}$ the dual basis of the simple roots $\{\alpha_1, \dots, \alpha_r\}$. Define

$$g_1 := \exp\left(i\frac{\pi}{2} \frac{\omega_r}{k_r}\right) \in \exp i\mathfrak{a}, \quad (22)$$

where k_r is the coefficient of the r -th simple restricted root α_r in the highest root $\alpha_h \in \Delta(\mathfrak{g}, \mathfrak{a})^+$. If G/K is of tube type, then $\Delta(\mathfrak{g}, \mathfrak{a})$ is of type C_r and the highest root is given by $\alpha_h = 2\alpha_1 + \dots + 2\alpha_{r-1} + \alpha_r$. Hence $k_r = 1$ and $g_1 = \exp(i\frac{\pi}{2}\omega_r)$. If G/K is not of tube type, then $\Delta(\mathfrak{g}, \mathfrak{a})$ is of type BC_r and $\alpha_h = 2\alpha_1 + \dots + 2\alpha_r$. Hence $k_r = 2$ and $g_1 = \exp(i\frac{\pi}{2}\frac{\omega_r}{2})$.

In both cases $|\alpha(\frac{\pi}{2}\frac{\omega_r}{k_r})| \leq \frac{\pi}{2}$, for all restricted roots α , and $|\lambda_r(\frac{\pi}{2}\frac{\omega_r}{k_r})| = \frac{\pi}{2}$, where λ_r is as in (3). This shows that $x_1 = g_1 \cdot x_0$ is a point on the semisimple boundary of the crown domain. For $j = 1, \dots, r$, define

$$g_{1,j} := \exp\left(i\frac{\pi}{2} \frac{A_j}{2}\right),$$

where A_j is as in (4). The element $g_{1,j}$ lies in the $SL(2, \mathbb{C})$ -subgroup of $G^{\mathbb{C}}$ corresponding to the j^{th} triple defined in (4).

Lemma 8.4. *One has*

$$\omega_r = \frac{1}{2}(A_1 + A_2 + \dots + A_r), \quad \text{in the tube case,}$$

$$\omega_r = A_1 + A_2 + \dots + A_r, \quad \text{in the non-tube case.}$$

As a consequence, the following identity holds

$$g_1 = \prod_{j=1}^r g_{1,j}.$$

Proof. In the tube case, (1) and the relations $\lambda_i(\frac{1}{2}A_j) = \delta_{ij}$, imply that $\alpha_j(\frac{1}{2}(A_1 + A_2 + \dots + A_r)) = \delta_{jr}$, for $j = 1, \dots, r$. Therefore $\omega_r = \frac{1}{2}(A_1 + A_2 + \dots + A_r)$.

In the non-tube case, (2) and the relations $\lambda_i(\frac{1}{2}A_j) = \delta_{ij}$ imply that $\alpha_j(A_1 + A_2 + \dots + A_r) = \delta_{jr}$, for $j = 1, \dots, r$. Thus $\omega_r = A_1 + A_2 + \dots + A_r$, proving the first part of the statement. Since the $\mathfrak{sl}(2, \mathbb{R})$ -triples defined in (4) commute, one has

$$\begin{aligned} g_{1,1} \cdot \dots \cdot g_{1,r} &= \exp(i\frac{\pi}{2}\frac{A_1}{2}) \cdot \dots \cdot \exp(i\frac{\pi}{2}\frac{A_r}{2}) = \\ &= \exp(i\frac{\pi}{2}(\frac{1}{2}(A_1 + A_2 + \dots + A_r))) = g_1, \end{aligned}$$

as claimed. \square

8.2.1. The tube case. Let G/K be an irreducible Hermitian symmetric space of tube type. We begin by showing that the semisimple boundary of the crown domain Ξ contains a point x_1 whose G -orbit is an irreducible symmetric space G/H of Cayley type. As a consequence, x_1 also lies on the boundary of two G -invariant Stein domains $S^\pm \subset G^\mathbb{C}/K^\mathbb{C}$, arising from the compactly causal structure of G/H . Such domains appear in a larger class of Stein domains studied by Neeb in [Nee99]. The main purpose of this subsection is to show that the domain Ξ^+ contains both Ξ and the domain S^+ , as well as part of their boundaries.

