

F. Gavarini, G. Halbout

*“Braiding structures on formal Poisson groups and classical solutions of the QYBE”*

*Journal of Geometry and Physics* **46** (2003), no. 3–4, 255—282.

DOI: 10.1016/S0393-0440(02)00147-X

## INTRODUCTION

In the study of classical Hamiltonian systems, one is naturally interested in those which are completely integrable. A natural condition to achieve complete integrability for the system is that it admit a so called “Lax pair”, thus one typical goal is to find Hamiltonian systems admitting such a pair; a standard recipe to obtain this has been provided by Semenov-Tian-Shansky (see [Se]), which explain how to get such a system proceeding from a pair  $(\mathfrak{g}, \mathbf{r})$  where  $\mathfrak{g}$  is a Lie quasitriangular Lie bialgebra and  $\mathbf{r}$  is its  $\mathbf{r}$ -matrix, a classical solution of the classical Yang-Baxter equation (CYBE): the system is built up on  $\mathfrak{g}^*$ , the Lie bialgebra dual to  $\mathfrak{g}$ , as phase space, and the  $\mathbf{r}$ -matrix  $\mathbf{r}$  provides (a recipe for) the Poisson bracket on  $C^\infty(\mathfrak{g}^*)$ . This raises the question of studying quasitriangular bialgebras, as objects of special interest within the category of Lie bialgebras: in particular, since we think at  $\mathfrak{g}^*$  as a phase space, so that  $\mathfrak{g}$  is its cotangent space, one’s desire is to understand the geometrical meaning of the classical  $\mathbf{r}$ -matrix.

A second motivation for studying the geometrical meaning of the classical  $\mathbf{r}$ -matrix arises from conformal, quantum and topological quantum field theories. Indeed, all these are concerned with the notion of “fusion rules” which, roughly, rule the tensor product in a quasitensor category (see e.g. [FK]): as an application — among others — one has a recipe which provides tangle and link invariants as well as invariants of 3-manifolds (cf. [Tu]). In this setting, the common notion one start with is that of a quasitensor (or “braided monoidal”) category; such an object can be built up as category of representations of a quasitriangular Hopf algebra (QTHA): indeed, by Tannaka-Krein reconstruction theorems the two notions — quasitensor categories and quasitriangular Hopf algebras — are essentially equivalent, so one may switch to the study of QTHAs. A key example of QTHA is given by a quantum group, in the shape of a quantum universal enveloping algebra (QUEA) together with its (universal)  $R$ -matrix. Now, the semiclassical counterpart of a QUEA is a Lie bialgebra  $\mathfrak{g}$  (i.e., the given QUEA is the quantization of  $U(\mathfrak{g})$ ): if the QUEA is also quasitriangular, then the semiclassical counterpart of its  $R$ -matrix is a classical  $\mathbf{r}$ -matrix  $\mathbf{r}$  on  $\mathfrak{g}$ , the pair  $(\mathfrak{g}, \mathbf{r})$  being a quasitriangular Lie bialgebra. The question then rises of whether — or at least how far — one can perform the constructions which are usually made via the QUEA and its  $R$ -matrix (such as that of link invariants) using instead only the “semiclassical” datum of  $(\mathfrak{g}, \mathbf{r})$ : then again the key point will be to understand the geometrical meaning of the classical  $\mathbf{r}$ -matrix.

With this kind of motivations, we go and study the following problem. It is known that if  $\mathfrak{g}$  is a Lie bialgebra (over a field  $\mathbb{k}$  of zero characteristic), then its dual space  $\mathfrak{g}^*$  is a Lie bialgebra as well. Also, let  $G$  be an algebraic Poisson group — or Poisson-Lie group, say,

when  $\mathbb{k} \in \{\mathbb{R}, \mathbb{C}\}$  — whose tangent Lie bialgebra is  $\mathfrak{g}$ . Now assume  $\mathfrak{g}$  is quasitriangular, with  $\mathbf{r}$ -matrix  $\mathbf{r}$ : this gives to  $\mathfrak{g}$  some additional properties; two questions then rise:

- (\*) What an additional structure one obtains on the dual Lie bialgebra  $\mathfrak{g}^*$  ?
- (•) What is the geometrical global datum on  $G$  which is the result of "integrating"  $\mathbf{r}$  ?

