

In this note we show that the ring  $A = \mathbf{R}[X, Y]/(X^2 + Y^2 + 1)$  is *not* Euclidean, but is a principal ideal domain.

Elements of the  $\mathbf{R}$ -algebra  $A$  can be written in a unique way as  $a + bY$  with  $a, b \in \mathbf{R}[X]$ . When  $f = a + bY \in A$ , we put  $\bar{f} = a - bY$ . The map  $f \rightarrow \bar{f}$  is an  $\mathbf{R}[X]$ -algebra automorphism of  $A$ . The map  $A \rightarrow \mathbf{R}[X]$  given by  $f \mapsto f\bar{f}$  is multiplicative. For  $f = a + bX$ , we have  $f\bar{f} = a^2 + (X^2 + 1)b^2$ . This can be used to give easy proofs of the facts that  $A$  is a domain and that  $A^* = \mathbf{R}^*$ .

The  $\mathbf{R}$ -algebra  $\mathbf{R}[X, Y]/(X^2 + Y^2 + 1)$  cannot be a Euclidean domain. Indeed, if  $N : A - \{0\} \rightarrow \mathbf{N}$  were a Euclidean norm, then let  $a \in A - A^*$  with  $N(a)$  *minimal*. Then the natural map

$$\mathbf{R} = A^* \cup \{0\} \rightarrow A/(a).$$

is a surjective, and hence bijective,  $\mathbf{R}$ -algebra homomorphism. However, since the conic  $X^2 + Y^2 + 1 = 0$  does not have any real points, there does not exist any  $\mathbf{R}$ -algebra morphism  $A \rightarrow \mathbf{R}$ . Therefore  $A$  is not Euclidean.

**Lemma.** *Let  $f$  be a non-zero element of  $A$ . Then  $A/(f)$  is a finite dimensional real vector space and  $\dim A/(f) = \deg \bar{f}f$ .*

**Proof.** Since  $A$  is free of rank 2 over  $\mathbf{R}[X]$ , the lemma is true for  $f \in \mathbf{R}[X]$ . For any  $f \in A$  we have  $\dim A/(f) = \dim A/(\bar{f})$ . Since the natural map

$$A/(f) \xrightarrow{\bar{f}} A/(f\bar{f})$$

is injective with cokernel  $A/(\bar{f})$ , we have

$$2\dim A/(f) = \dim A/(f) + \dim A/(\bar{f}) = \dim A/(f\bar{f}) = 2\deg \bar{f}f,$$

as required.

To see that  $A$  is a principal ideal domain, we first observe that  $A$ -ideals of even codimension are principal. Indeed, if an ideal  $I$  has codimension  $2d$  for some natural number  $d$ , then the  $2d + 1$  elements  $1, X, Y, X^2, XY, \dots, X^d, X^{d-1}Y$  are  $\mathbf{R}$ -linearly dependent in  $A/I$ . This implies that  $I$  contains a non-zero element  $f$  for which  $f\bar{f}$  has degree  $\leq 2d$ . By the lemma the ideals  $I$  and  $(f)$  have the same codimension, so that  $I = (f)$ , as required.

We finish the proof by showing that *all* non-zero  $A$ -ideals have even codimension. Since non-zero ideals have finite codimension, one does not need Zorn's Lemma to see that any ideal is contained in a maximal ideal. It suffices to show that all maximal ideals of  $A$  have even codimension. This is clear if you know that  $\mathbf{C}$  is an algebraic closure of  $\mathbf{R}$ , but this argument can easily be avoided as follows.

Let  $\mathfrak{m}$  be a maximal ideal of  $A$  and let  $\phi \in \mathbf{R}[X]$  denote a generator of the ideal  $\mathfrak{m} \cap \mathbf{R}[X]$ . Then we have the following composition of injective  $\mathbf{R}$ -algebra homomorphisms

$$\mathbf{R} \hookrightarrow \mathbf{R}[X]/(\phi) \hookrightarrow A/\mathfrak{m}.$$

If  $\phi$  has even degree, we are done. If it has odd degree, then the Mean Value Theorem implies that it has a zero  $\lambda \in \mathbf{R}$ . Then  $X - \lambda$  divides zero in the subring  $\mathbf{R}[X]/(\phi)$  of the field  $A/\mathfrak{m}$ . It follows that  $\phi = c(X - \lambda)$  for some  $c \in \mathbf{R}^*$ , so that the map  $\mathbf{R} \hookrightarrow \mathbf{R}[X]/(\phi)$  is an isomorphism. Since  $Y$  is a zero of a quadratic polynomial over  $\mathbf{R}[X]$ , the dimension of  $A/\mathfrak{m}$  is at most 2. Since there are no  $\mathbf{R}$ -algebra homomorphisms  $A \rightarrow \mathbf{R}$ , the dimension must be 2, which is even.