Lemma 8.5. *Let G/K be an irreducible Hermitian symmetric space of tube type. Then the G -orbit of the point $x_1 = g_1 \cdot x_0$ in $G^\mathbb{C}/K^\mathbb{C}$ is a totally real semisimple symmetric space G/H of Cayley type, with involution $\tau = \text{Ad}_{g_2}\theta$ and $H = G^\tau$. The space G/H has the same rank, real rank and dimension as G/K .*

Proof. In the tube case $\omega_r = \frac{1}{2}(A_1 + A_2 + \dots + A_r)$. It is easy to check that $|\alpha(\frac{\pi}{2}\omega_r)| \leq \frac{\pi}{2}$, for every root $\alpha \in \Delta(\mathfrak{g}, \mathfrak{a})$ and that $\alpha_r(\frac{\pi}{2}\omega_r) = \frac{\pi}{2}$. This shows that x_1 lies on the semisimple boundary $\partial_s \Xi$ of the crown domain Ξ . More precisely, one has $\alpha(\frac{\pi}{2}\omega_r) \in \mathbb{Z}\frac{\pi}{2}$, for every $\alpha \in \Delta(\mathfrak{g}, \mathfrak{a})$. Then the orbit $G \cdot x_1$, with the involution $\tau = \text{Ad}_{g_1}\theta \text{Ad}_{g_1^{-1}} = \text{Ad}_{g_2}\theta$, is a pseudo-Riemannian symmetric space, say G/H , of the same rank, real rank and dimension as G/K (see [Gea12], Lemma 2.2). Since $x_1 \in \partial_s \Xi$, by [GiKr02], Thm. B, the space G/H is a non-compactly causal symmetric space.

From the definition of τ and Lemma 8.4, one can check that the further conditions $\theta E_j = -\tau E_j$, for $j = 1, \dots, r$, are satisfied. Consequently, all the vectors $T_j := E_j + \theta E_j$, and in particular the element $Z_0 = \frac{1}{2} \sum_j T_j$ in the center of \mathfrak{k} (see Prop. 2.6), are contained in $\mathfrak{q} \cap \mathfrak{k}$. By Thm. 1.3.8 and Rem. 1.3.9 in [HiO197], the space G/H is also compactly causal, and therefore of Cayley type, as claimed. \square

Let $(\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{q}, \tau)$ be the symmetric algebra associated to the Cayley type symmetric space G/H and let W^\pm denote the *maximal* proper, open, convex, Ad_H -invariant, elliptic cones in \mathfrak{q} . Set $H^\mathbb{C} = g_1 K^\mathbb{C} g_1^{-1}$. Then the two domains $G \exp iW^\pm H^\mathbb{C} / H^\mathbb{C}$ in $G^\mathbb{C} / H^\mathbb{C}$ are Stein (cf. [Nee99], Thm. 3.5, p. 205), and likewise

$$S^\pm := G \exp iW^\pm \cdot x_1 = G \exp iW^\pm g_1 \cdot x_0$$

are G -invariant, Stein domains in $G^\mathbb{C}/K^\mathbb{C}$.

It is important to observe that for the Cayley type symmetric space G/H , the *maximal* and the *minimal* proper, open, convex, Ad_H -invariant, elliptic cones in \mathfrak{q} coincide: under the Adjoint action of H , the space \mathfrak{q} decomposes as the direct sum of irreducibles subspaces $\mathfrak{q}^+ \oplus \mathfrak{q}^-$, with the property that $\mathfrak{q}^- = -\theta \mathfrak{q}^+$. Each summand contains closed, convex, Ad_H -invariant cones $\pm C_+ \subset \mathfrak{q}^+$ and $\pm C_- \subset \mathfrak{q}^-$, with the property that the minimal elliptic and hyperbolic closed cones in \mathfrak{q} are given by $\pm(C_+ - C_-)$ and $\pm(C_+ + C_-)$, respectively (cf. [HiO197], p.53). In

particular, for the minimal closed, Ad_H -invariant elliptic cone $\overline{W_{min}^+}$, there is an isomorphism $\overline{W_{min}^+} \cong C_+ + C_+$.