Of course, the two questions and their answers are necessarily tightly related.

First, an answer to question (\*) was given by the authors in [GH] (cf. also [Re], [Ga1], [Ga2]): the topological Poisson Hopf algebra  $F[[\mathfrak{g}^*]]$  (the function algebra of the formal Poisson group associated to  $\mathfrak{g}^*$ ) is *braided* (see the definition later on).

The result in [GH] was proved using the theory of quantum groups. Indeed, after Etingof-Kazhdan (cf. [EK]) every Lie bialgebra admits a quantization  $U_{\hbar}(\mathfrak{g})$ , namely a (topological) Hopf algebra over  $\mathbb{k}[[\hbar]]$  whose specialisation at  $\hbar = 0$  is isomorphic to  $U(\mathfrak{g})$  as a co-Poisson Hopf algebra; in addition, if  $\mathfrak{g}$  is quasitriangular and  $\mathbf{r}$  is its  $\mathbf{r}$ -matrix, then such a  $U_{\hbar}(\mathfrak{g})$  exists which is quasitriangular too, as a Hopf algebra, with an  $R$ -matrix  $R_{\hbar} (\in U_{\hbar}(\mathfrak{g}) \otimes U_{\hbar}(\mathfrak{g}))$  such that  $R_{\hbar} \equiv 1 + \mathbf{r} \hbar \pmod{\hbar^2}$  (here one identifies, as  $\mathbb{k}[[\hbar]]$ -modules,  $U_{\hbar}(\mathfrak{g}) \cong U(\mathfrak{g})[[\hbar]]$ ). Using Drinfeld's *Quantum Duality Principle* ([Dr1]; cf. [Ga5] for a proof), from any QUEA  $U_{\hbar}(\mathfrak{g})$  with semiclassical limit  $U(\mathfrak{g})$  one can extract a certain quantum formal series Hopf algebra (QFSHA)  $U_{\hbar}(\mathfrak{g})'$  such that the semiclassical limit of  $U_{\hbar}(\mathfrak{g})'$  is  $F[[\mathfrak{g}^*]]$ . In [GH], starting from a quasitriangular QUEA  $(U_{\hbar}(\mathfrak{g}), R)$ , we showed that, although *a priori*  $R \notin U_{\hbar}(\mathfrak{g})' \otimes U_{\hbar}(\mathfrak{g})'$  (so that the pair  $(U_{\hbar}(\mathfrak{g})', R)$  is *not* in general a quasitriangular Hopf algebra), nevertheless its adjoint action  $\mathfrak{R}_{\hbar} := \text{Ad}(R_{\hbar}) : U_{\hbar}(\mathfrak{g}) \otimes U_{\hbar}(\mathfrak{g}) \longrightarrow U_{\hbar}(\mathfrak{g}) \otimes U_{\hbar}(\mathfrak{g})$ ,  $x \otimes y \mapsto R_{\hbar} \cdot (x \otimes y) \cdot R_{\hbar}^{-1}$  stabilises the subalgebra  $U_{\hbar}(\mathfrak{g})' \otimes U_{\hbar}(\mathfrak{g})'$ , hence induces by specialisation an operator  $\mathfrak{R}_0$  over  $F[[\mathfrak{g}^*]] \otimes F[[\mathfrak{g}^*]]$ : moreover, the properties which make  $R_{\hbar}$  an  $R$ -matrix imply that  $\mathfrak{R}_{\hbar}$  is a braiding operator, hence the same holds for  $\mathfrak{R}_0$ : thus, the pair  $(F[[\mathfrak{g}^*]], \mathfrak{R}_0)$  is a braided Hopf algebra. In particular, this gives us a new method to produce set-theoretical solutions of the QYBE, thus giving a positive answer to a question set in [Dr2] (also tackled, for instance, in [ESS]). Note also that for igniting our construction we only need a quantisation functor  $(\mathfrak{g}, \mathbf{r}) \mapsto (U_{\hbar}(\mathfrak{g}), R)$ , and several of them exist (see [En]).

