

In this note we show that the ring $A = \mathbf{R}[X, Y]/(X^2 + Y^2 + 1)$ is *not* Euclidean, but is a principal ideal domain.

Elements of the \mathbf{R} -algebra A can be written in a unique way as $a + bY$ with $a, b \in \mathbf{R}[X]$. When $f = a + bY \in A$, we put $\bar{f} = a - bY$. The map $f \rightarrow \bar{f}$ is an $\mathbf{R}[X]$ -algebra automorphism of A . The map $A \rightarrow \mathbf{R}[X]$ given by $f \mapsto f\bar{f}$ is multiplicative. For $f = a + bX$, we have $f\bar{f} = a^2 + (X^2 + 1)b^2$. This can be used to give easy proofs of the facts that A is a domain and that $A^* = \mathbf{R}^*$.

The \mathbf{R} -algebra $\mathbf{R}[X, Y]/(X^2 + Y^2 + 1)$ cannot be a Euclidean domain. Indeed, if $N : A - \{0\} \rightarrow \mathbf{N}$ were a Euclidean norm, then let $a \in A - A^*$ with $N(a)$ *minimal*. Then the natural map

$$\mathbf{R} = A^* \cup \{0\} \rightarrow A/(a).$$

is a surjective, and hence bijective, \mathbf{R} -algebra homomorphism. However, since the conic $X^2 + Y^2 + 1 = 0$ does not have any real points, there does not exist any \mathbf{R} -algebra morphism $A \rightarrow \mathbf{R}$. Therefore A is not Euclidean.

Lemma. *Let f be a non-zero element of A . Then $A/(f)$ is a finite dimensional real vector space and $\dim A/(f) = \deg \bar{f}f$.*

Proof. Since A is free of rank 2 over $\mathbf{R}[X]$, the lemma is true for $f \in \mathbf{R}[X]$. For any $f \in A$ we have $\dim A/(f) = \dim A/(\bar{f})$. Since the natural map

$$A/(f) \xrightarrow{\bar{f}} A/(f\bar{f})$$

is injective with cokernel $A/(\bar{f})$, we have

$$2\dim A/(f) = \dim A/(f) + \dim A/(\bar{f}) = \dim A/(f\bar{f}) = 2\deg \bar{f}f,$$

as required.

To see that A is a principal ideal domain, we first observe that A -ideals of even codimension are principal. Indeed, if an ideal I has codimension $2d$ for some natural number d , then the $2d + 1$ elements $1, X, Y, X^2, XY, \dots, X^d, X^{d-1}Y$ are \mathbf{R} -linearly dependent in A/I . This implies that I contains a non-zero element f for which $f\bar{f}$ has degree $\leq 2d$. By the lemma the ideals I and (f) have the same codimension, so that $I = (f)$, as required.

We finish the proof by showing that *all* non-zero A -ideals have even codimension. Since non-zero ideals have finite codimension, one does not need Zorn's Lemma to see that any ideal is contained in a maximal ideal. It suffices to show that all maximal ideals of A have even codimension. This is clear if you know that \mathbf{C} is an algebraic closure of \mathbf{R} , but this argument can easily be avoided as follows.

Let \mathfrak{m} be a maximal ideal of A and let $\phi \in \mathbf{R}[X]$ denote a generator of the ideal $\mathfrak{m} \cap \mathbf{R}[X]$. Then we have the following composition of injective \mathbf{R} -algebra homomorphisms

$$\mathbf{R} \hookrightarrow \mathbf{R}[X]/(\phi) \hookrightarrow A/\mathfrak{m}.$$

If ϕ has even degree, we are done. If it has odd degree, then the Mean Value Theorem implies that it has a zero $\lambda \in \mathbf{R}$. Then $X - \lambda$ divides zero in the subring $\mathbf{R}[X]/(\phi)$ of the field A/\mathfrak{m} . It follows that $\phi = c(X - \lambda)$ for some $c \in \mathbf{R}^*$, so that the map $\mathbf{R} \hookrightarrow \mathbf{R}[X]/(\phi)$ is an isomorphism. Since Y is a zero of a quadratic polynomial over $\mathbf{R}[X]$, the dimension of A/\mathfrak{m} is at most 2. Since there are no \mathbf{R} -algebra homomorphisms $A \rightarrow \mathbf{R}$, the dimension must be 2, which is even.