Denote by C_+^0 the interior of C_+ . Since the symmetric space G/K is biholomorphic to the tube domain $\mathfrak{q}^+ + iC_+^0$ (see [HiOl97], Rem.2.6.9, p.55), the cone C_+ is selfadjoint (i.e. it coincides with its dual cone). As a consequence, the minimal proper, closed, convex, Ad_H -invariant, elliptic cone in \mathfrak{q} is selfadjoint and coincides with the maximal one, which by definition is its dual cone $\left(\overline{W_{min}^+}\right)^*$. The same is true for the respective interior parts.

Let $(\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{q}, \tau)$ be the symmetric algebra associated to the Cayley type symmetric space G/H . Since the involutions θ and τ commute, \mathfrak{g} has a joint eigenspace decomposition $\mathfrak{g} = (\mathfrak{h} \cap \mathfrak{k}) \oplus (\mathfrak{h} \cap \mathfrak{p}) \oplus (\mathfrak{q} \cap \mathfrak{k}) \oplus (\mathfrak{q} \cap \mathfrak{p})$. Let \mathfrak{a} be a maximal abelian subspace in $\mathfrak{q} \cap \mathfrak{p}$. Then \mathfrak{a} is maximal abelian in \mathfrak{p} and in \mathfrak{q} (see [HiOl97], Prop. 3.1.11, p.77).

Fix a set of commuting $\mathfrak{sl}(2, \mathbb{R})$ -triples $\{E_j, \theta E_j, A_j\}$ as in (4). As we remarked in the proof of Lemma 8.5, each $T_j := E_j + \theta E_j$ is contained in $\mathfrak{q} \cap \mathfrak{k}$ and $\mathfrak{c} := \text{span}_{\mathbb{R}}\{T_1, \dots, T_r\}$ is a compact Cartan subspace in \mathfrak{q} . In particular, \mathfrak{c} contains the element $Z_0 = \frac{1}{2}(T_1 + \dots + T_r) \in Z(\mathfrak{k})$ (see Prop. 2.6).

Lemma 8.6. *Let G/K be an irreducible Hermitian symmetric space of tube type. Then*

$$S^+ = G \left(\exp i \bigoplus_{j=1}^r (0, \infty) T_j \right) g_1 \cdot x_0.$$

Proof. A proper, closed, convex, Ad_H -invariant, elliptic cone in \mathfrak{q} intersects the compact Cartan subspace \mathfrak{c} in a proper, closed, convex, $W_H(\mathfrak{c})$ -invariant, elliptic cone. Since the cone $\overline{W^+}$ is selfadjoint (i.e. maximal and minimal), we can identify the intersection $\overline{W_{\mathfrak{c}}^+} := \overline{W^+} \cap \mathfrak{c}$ with a minimal proper, closed, convex, $W_H(\mathfrak{c})$ -invariant, elliptic cone in \mathfrak{c} . We prove the lemma by showing that

$$\overline{W_{\mathfrak{c}}^+} = \bigoplus_{j=1}^r [0, \infty) T_j.$$

In order to do this we first observe that

$$W_H(\mathfrak{c}) \cong W_{H \cap K}(\mathfrak{c}) \cong W_{H^0 \cap K}(\mathfrak{c}),$$

where the second isomorphism follows from the fact that the space G^c/H is non-compactly causal, with $i\mathfrak{c}$ hyperbolic maximal abelian in $i\mathfrak{q}$. Then, by [HiOl97], Thm. 3.1.18 and Thm. 3.1.20, the group H is essentially connected, i.e. $H = H^0 Z_{H \cap K}(i\mathfrak{c})$ (see [HiOl97], Def. 3.1.16).