Second, an answer to question (•) was given by Weinstein and Xu in [WX]. We briefly sketch their results. Let  $G$ , resp.  $G^*$ , be a Poisson group with tangent Lie bialgebra  $\mathfrak{g}$ , resp.  $\mathfrak{g}^*$ : in addition, assume both  $G$  and  $G^*$  to be complete. Let  $D$  be the corresponding double Poisson group, which is given a structure of symplectic double groupoid, over  $G$  and  $G^*$  at once (further assumptions are needed, see §3 later on). Then the authors prove that there is a classical analogous of the quantum  $R$ -matrix, namely a Lagrangian submanifold  $\mathcal{R}$  of  $D \times D$ , called *the (global) classical  $\mathcal{R}$ -matrix*, which enjoys much the same properties of a quantum  $R$ -matrix! Furthermore, for any symplectic leaf  $S$  in  $G^*$ , this  $\mathcal{R}$  induces a symplectic automorphism of  $S \times S$  which in turn at the level of function algebras yields a braiding for  $F[S]$ ; then, as  $G^*$  is the union of its symplectic leaves, we get also a braiding on  $F[G^*]$  and so, via completion, a braiding on  $F[[\mathfrak{g}^*]]$  too.

As a first goal in this paper, we investigate more in depth the properties of the construction in [GH]. In particular, we show that the step  $(U_{\hbar}(\mathfrak{g}), R) \mapsto (U_{\hbar}(\mathfrak{g})', \mathfrak{R}_{\hbar})$  is functorial and preserves quantisation equivalence. Since the initial quantisation step  $(\mathfrak{g}, \mathbf{r}) \mapsto (U_{\hbar}(\mathfrak{g}), R_{\hbar})$  (provided by [EK], but any other would work) is functorial, and of course the final specialisation step  $(U_{\hbar}(\mathfrak{g})', \mathfrak{R}_{\hbar}) \mapsto (F[[\mathfrak{g}^*]], \mathfrak{R}_0)$  is trivially functorial, we

conclude that the whole construction  $(\mathfrak{g}, \mathbf{r}) \mapsto (F[[\mathfrak{g}^*]], \mathfrak{R}_0)$  is functorial too. Moreover, whenever one has a braiding on  $F[[\mathfrak{g}^*]]$  a so-called *infinitesimal braiding*  $\overline{\mathfrak{R}}$  is defined on the cotangent Lie bialgebra of  $F[[\mathfrak{g}^*]]^{\otimes 2}$ , which is just  $\mathfrak{g}^{\oplus 2}$ : if the braiding is the aforementioned  $\mathfrak{R}_0$ , we prove that the infinitesimal braiding  $\overline{\mathfrak{R}}_0$  is trivial.

As a second goal of the paper, we compare our results with those of [WX]. First of all, a general fact is worth stressing: the purpose in [WX] is to find a geometrical counterpart of the classical  $\mathbf{r}$ -matrix, in particular an object which is of global rather than local nature: to this end, one is forced to impose some additional requirements from scratch, mainly the existence of complete Poisson groups  $G$  and  $G^*$  with tangent Lie bialgebras respectively  $\mathfrak{g}$  and  $\mathfrak{g}^*$ . In contrast, the approach of [GH] sticks to the infinitesimal level: everything is formulated in terms of Lie bialgebras or formal Poisson groups. Therefore, the final output of [WX] is stronger but requires stronger hypotheses as well. Nevertheless, the additional requirements in [WX] are not necessary if we stick to the infinitesimal setting: indeed, a good deal of the analysis therein can be carried out as well in local terms — just on germs of Poisson groups — so that eventually one ends up with results which are perfectly comparable with those of [GH]. Thus we compare the braiding  $\mathfrak{R}_{WX}$  of [WX] with the one of [GH], call it  $\mathfrak{R}_{GH}$ . Indeed, one has a theoretical reason to find strong similarities: namely, the construction in [WX] is a *geometric* quantisation of  $(\mathfrak{g}, \mathbf{r})$ , whereas the one of [GH] passes through *deformation* quantisation. As a matter of fact, first we show that the infinitesimal braiding  $\overline{\mathfrak{R}}_{WX}$  is trivial, just like  $\overline{\mathfrak{R}}_{GH}$ . Second, when  $\mathfrak{g} = \mathfrak{sl}_2$  with the standard  $\mathbf{r}$ -matrix we prove via explicit computation that  $\overline{\mathfrak{R}}_{WX} = \overline{\mathfrak{R}}_{GH}$ . This raises the question of whether  $\overline{\mathfrak{R}}_{WX}$  and  $\overline{\mathfrak{R}}_{GH}$  do always coincide: we give an affirmative answer in a separate paper (see [EGH]).

