

F. Gavarini

*“The global quantum duality principle:  
theory, examples, and applications”*

*preprint math.QA/0303019 (2003)*

**ABSTRACT**

Let  $R$  be an integral domain, let  $\hbar \in R \setminus \{0\}$  be such that  $R/\hbar R$  is a field, and  $\mathcal{HA}$  the category of torsionless (or flat) Hopf algebras over  $R$ . We call  $H \in \mathcal{HA}$  a “quantized function algebra” ( $=QFA$ ), resp. “quantized restricted universal enveloping algebras” ( $=QrUEA$ ), at  $\hbar$  if  $H/\hbar H$  is the function algebra of a connected Poisson group, resp. the (restricted, if  $R/\hbar R$  has positive characteristic) universal enveloping algebra of a (restricted) Lie bialgebra.

We establish an “inner” Galois correspondence on  $\mathcal{HA}$ , via the definition of two endofunctors,  $(\ )^\vee$  and  $(\ )'$ , of  $\mathcal{HA}$  such that: (a) the image of  $(\ )^\vee$ , resp. of  $(\ )'$ , is the full subcategory of all QrUEAs, resp. QFAs, at  $\hbar$ ; (b) if  $p := \text{Char}(R/\hbar R) = 0$ , the restrictions  $(\ )^\vee|_{\text{QFAs}}$  and  $(\ )'|_{\text{QrUEAs}}$  yield equivalences inverse to each other; (c) if  $p = 0$ , starting from a QFA over a Poisson group  $G$ , resp. from a QrUEA over a Lie bialgebra  $\mathfrak{g}$ , the functor  $(\ )^\vee$ , resp.  $(\ )'$ , gives a QrUEA, resp. a QFA, over the dual Lie bialgebra, resp. a dual Poisson group. In particular, (a) yields a machine to produce quantum groups of both types (either QFAs or QrUEAs), (b) gives a characterization of them among objects of  $\mathcal{HA}$ , and (c) gives a “global” version of the so-called “quantum duality principle” (after Drinfeld’s, cf. [Dr]).

We then apply our result to Hopf algebras of the form  $\mathbb{k}[\hbar] \otimes_{\mathbb{k}} H$  where  $H$  is a Hopf algebra over the field  $\mathbb{k}$ : this yields quantum groups, hence “classical” geometrical symmetries of Poisson type (Poisson groups or Lie bialgebras, via specialization) associated to the “generalized” symmetry encoded by  $H$ . Both our main result and the above mentioned application are illustrated by means of several examples, which are studied in some detail.

*Warning: this paper is not meant for publication! The results presented here will be published in separate articles; therefore, any reader willing to quote anything from the present preprint is kindly invited to ask the author for the precise reference(s).*

“*Dualitas dualitatum  
et omnia dualitas*”

*N. Barbecue, “Scholia”*

## INDEX

Introduction .....	pag. 2
§ 1 Notation and terminology .....	pag. 5
§ 2 The global quantum duality principle .....	pag. 7
§ 3 General properties of Drinfeld's functors .....	pag. 10
§ 4 Drinfeld's functors on quantum groups .....	pag. 19
§ 5 Application to trivial deformations: the Crystal Duality Principle .....	pag. 30
§ 6 First example: the Kostant-Kirillov structure .....	pag. 55
§ 7 Second example: quantum $SL_2$ , $SL_n$ , finite and affine Kac-Moody groups	pag. 62
§ 8 Third example: quantum three-dimensional Euclidean group .....	pag. 75
§ 9 Fourth example: quantum Heisenberg group .....	pag. 82
§ 10 Fifth example: non-commutative Hopf algebra of formal diffeomorphisms	pag. 89

---

## REFERENCES

- [Ab] N. Abe, *Hopf algebras*, Cambridge Tracts in Mathematics **74**, Cambridge University Press, Cambridge, 1980.
- [BF1] C. Brouder, A. Frabetti, *Renormalization of QED with planar binary trees*, Eur. Phys. J. C **19** (2001), 715–741.
- [BF2] \_\_\_\_\_, *Noncommutative renormalization for massless QED*, preprint <http://arxiv.org/abs/hep-th/0011161> (2000).
- [Bo] N. Bourbaki, *Commutative Algebra*, Springer & Verlag, New York-Heidelberg-Berlin-Tokyo, 1989.
- [Ca] R. Carmina, *The Nottingham Group*, in: M. Du Sautoy, D. Segal, A. Shalev (eds.), *New Horizons in pro- $p$  Groups*, Progress in Math. **184** (2000), 205–221.
- [CG] N. Ciccoli, F. Gavarini, *A quantum duality principle for coisotropic subgroups and Poisson quotients*, Adv. Math. **199** (2006), 104–135.
- [CK1] A. Connes, D. Kreimer, *Hopf algebras, Renormalization and Noncommutative Geometry*, Comm. Math. Phys. **199** (1998), 203–242.
- [CK2] \_\_\_\_\_, *Renormalization in quantum field theory and the Riemann-Hilbert problem I: the Hopf algebra structure of graphs and the main theorem*, Comm. Math. Phys. **210** (2000), 249–273.
- [CK3] \_\_\_\_\_, *Renormalization in quantum field theory and the Riemann-Hilbert problem II: the  $\beta$  function, diffeomorphisms and the renormalization group*, Comm. Math. Phys. **216** (2001), 215–241.
- [CP] V. Chari, A. Pressley, *A guide to Quantum Groups*, Cambridge Univ. Press, Cambridge, 1994.
- [DG] M. Demazure, P. Gabriel, *Groupes Algébriques, I*, North Holland, Amsterdam, 1970.
- [DL] C. De Concini, V. Lyubashenko, *Quantum Function Algebras at Roots of 1*, Adv. Math. **108** (1994), 205–262.
- [Dr] V. G. Drinfeld, *Quantum groups*, Proceedings of the ICM (Berkeley, 1986), 1987, pp. 798–820.
- [EK] P. Etingof, D. Kazhdan, *Quantization of Lie bialgebras, I*, Selecta Math. (N.S.) **2** (1996), 1–41.