Next we need to recall the characterization of the minimal proper, closed, convex, $W_{H^0}(\mathfrak{c})$ -invariant, elliptic cones in \mathfrak{c} (see [KrNe96]). Consider the restricted root system $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$ of $\mathfrak{g}^{\mathbb{C}}$ with respect to $\mathfrak{c}^{\mathbb{C}}$. Define the Lie subalgebra $\mathfrak{r} = \mathfrak{q} \cap \mathfrak{k} \oplus [\mathfrak{q} \cap \mathfrak{k}, \mathfrak{q} \cap \mathfrak{k}] \subset \mathfrak{k}$. A root $\alpha \in \Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$ is called compact if $\mathfrak{g}^{\alpha} \cap \mathfrak{r}^{\mathbb{C}} \neq \{0\}$, and non-compact otherwise. Denote by $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})_{\mathfrak{c}}$ and $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})_{\mathfrak{n}}$ the compact and non-compact roots in $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$, respectively. The root system $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$ is called split if $\mathfrak{g}^{\alpha} \subset \mathfrak{k}^{\mathbb{C}}$, for all compact roots α . The Weyl group $W_{H^0 \cap K}(\mathfrak{c})$ is isomorphic to the group $W_{\mathfrak{c}}$ generated by the reflections in the compact roots ([KrNe96], Def.III.9 and Prop. V.2.i). If the positive non-compact roots $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})_{\mathfrak{n}}$ are stable under the group $W_{\mathfrak{c}}$, the system $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})^+$ is called \mathfrak{r} -adapted.

If the symmetric algebra (\mathfrak{g}, τ) is compactly causal then the restricted root system $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$ is split and admits an \mathfrak{r} -adapted positive system. Moreover the

minimal proper, closed, convex, $W_{H^0 \cap K}(\mathfrak{c})$ -invariant, elliptic cones in \mathfrak{c} have the following characterization

$$\overline{iW_{\mathfrak{c}}^{\pm}} := \pm \text{cone}(\{h_{\alpha}\}_{\alpha \in \Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})_n}),$$

where $h_{\alpha} \in i\mathfrak{c}$ is defined by $\alpha(H) = B(H, h_{\alpha})$.

Now we come to our situation: since \mathfrak{c} is the image of \mathfrak{a} under a Cayley transform, the root system $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$ is isomorphic to the ordinary restricted root system $\Delta(\mathfrak{g}, \mathfrak{a})$, and is of type C_r . For simplicity, identify $\mathfrak{c}_{\mathbb{R}} = i\mathfrak{c}$ with $\mathfrak{c}_{\mathbb{R}}^*$ using the Killing form. Since the restrictions of the roots $\tilde{\lambda}_1, \dots, \tilde{\lambda}_r$ defined in Lemma 2.1 are non-compact in $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$, one has that

$$\text{cone}(\{2e_j\}_j) \subset \overline{iW_{\mathfrak{c}}^+}.$$

The fact that the image of $\text{cone}(\{2e_j\}_{j=1, \dots, r})$ under the reflections with respect to roots of the form $\pm(e_i + e_j)$, for $1 \leq i < j \leq r$, is not contained in any regular cone in $i\mathfrak{c}$, implies that such roots are necessarily non-compact. It follows that

$$\text{cone}(\{2e_j\}_j) = \text{cone}(\{2e_j, (e_i + e_k)\}_{j, i \neq k}) \subset \overline{iW_{\mathfrak{c}}^+}.$$

We claim that all roots of the form $\pm(e_i - e_j)$, for $1 \leq i < j \leq r$ are compact. In order to see this, first observe that the compact roots are a non-empty proper subset of $\Delta(\mathfrak{g}^{\mathbb{C}}, \mathfrak{c}^{\mathbb{C}})$. Then assume by contradiction that there is a non-compact root of the form $e_i - e_k$, for some $i < k$. Without loss of generality, we may also assume that either $e_i - e_j$, for some $i < j$, or $e_j - e_k$, for some $j < k$, is compact. From the $W_{\mathfrak{c}}$ -invariance of the cone $\overline{iW_{\mathfrak{c}}^+}$ and

$$r_{e_i - e_j}(e_i - e_k) = e_j - e_k \quad \text{and} \quad r_{e_j - e_k}(e_i - e_k) = e_i - e_j,$$

we deduce that either $e_j - e_k$ or $e_i - e_j$ is a non-compact roots and lies in $\overline{iW_{\mathfrak{c}}^+}$ as well. From $(e_i - e_j) + (e_j - e_k) = (e_i + e_j) - 2e_k$, we obtain that $\mathbb{R}2e_k \subset \overline{iW_{\mathfrak{c}}^+}$; similarly, from $(e_i - e_k) + (e_i - e_j) = 2e_i - (e_k + e_j)$, we obtain that $\mathbb{R}(e_k + e_j) \subset \overline{iW_{\mathfrak{c}}^+}$. In both cases the assumption that $\overline{iW_{\mathfrak{c}}^+}$ is a proper cone is contradicted. Hence

$$\text{cone}(\{2e_j\}_j) = \overline{iW_{\mathfrak{c}}^+},$$

as desired. \square

Now we can prove that the domain Ξ^+ contains both the crown domain Ξ and the domain S^+ .