The paper is organized as follows. Section 1 is devoted to recall some notions and results of quantum theory. Section 2 deals with the construction of braidings via quantum groups, after [GH]: in particular we point out its "compatibility" with the equivalence relation for quantisations, we prove the triviality of the associated infinitesimal braiding, and we sketch some examples. Section 3 deals with the geometrical construction of braidings after [WX]: in particular we reformulate some results from [*loc. cit.*] to make them fit with our language, and we prove that the associated infinitesimal braiding is trivial. Finally, section 4 is devoted to explicit computation of both  $\overline{\mathfrak{R}}_{WX}$  and  $\overline{\mathfrak{R}}_{GH}$ , which shows they do coincide.

— — — — —

## REFERENCES

- [CP] V. Chari, A. Pressley, *A guide to Quantum Groups*, Cambridge University Press, Cambridge, 1994.
- [Dr1] V. G. Drinfeld, *Quantum groups*, Proc. Intern. Congress of Math. (Berkeley, 1986), 1987, pp. 798–820.
- [Dr2] ———, *On some unsolved problems in quantum group theory*, Lecture Notes in Math. **1510** (1992), 1–8.
- [En] B. Enriquez, *Quantization of Lie bialgebras and shuffle algebras of Lie algebras*, Selecta Math. (New Series) **7** (2001), 321–407.

- [EGH] B. Enriquez, F. Gavarini, G. Halbout, *Unicity of braidings of quasitriangular Lie bialgebras and lifts of classical  $r$ -matrices*, preprint math.QA/0207235 (2002).
- [EK] P. Etingof, D. Kazhdan, *Quantization of Lie bialgebras. I*, Selecta Math. (New Series) **2** (1996), 1–41; II–III, Selecta Math. (New Series) **4** (1998), 233–269.
- [ESS] P. Etingof, T. Schedler, A. Soloviev, *Set-theoretical solutions to the quantum Yang-Baxter equation*, Duke. Math. J. **100** (1999), 169–209.
- [FK] J. Frölich, T. Kerler, *Quantum Groups, Quantum Categories and Quantum Field Theory*, Lecture Notes in Mathematics **1542** (1993).
- [Ga1] F. Gavarini, *Geometrical Meaning of  $R$ -matrix action for Quantum groups at Roots of 1*, Commun. Math. Phys. **184** (1997), 95–117.
- [Ga2] ———, *The  $R$ -matrix action of untwisted affine quantum groups at roots of 1*, J. Pure Appl. Algebra **155** (2001), 41–52.
- [Ga3] ———, *Quantization of Poisson groups*, Pac. Jour. Math. **186** (1998), 217–266.
- [Ga4] ———, *Dual affine quantum groups*, Math. Z. **234** (1997), 9–52.
- [Ga5] ———, *The quantum duality principle*, Annales de l’Institut Fourier **152** (2002), 809–834.
- [GH] F. Gavarini, G. Halbout, *Tressages des groupes de Poisson formels à dual quasitriangulaire*, J. Pure Appl. Algebra **161** (2001), 295–307.
- [Re] N. Reshetikhin, *Quasitriangularity of quantum groups at roots of 1*, Commun. Math. Phys. **170** (1995), 79–99.
- [Se] M. Semenov-Tian-Shansky, *Dressing transformations and Poisson-Lie group actions*, Publ. Res. Inst. Math. Sci. **21** (1985), 1237–1260.
- [Tu] V. G. Turaev, *The Yang-Baxter equation and invariants of links*, Invent. Math. **92** (1988), 527–553.
- [WX] A. Weinstein, P. Xu, *Classical Solutions of the Quantum Yang-Baxter Equation*, Commun. Math. Phys. **148** (1992), 309–343.
- 
-