- [FG] C. Frønsdal, A. Galindo, *The universal  $T$ -matrix*, in: P. J. Sally jr., M. Flato, J. Lepowsky, N. Reshetikhin, G. J. Zuckerman (eds.), *Mathematical Aspects of Conformal and Topological Field Theories and Quantum Groups*, Cont. Math. **175** (1994), 73–88.
- [Fo1] L. Foissy, *Les algèbres de Hopf des arbres enracinés décorés, I*, Bull. Sci. Math. **126** (2002), 193–239.
- [Fo2] ———, *Les algèbres de Hopf des arbres enracinés décorés, II*, Bull. Sci. Math. **126** (2002), 249–288.
- [Fo3] ———, *Finite dimensional comodules over the Hopf algebra of rooted trees*, J. Algebra **255** (2002), 89–120.
- [FRT1] L. D. Faddeev, N. Yu. Reshetikhin, L. A. Takhtajan, *Quantum groups*, in: M. Kashiwara, T. Kawai (eds.), *Algebraic Analysis*, (1989), Academic Press, Boston, 129–139.
- [FRT2] L. D. Faddeev, N. Yu. Reshetikhin, L. A. Takhtajan, *Quantization of Lie groups and Lie algebras*, Leningrad Math. J. **1** (1990), 193–225.
- [Ga1] F. Gavarini, *Quantization of Poisson groups*, Pac. Jour. Math. **186** (1998), 217–266.
- [Ga2] ———, *Quantum function algebras as quantum enveloping algebras*, Comm. Alg. **26** (1998), 1795–1818.
- [Ga3] ———, *Dual affine quantum groups*, Math. Z. **234** (2000), 9–52.
- [Ga4] ———, *The quantum duality principle*, Annales de l’Institut Fourier **52** (2002), 809–834.
- [Ga5] ———, *The Crystal Duality Principle: from Hopf Algebras to Geometrical Symmetries*, Journal of Algebra **285** (2005), 399–437.
- [Ga6] ———, *Poisson geometrical symmetries associated to non-commutative formal diffeomorphisms*, Communications in Mathematical Physics **253** (2005), 121–155.
- [Ga7] ———, *Presentation by Borel subalgebras and Chevalley generators for quantum enveloping algebras*, Proc. Edinburgh Math. Soc. **49** (2006), 291–308.
- [Ga8] ———, *The global quantum duality principle: a survey through examples*, Proceedings des Rencontres Mathématiques de Glanon – 6<sup>e</sup> édition (1–5/7/2002; Glanon, France), 2003, in press. Electronic version <http://www.u-bourgogne.fr/glanon/proceed/2002/index.html>.
- [Ga9] ———, *PBW theorems and Frobenius structures for quantum matrices*, electronic preprint <http://arxiv.org/abs/math.QA/0610691> (2006), 10 pages.
- [HB] B. Huppert, N. Blackburn, *Finite Groups. II*, Grundlehren der Mathematischen Wissenschaften **243**, Springer & Verlag, Berlin – New York, 1982.
- [Je1] S. Jennings, *The structure of the group ring of a  $p$ -group over a modular field*, Trans. Amer. Math. Soc. **50** (1941), 175–185.
- [Je2] ———, *Substitution groups of formal power series*, Canadian J. Math. **6** (1954), 325–340.
- [KT] C. Kassel, V. Turaev, *Biquantization of Lie bialgebras*, Pac. Jour. Math. **195** (2000), 297–369.
- [LR] J.-L. Loday, M. O. Ronco, *Hopf algebra of the planar binary trees*, Adv. Math. **139** (1998), 293–309.
- [Lu1] G. Lusztig, *Quantum deformations of certain simple modules over enveloping algebras*, Adv. Math. **70** (1988), 237–249.
- [Lu2] ———, *Quantum groups at roots of 1*, Geom. Dedicata **35** (1990), 89–113.
- [Ma] Yu. I. Manin, *Quantum Groups and Non-Commutative Geometry*, Centre de Recherches Mathématiques, Université de Montréal, Montréal, 1988.
- [Mo] S. Montgomery, *Hopf Algebras and Their Actions on Rings*, CBMS Regional Conference Series in Mathematics **82**, American Mathematical Society, Providence, RI, 1993.

- [Pa] D. S. Passman, *The Algebraic Structure of Group Rings*, Pure and Applied Mathematics, J. Wiley & Sons, New York, 1977.
- [Re] C. Reutenauer, *Free Lie Algebras*, London Mathematical Society Monographs, New Series **7**, Oxford Science Publications, New York, 1993.
- [Se] M. A. Semenov-Tian-Shansky, *Poisson Lie groups, quantum duality principle, and the quantum double*, in: P. J. Sally jr., M. Flato, J. Lepowsky, N. Reshetikhin, G. J. Zuckerman (eds.), *Mathematical Aspects of Conformal and Topological Field Theories and Quantum Groups*, Cont. Math. **175** (1994), 219–248.
- [We] A. Weinstein, *The local structure of Poisson manifolds*, J. Differential Geometry **18** (1983), 523–557.
- 
-