Proposition 8.7. *Let G/K be an irreducible Hermitian symmetric space of tube type. Then the domain Ξ^+ contains the crown*

$$\Xi = G \exp i \bigoplus_{j=1}^r [0, 1) E_j \cdot x_0,$$

and the domain

$$S^+ = G \exp i \bigoplus_{j=1}^r (1, \infty) E_j \cdot x_0.$$

Proof. The first equality was proved in [KrOp08]. The second one follows from G -invariance, and rank-1 reduction. Indeed by Lemma 8.6 and Lemma 8.1, we have

$$S^+ = G \left(\prod_{j=1}^r \exp i(0, \infty) T_j \right) g_1 \cdot x_0 =$$

$$\begin{aligned}
&= G \left(\prod_{j=1}^r \exp i(0, \infty) T_j \right) \prod_{j=1}^r g_{1,j} \cdot x_0 = G \left(\prod_{j=1}^r \exp i(0, \infty) T_j g_{1,j} \right) \cdot x_0 = \\
&= G \prod_{j=1}^r \exp i(1, \infty) E_j \cdot x_0,
\end{aligned}$$

as claimed. \square

8.2.2. The non-tube case. Assume now that G/K is not of tube type. Consider the point $x_1 = g_1 \cdot x_0$, where $g_1 = \exp(i\frac{\pi}{2}\frac{\omega_r}{2})$ is as in (22). Since $|\alpha(\frac{\pi}{2}\frac{\omega_r}{2})| \leq \frac{\pi}{2}$, for all $\alpha \in \Delta(\mathfrak{g}, \mathfrak{a})$, and $2\alpha_r(\frac{\pi}{2}\frac{\omega_r}{2}) = \frac{\pi}{2}$, the point x_1 lies on the boundary of the crown domain. More precisely, $\alpha(\frac{\pi}{2}\frac{\omega_r}{2}) \in \mathbb{Z}\frac{\pi}{4}$, for all $\alpha \in \Delta(\mathfrak{g}, \mathfrak{a})$, and $\alpha_r(\frac{\pi}{2}\frac{\omega_r}{2}) = \frac{\pi}{4}$.

Then, by [Gea12], Lemma 2.1, the following facts hold: the G -orbit of x_1 is not a G -symmetric space; the group $\widehat{G} := Z_G(g_1^4)$ is a reductive proper subgroup of G ; the orbit $\widehat{G} \cdot x_1 \subset G \cdot x_1$ is a reductive symmetric space with involution $\tau = \text{Ad}_{g_1^2} \theta$, of the same rank and real rank as G/K , but of strictly smaller dimension. The isotropy subgroups of x_1 in G and in \widehat{G} coincide, and the slice representation at x_1 is equivalent to the isotropy representation of $\widehat{G} \cdot x_1$.

Lemma 8.8. *The orbit $\widehat{G} \cdot x_1$ is diffeomorphic to the Cayley symmetric space associated to the tube type Hermitian symmetric space contained in G/K .*

Proof. One easily verifies that $\text{Ad}_{g_1^4}$ is an involution of $G^{\mathbb{C}}$, commuting both with the Cartan involution Θ of $G^{\mathbb{C}}$ and with the conjugation σ relative to G . Since $G^{\mathbb{C}}$ is simply connected, $\widehat{G}^{\mathbb{C}} = Z_{G^{\mathbb{C}}}(g_1^4) = \text{Fix}(G^{\mathbb{C}}, \text{Ad}_{g_1^4})$ is a connected reductive group. Moreover, it is the complexification of \widehat{U} , the fixed point subgroup of $\text{Ad}_{g_1^4}$ on the simply connected compact real form U of $G^{\mathbb{C}}$.

From the classification of simply connected, compact symmetric spaces one sees that the following three cases occur:

$$\begin{aligned}
G &= SU(r, s), \quad (r < s), \quad G^{\mathbb{C}} = SL(r + s, \mathbb{C}), \quad \widehat{G}^{\mathbb{C}} = S(GL(s - r, \mathbb{C}) \times GL(2r, \mathbb{C})), \\
G &= Spin^*(2r), \quad G^{\mathbb{C}} = Spin^*(2r, \mathbb{C}), \quad \widehat{G}^{\mathbb{C}} = \mathbb{C}^* Spin^*(2(r - 1), \mathbb{C}), \\
G &= E_{6(-14)}, \quad (r = 2), \quad G^{\mathbb{C}} = E_6, \quad \widehat{G}^{\mathbb{C}} = \mathbb{C}^* Spin(10, \mathbb{C}).
\end{aligned}$$

From the above table one sees that $\widehat{G}^{\mathbb{C}}$ can be written as the commuting product

$$\widehat{G}^{\mathbb{C}} = M^{\mathbb{C}} G_{tube}^{\mathbb{C}}, \quad (23)$$

where $M^{\mathbb{C}}$ is a subgroup of $Z_{K^{\mathbb{C}}}(\mathfrak{a}^{\mathbb{C}})$ and $G_{tube}^{\mathbb{C}}$ denotes the simply connected complexification of the connected, Hermitian, simple group acting on the tube-type symmetric space contained in G/K . By [Gea12], Lemma 2.1(iv), the isotropy subgroup of x_1 in $\widehat{G}^{\mathbb{C}}$ is given by $(\widehat{G}^{\mathbb{C}})^{\tau} := \text{Fix}(\widehat{G}^{\mathbb{C}}, \tau)$. Since the involution τ preserves the subgroups $M^{\mathbb{C}}$ and $G_{tube}^{\mathbb{C}}$ and $\tau|_{M^{\mathbb{C}}} = \text{Id}|_{M^{\mathbb{C}}}$, there is an isomorphism of coset spaces

$$\widehat{G}^{\mathbb{C}} / (\widehat{G}^{\mathbb{C}})^{\tau} \cong G_{tube}^{\mathbb{C}} / (G_{tube}^{\mathbb{C}})^{\tau}.$$

Moreover, since the involutions σ and τ commute on $\widehat{G}^{\mathbb{C}}$, there is also an isomorphism

$$\widehat{G} / \widehat{G}^{\tau} \cong G_{tube} / (G_{tube})^{\tau}.$$

This last fact can be seen most clearly at Lie algebra level:

$$\begin{aligned}
\mathfrak{g} &= \mathfrak{su}(r, s), \quad (r < s), \quad \widehat{\mathfrak{g}} = \mathfrak{u}(s - r) \oplus \mathfrak{su}(r, r), \quad \widehat{\mathfrak{g}}_{x_1} = \mathfrak{g}_{x_1} = \mathfrak{u}(s - r) \oplus \mathfrak{sl}(r, \mathbb{C}) \oplus \mathbb{R}; \\
\mathfrak{g} &= \mathfrak{so}^*(2r), \quad (r \text{ odd}), \quad \widehat{\mathfrak{g}} = \mathbb{R} \oplus \mathfrak{so}^*(2(r - 1)), \quad \widehat{\mathfrak{g}}_{x_1} = \mathfrak{g}_{x_1} = \mathbb{R} \oplus \mathfrak{sl}(r - 1, \mathbb{H}) \oplus \mathbb{R}; \\
\mathfrak{g} &= \mathfrak{e}_{6(-14)}, \quad (r = 2), \quad \widehat{\mathfrak{g}} = \mathbb{R} \oplus \mathfrak{so}(2, 8), \quad \widehat{\mathfrak{g}}_{x_1} = \mathfrak{g}_{x_1} = \mathbb{R} \oplus \mathfrak{so}(1, 1) \oplus \mathfrak{so}(1, 7).
\end{aligned}$$

\square

As a result of the above discussion, we have reduced ourselves to the case of a Hermitian symmetric space of tube type $G_{tube}/(G_{tube})^\tau$, with $G_{tube}^\mathbb{C}$ simply-connected. Recall that by Lemma 2.4, the element $Z_0 \in Z(\mathfrak{k})$ determining the complex structure of G/K can be written as

$$Z_0 = S + T_0,$$

where $S \in Z_K(\mathfrak{a})$ and $T_0 = \frac{1}{2} \sum T_j$, with $T_j = E_j + \theta E_j$. Observe that Z_0 lies in $\widehat{\mathfrak{g}}$ and T_0 lies in $\widehat{\mathfrak{g}}_{tube}$. Denote then by W^+ the maximal proper, open, convex, $\text{Ad}_{(G_{tube})^\tau}$ -invariant elliptic cone in $T_{x_1}(\widehat{G}_{tube} \cdot x_1)$, which satisfies $\overline{W^+} = \text{conv}(\text{Ad}_{(G_{tube})^\tau}(\mathbb{R}^+ T_0))$. Then

$$\Omega^+ = G \exp iW^+ \cdot x_1 = G \exp iW^+ g_1 \cdot x_0$$

is an open G -invariant domain in $G^\mathbb{C}/K^\mathbb{C}$. By similar considerations as in the previous section one obtains that

$$\Omega^+ = G \exp i \bigoplus_{j=1}^r (0, \infty) T_j g_1 \cdot x_0.$$

and an analogue of Proposition 8.7 holds true.

Proposition 8.9. *Let G/K be an irreducible Hermitian symmetric space which is not of tube-type. The domain Ξ^+ contains two distinguished invariant subdomains, namely the crown domain*

$$\Xi = G \exp i \bigoplus_{j=1}^r [0, 1) E_j \cdot x_0,$$

and the domain

$$\Omega^+ = G \exp i \bigoplus_{j=1}^r (1, \infty) E_j \cdot x_0.$$

We will see in a forthcoming paper that like in the rank-one case of non-tube type, the domain Ω^+ is not Stein and contains no G -invariant Stein subdomains.

9. FINAL REMARKS.

Recall that the domain Ξ^+ is G -equivariantly diffeomorphic to the anti-holomorphic tangent bundle of G/K . From Lemma 5.5 and Lemma 3.1, we obtain another natural description of the crown Ξ and of the domains S^+ (resp. Ω^+) inside Ξ^+ , by means of their intersections with the image of the slice \mathfrak{a} under the map (13).

Corollary 9.1. *One has*

$$\Xi = G \exp i \bigoplus_{j=1}^r [0, 1) \frac{1}{2} (A_j + iJ_0 A_j) \cdot x_0 = G \exp i \bigoplus_{j=1}^r (-1, 1) \frac{1}{2} (A_j + iJ_0 A_j) \cdot x_0$$

and

$$\begin{aligned} S^+ &= G \exp i \bigoplus_{j=1}^r (1, \infty) \frac{1}{2} (A_j + iJ_0 A_j) \cdot x_0 = \\ &G \exp i \bigoplus_{j=1}^r ((-\infty, -1) \cup (1, \infty)) \frac{1}{2} (A_j + iJ_0 A_j) \cdot x_0. \end{aligned}$$

A similar description holds true for Ω^+ .

Proof. Recall that by Lemma 8.7 and Lemma 8.9 one has

$$S^+ = G \exp i \bigoplus_{j=1}^r (1, \infty) E_j \cdot x_0 \quad \text{and} \quad \Omega^+ = G \exp i \bigoplus_{j=1}^r (1, \infty) E_j \cdot x_0$$

inside $\Xi^+ = G \exp i \bigoplus_{j=1}^r [0, \infty) E_j \cdot x_0$. Then the result follows from Lemma 5.5 and the fact that the Weyl group $W_K(\mathfrak{a})$ acts by signed permutations of A_1, \dots, A_r on \mathfrak{a} and by signed permutations of $\{A_1 + iJ_0 A_1, \dots, A_r + iJ_0 A_r\}$ in $\{A + iJ_0 A : A \in \mathfrak{a}\}$, which is a slice for the K -action on $\mathfrak{p}^{0,1}$. □

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